

Presenter Disclosure Information:

Name: Dr. Gary K. Owens

The presenter or their spouse/partner have had a financial interest/arrangement or affiliation with the organizations listed below. However, none of the data related to these relationships is being presented today.

Company Name

Relationship

Setagon, Inc.

Founder, CSO, acquired by
Medtronic Nov. 2007

NanoMedical Systems, Inc.

Founder, CSO

AstraZeneca Pharmaceutical

PI, UVA-AZ Research
Alliance

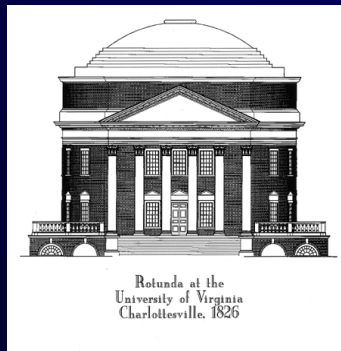
The Stem Cell Pluripotency Genes Klf4 and Oct4 Play a Key Role in Regulating the Phenotype-Functions of SMC-Pericytes in Normal and Diseased States

Dr. Gary K. Owens

Professor of Molecular Physiology and Biological Physics

Professor of Internal Medicine, CV Division

Director Robert M. Berne Cardiovascular Research Center



Talk Outline:

- I. The majority (>80%) of SMC within advanced atherosclerotic lesions lack expression of SMC marker genes and undergo transition to cells exhibiting characteristics of multiple other cell types including macrophages, MSC, and myofibroblasts (MFs).
- II. The stem cell pluripotency genes Klf4 and Oct4 regulate phenotypic transitions of SMC critical in the pathogenesis of atherosclerosis. However, these transitions can be beneficial or detrimental depending on the nature of those changes.
- III. IL1 β has atheroprotective effects in late stage atherosclerotic lesions including being required for maintenance of a protective fibrous cap.
- IV. Oct4 and Klf4 expression in SMC-P is critical in regulating angiogenesis, perivascular cell coverage, and the innate metabolic and inflammatory properties of adipose tissues.

Established Dogma: Advanced Atherosclerotic Lesions with an Increased Ratio of SMC (Acta2^+) to Macrophages (LGALS3^+ or CD68^+) Cells are More Stable



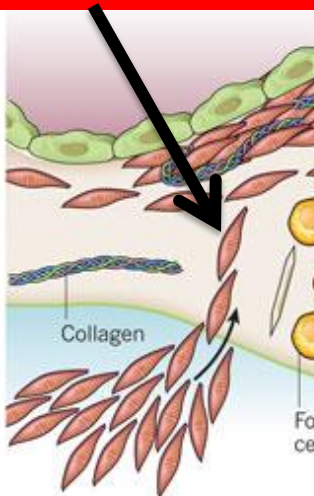
SMC PHENOTYPIC SWITCHING

- Reduced expression of SMC marker genes including *Acta2* and *Myh11*
- Increased migration, proliferation, and ECM production
- **Changes are believed to be atheroprotective**
- Can undergo transition to a macrophage marker⁺ state with cholesterol loading *in vitro* (Rong et al. 2003 PNAS) but the role of this in lesion pathogenesis *in vivo* is unknown!

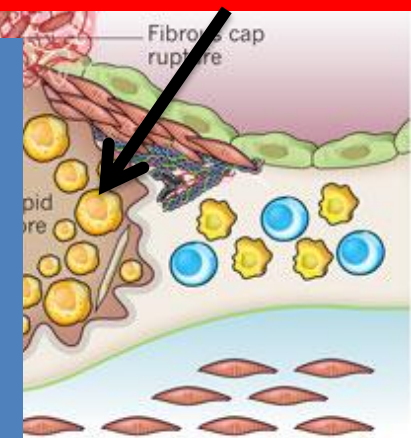


MACROPHAGE PHENOTYPIC SWITCHING

- Undergo complex phenotypic transitions
- Are the primary cell type giving rise to foam cells
- **Generally regarded to exacerbate lesion pathogenesis**
- Activation of *Acta2* and other SMC genes in response to $\text{TGF}\beta$ or thrombin *in vitro*
- Some evidence they activate SMC markers *in vivo* but the role of this in lesion pathogenesis is unknown.

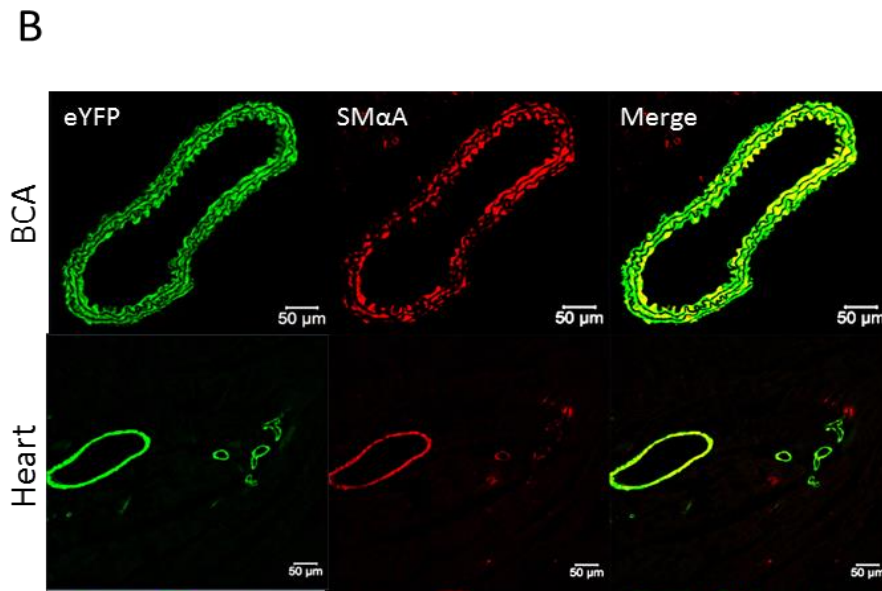
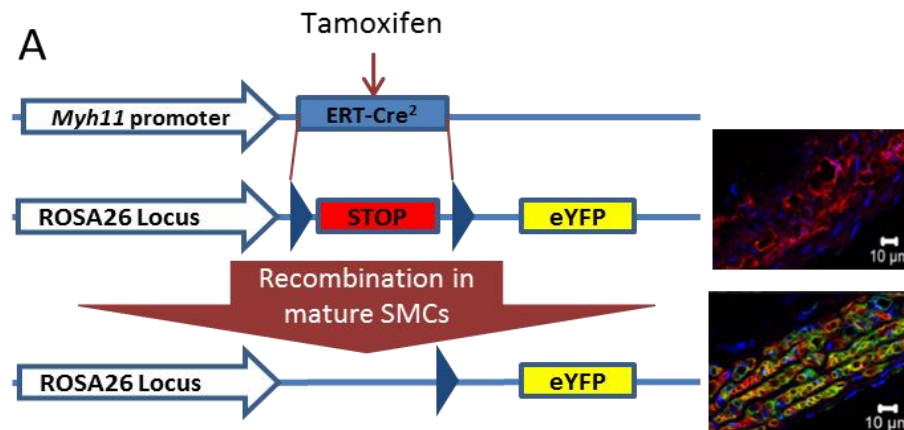


There are major ambiguities regarding whether Acta2^+ and CD68^+ lesion cells are SMC- versus macrophage-derived, whether the phenotypic changes of these cells are good or bad, and what mechanisms or factors promote beneficial versus detrimental phenotypic changes.



Libby, *Nature* 2011

Development of a Rigorous SMC Lineage Tracing Mouse Model and Use in Determining the Roles of these Cells in Atherosclerosis and Various Wound Healing Models



Gomez et al. *Nature Methods* 10:171-177, 2013.

Myh11 YFP mice show:

1. No detectable expression of YFP in the absence of tamoxifen.
2. High efficiency (>99%) labeling of arterial SMC (and NG2⁺ pericytes) following tamoxifen treatment between 6-8 weeks of age.
3. Complete SMC-pericyte specificity with no YFP labeling of any other cell type.

As such we can permanently lineage tag SMC-pericytes and determine what they or their progeny become irrespective of continued expression of Myh11 or other SMC-pericyte marker genes.

We have bred Myh11 YFP mice with ApoE^{-/-} mice and various mice containing floxed alleles of various genes we postulate are involved in controlling SMC phenotypic transitions (Klf4, Oct4, PDGFRβ, IL1R1, Col15a).

Overall Experimental Design: Myh11-ERT2 Cre eYFP ApoE^{-/-} mice +/- floxed candidate genes

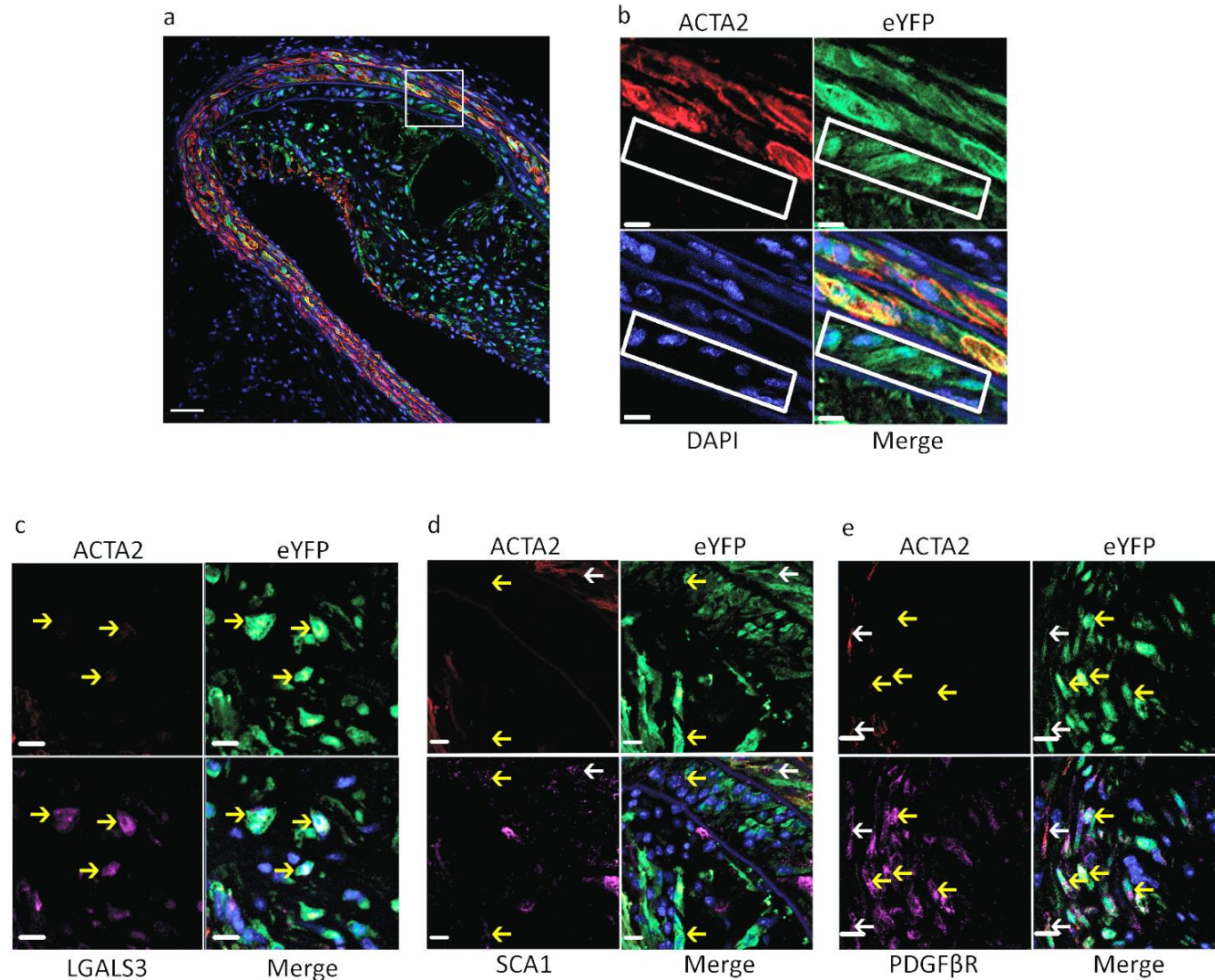


Analyses:

1. Lesion and lumen size (areas)
2. Remodeling indices (EEL, IEL lengths and areas)
3. SMC (eYFP⁺) and non-SMC (eYFP⁻) phenotypic transitions (IF high resolution z-stack confocal and flow cytometry)(SMC/leucocyte marker panels, novel marker panels from our in vivo genomic analyses of advanced BCA lesions)
4. Evaluation of Ki67 (DNA synthesis) and caspase3 (apoptotic indices) in the preceding SMC and non-SMC populations.
5. Sudan IV en face staining (fatty streaks)
6. Indices of plaque stability
 - a. Fibrous cap thickness and area
 - b. SMC/macrophage ratios (overall and in the fibrous cap)
 - c. Collagen content and maturation (Picosirius red polarization)(overall and in the fibrous cap)
 - d. Necrotic core size
 - e. Intraplaque hemorrhage (Ter119)
 - f. Lipid content (Oil Red O)

Blue = metrics analyzed in
the majority of
atherosclerosis studies

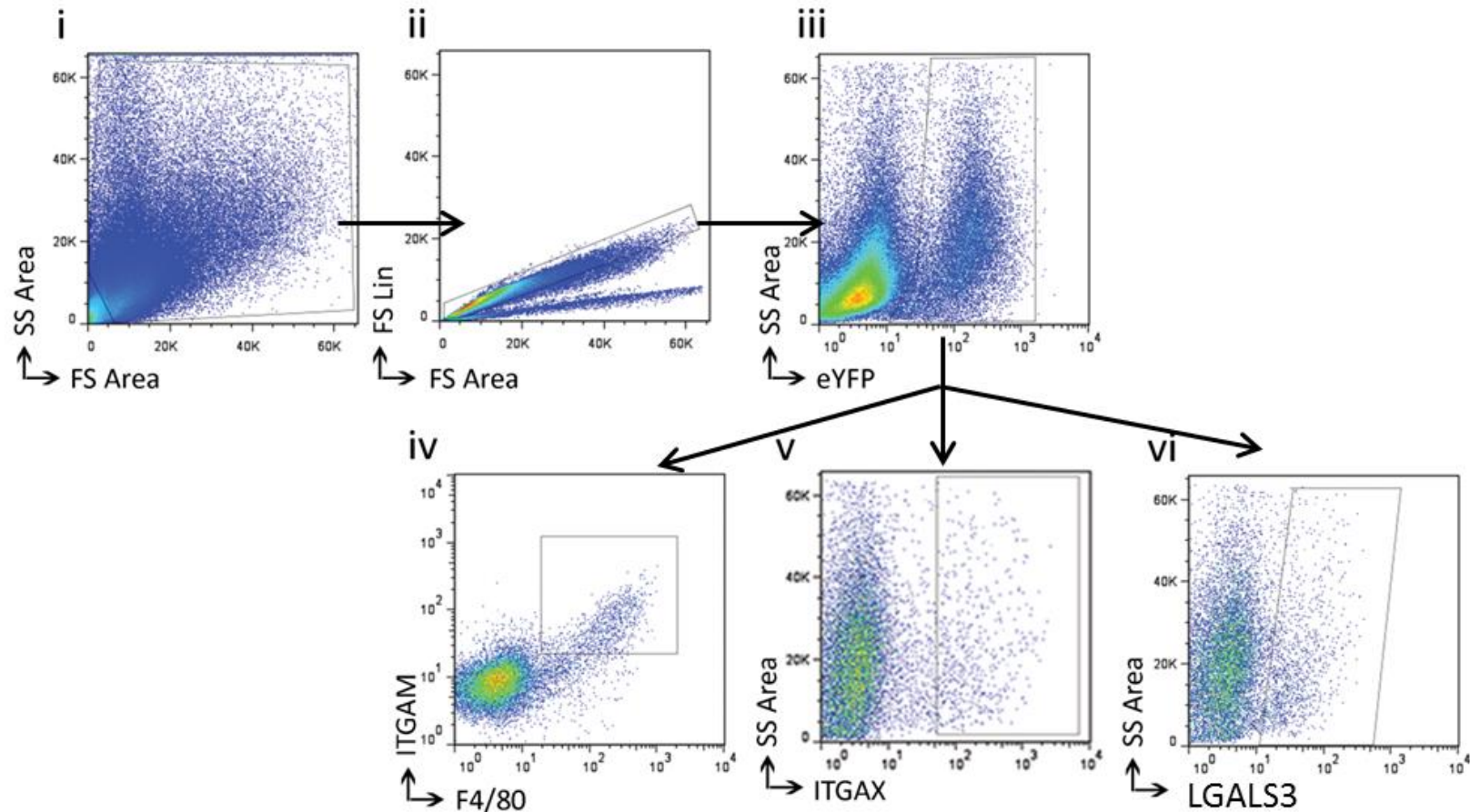
The Majority of SMC-Derived Cells within Advanced ApoE^{-/-} Lesions Lack Detectable SMC Markers and have Activated Markers of Macrophages, MSC and Myofibroblasts



Summary of Quantitative Confocal Microscopic Analyses of Intimal SMC Derived Cells in our Myh11-YFP ApoE^{-/-} Mice Following 18 Weeks of Western Diet Feeding

Cell Populations Within the Lesion	% of YFP+	S.E.M.
% of eYFP+ cells that are ACTA2+	16.1	±1.6
% of eYFP+ cells that are ACTA2-	82.4	±2.4
% of eYFP+ cells that are LGALS3+	30.5	±4.2
% of eYFP+ cells that are Sca1+	7.3	±3.0
% of eYFP+ cells that are PDGFβ receptor+	12.7	±2.0
% of LGALS3+ Cells that are eYFP+	36.0	±4.2
Percent of eYFP+ Cells of Unknown Function	32-51	

SMC-Derived Cells From 18-Week Western Diet Fed ApoE KO Mouse Aortas Express Multiple Macrophage Markers



ITGAM = integrin alpha-M, CD11b, macrophage adhesion and phagocytosis

F4/80 = Ly71, Gp480, mature macrophages

ITGAX = CD11c, dendritic cells

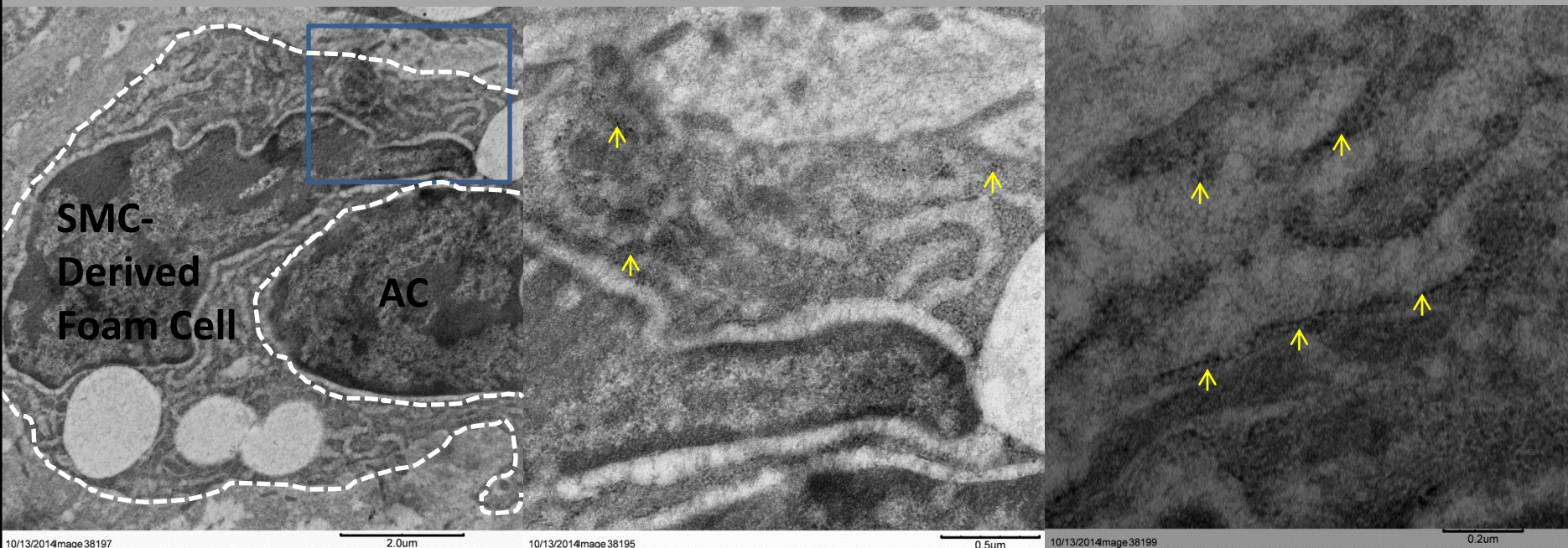
LGALS3 = Mac2

PTPRC = CD45, leucocyte common antigen, protein tyrosine phosphatase receptor c

What are the functional properties
of SMC derived macrophage-like
cells?

Are they phagocytic?

Immuno-EM Analyses of our SMC Lineage Tracing ApoE^{-/-} Mice Provide Evidence SMC-Derived YFP⁺ Cells are Phagocytic In Vivo

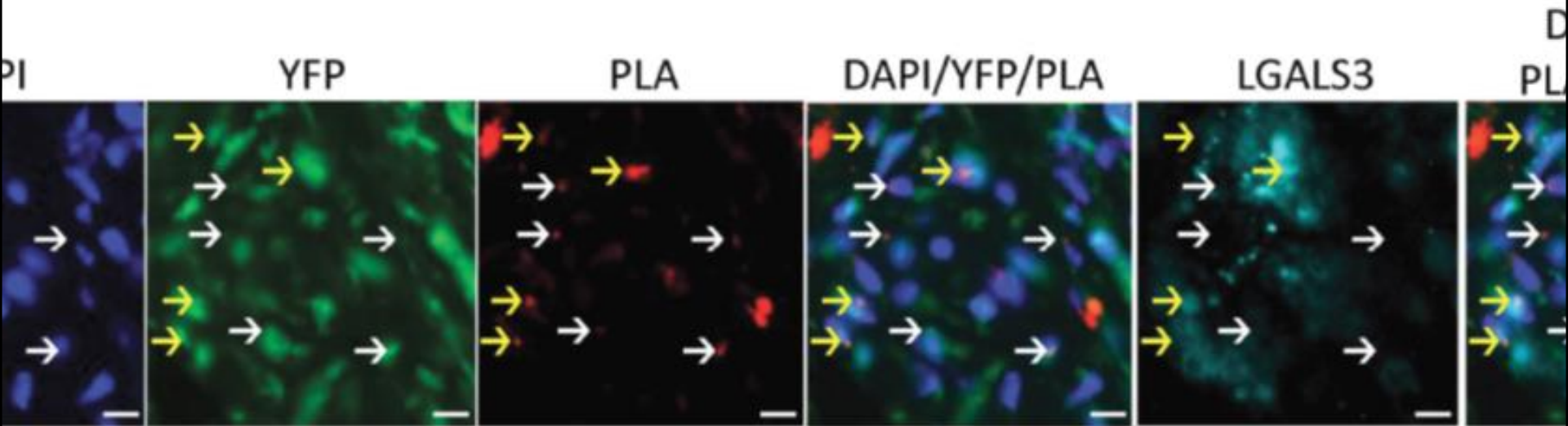


↑ Yellow arrows depict YFP immunogold particles

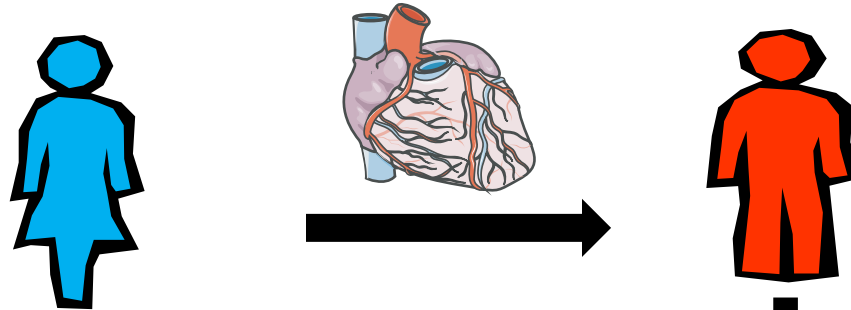
AC = apoptotic cell nucleus

**Do SMC transition to a
macrophage-like state within
human lesions?**

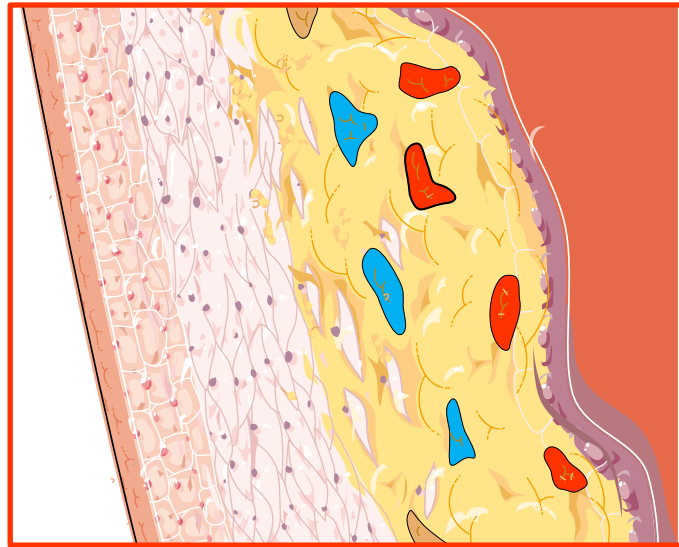
SMC-Derived Macrophage-like Cells within Advanced ApoE^{-/-} Lesions Retain Their Unique Mvh11 H3K4diMe Epigenetic Signature



Cross-gender Heart transplant



After X years: Death
&
Atherosclerotic lesion
within coronary arteries



Coronary arteries
from heart-
transplanted
patient
Donor = female
Recipient = male



Vascular cells = XX
Myeloid cells = XY

FISH

Y chr probe + IF/PLISA...

Macrophage-like cells



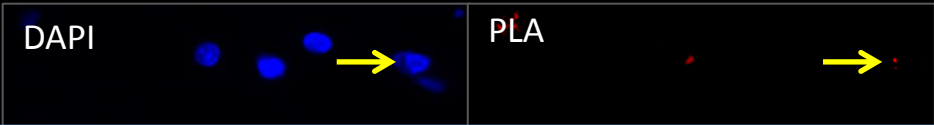
Y chr +



Y chr -

Female Donor Heart into a Male Recipient – Evidence for SMC Derived Mac-Like Cells in Human Coronary Artery Atherosclerotic Lesions

SMC in coronary arteries are XX; Myeloid cells are XY

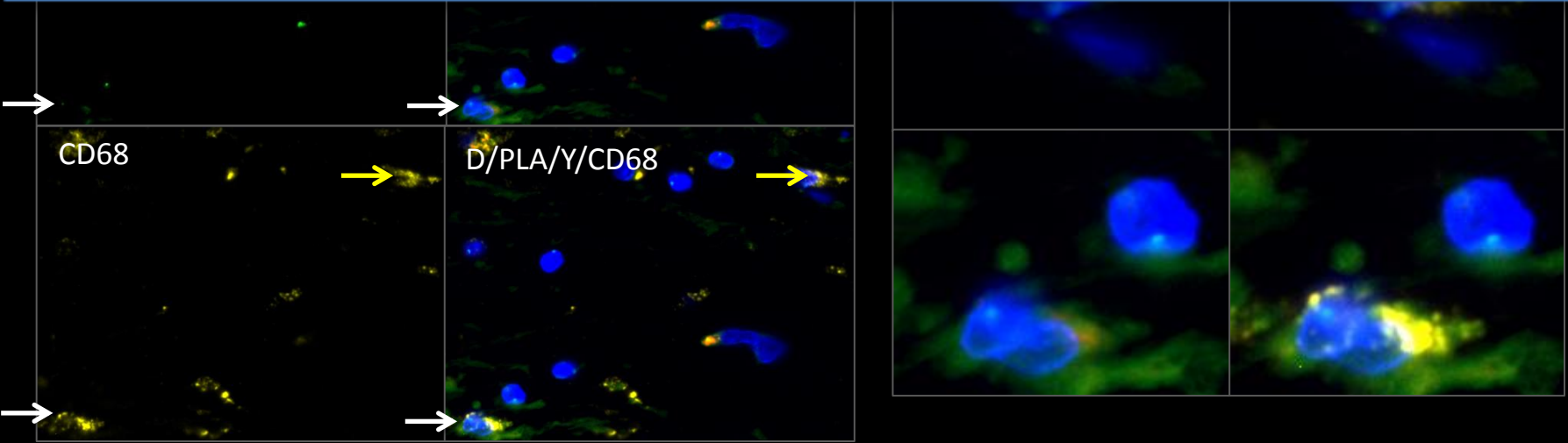


→ H3K4dime Myh11 PLA+ / Y chr - / CD68+

C

MYH11 H3K4dime ISH-PLA in Human Coronary Artery Atherosclerosis

Markers Present	Actual Count	Correction Efficiency
CD68+PLA+/CD68+	11.68 ± 1.5	17.96 ± 2.4
ACTA2+CD68+PLA+/CD68+PLA+	22.47 ± 5.9	34.57 ± 9.1

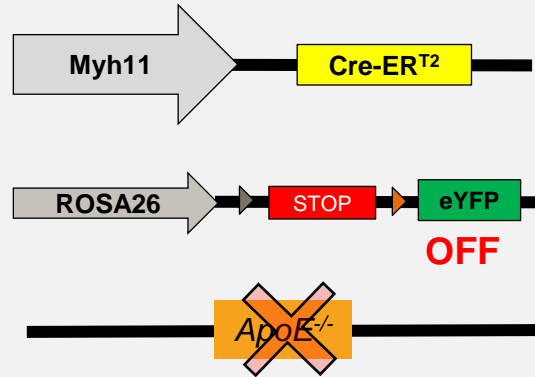


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SMC-specific conditional knockout of pluripotency factors, KLF4 and Oct4, demonstrate a critical role of SMC in late stage lesion pathogenesis

***Myh11* ER^{T2}Cre *eYFP* *ApoE*^{-/-}**



***KLF4*^{fl/fl}**



***Oct4*^{fl/fl}**



nature
medicine

KLF4-dependent phenotypic modulation of smooth muscle cells has a key role in atherosclerotic plaque pathogenesis

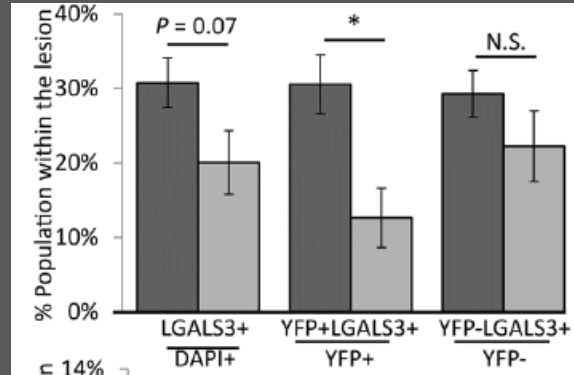
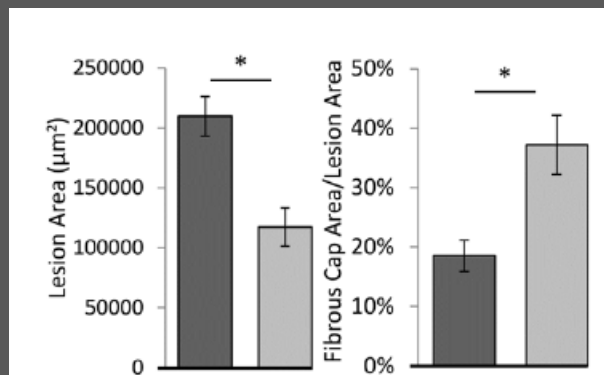
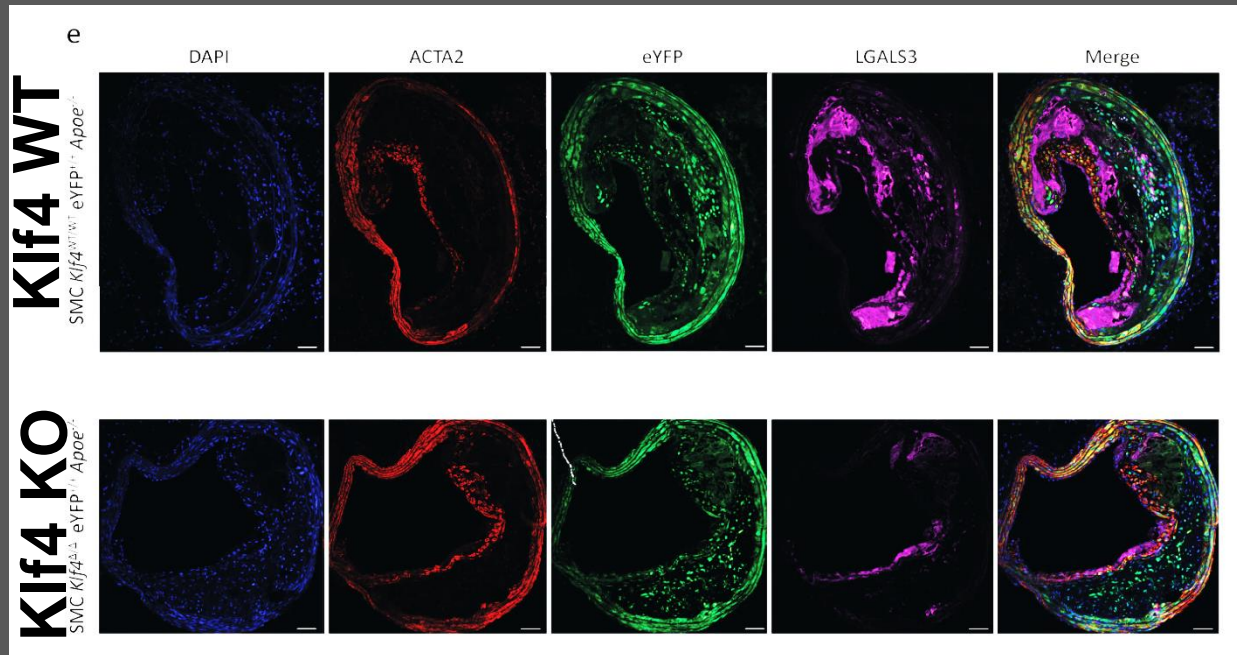
Laura S Shankman^{1,2}, Delphine Gomez¹, Olga A Cherepanova¹, Morgan Salmon³, Gabriel F Alencar^{1,4}, Ryan M Haskins^{1,5}, Pamela Swiatlowska^{1,6}, Alexandra A C Newman^{1,4}, Elizabeth S Greene¹, Adam C Straub⁷, Brant Isakson^{1,2}, Gwendalyn J Randolph⁸ & Gary K Owens^{1,2}

nature
medicine

Activation of the pluripotency factor OCT4 in smooth muscle cells is atheroprotective

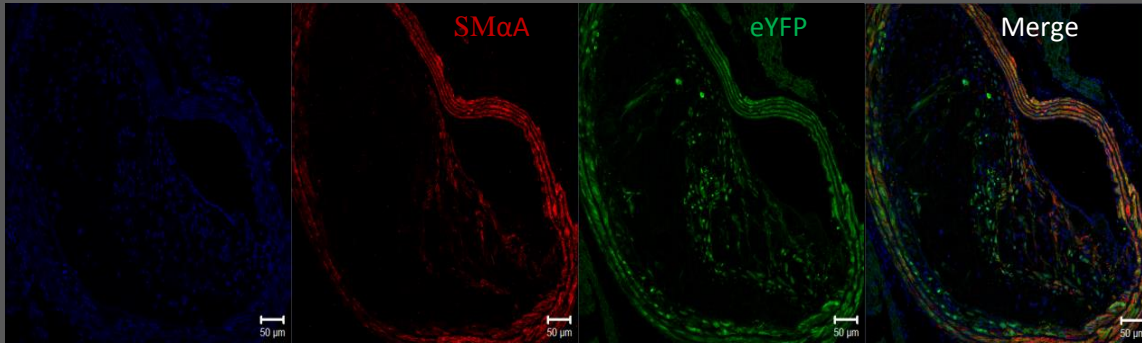
Olga A Cherepanova¹, Delphine Gomez^{1,2}, Laura S Shankman^{1,2}, Pamela Swiatlowska^{1,3}, Jason Williams⁴, Olga F Sarmento⁵, Gabriel F Alencar^{1,6}, Daniel L Hess^{1,6}, Melissa H Bevard¹, Elizabeth S Greene¹, Meera Murgai^{1,7}, Stephen D Turner⁸, Yong-Jian Geng⁴, Stefan Bekiranov⁶, Jessica J Connelly^{1,9}, Alexey Tomilin & Gary K Owens^{1,2}*

SMC Specific Conditional KO of the Stem Cell Pluripotency Factor KLF4 Resulted in Markedly Reduced Lesion Size but Increases in Multiple Indices of Plaque Stability

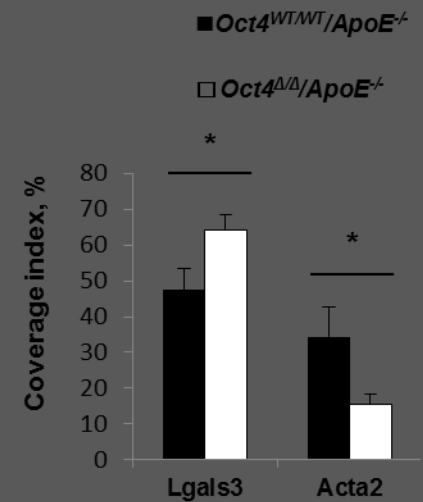
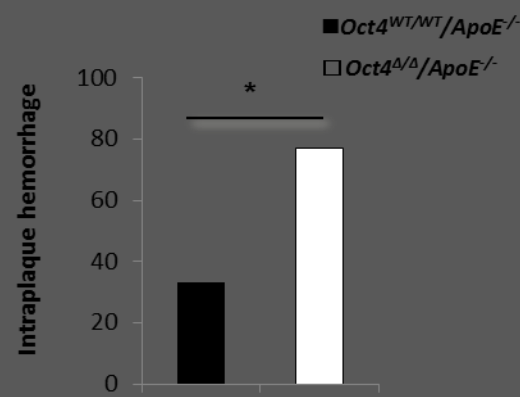
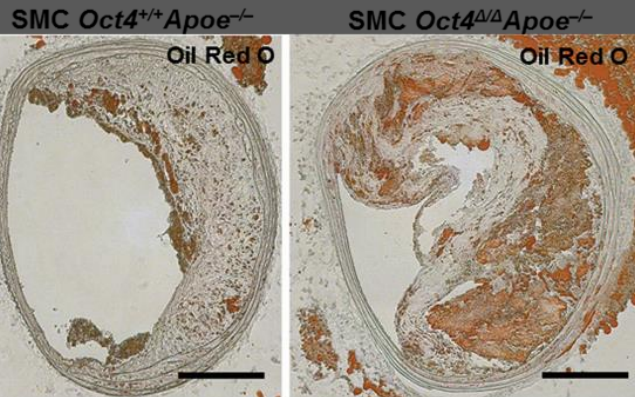
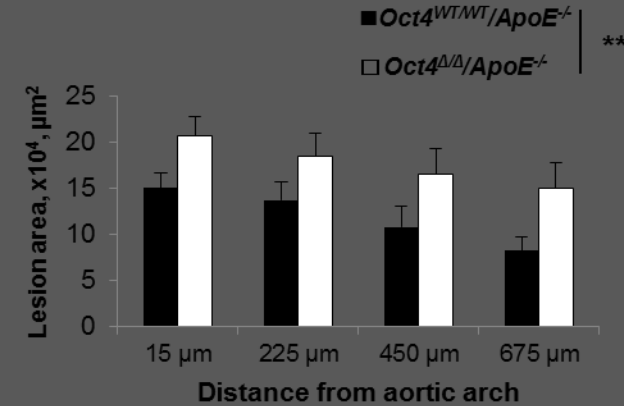
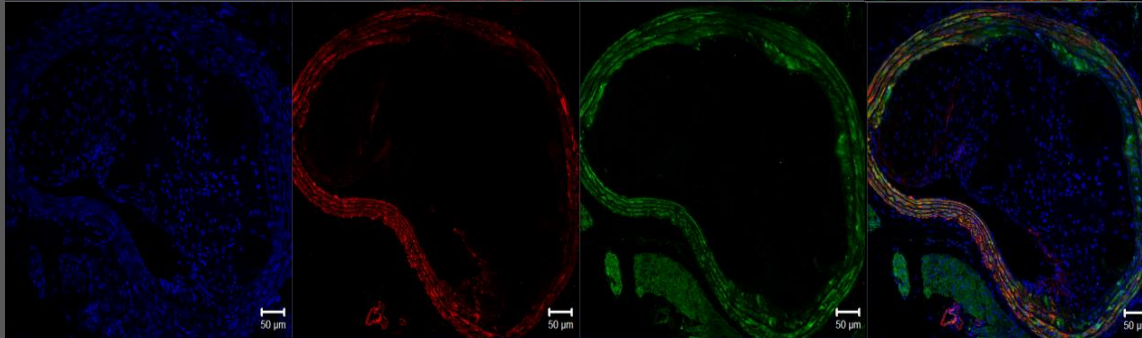


SMC-Specific Conditional KO of the Stem Cell Pluripotency Factor Oct4 Resulted in Lesions Virtually Devoid of SMC and which were Larger and Exhibited Multiple Features of Reduced Plaque Stability

Oct4 WT



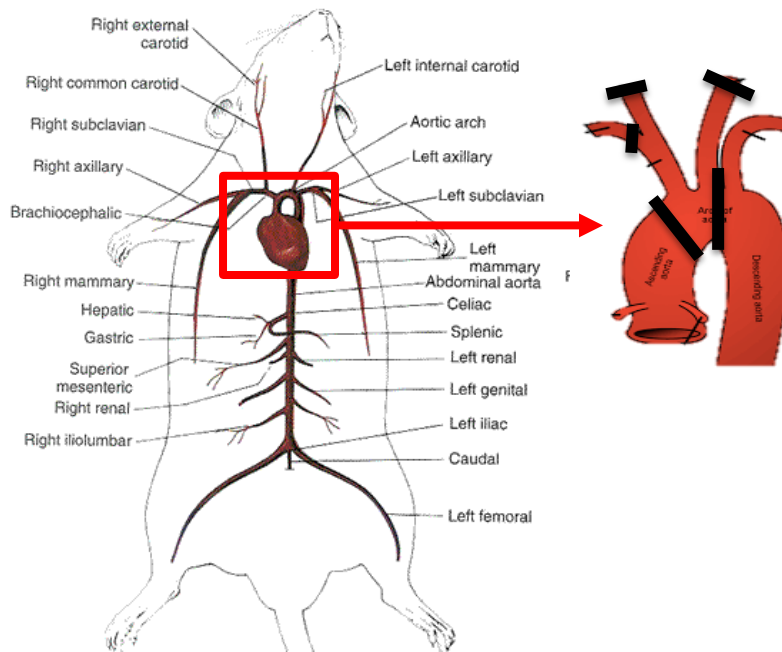
Oct4 KO



What are the critical Oct4 and Klf4 target genes that explain why their loss in SMC has profoundly different effects on lesion pathogenesis?

Can we exploit these differences to better define different SMC phenotypes that confer atheroprotective versus atheropromoting effects, and to identify novel therapeutic targets for promoting plaque stability?

***In vivo* genomic analyses of advanced BCA lesions from WT versus SMC Oct4 or Klf4 KO ApoE-/- mice fed a WD for 18 weeks**



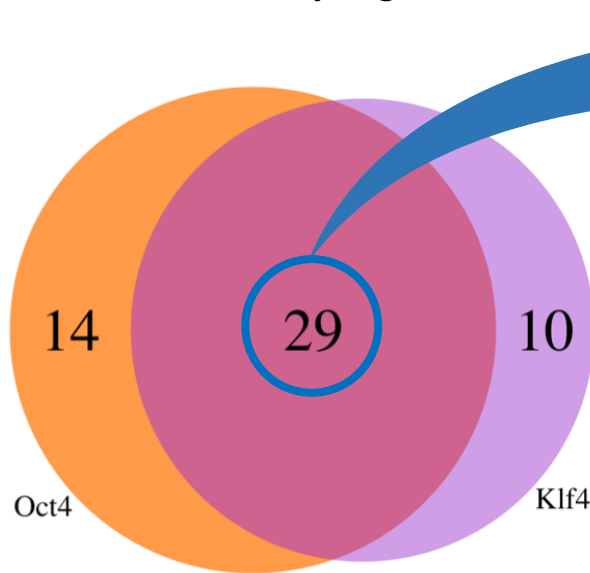
Genotype	ChIP-seq	RNA-seq
<i>Klf4</i> KO	n = 1 (pooled from ~15 animals)	n = 5
<i>Klf4</i> WT	n = 1 (pooled from ~15 animals)	n = 4

Genotype	ChIP-seq	RNA-seq
<i>Oct4</i> KO	n = 1 (pooled from ~15 animals)	n = 4
<i>Oct4</i> WT	n = 1 (pooled from ~15 animals)	n = 4

Multiplex Genomic Analyses of Advanced BCA Lesions from SMC Conditional Oct4 and Klf4 KO Mice Exhibit Profoundly Different Molecular Signatures

Genotype	# of Up-Regulated KEGG Pathways (FDR=0.05)	# of Down-Regulated KEGG Pathways (FDR=0.05)
<i>Klf4</i> KO vs WT	0	39
<i>Oct4</i> KO vs WT	43	0

Common Differentially Regulated Pathways



Klf4 and Oct4 log Fold-change of examples of genes present in *Klf4* ChIP-seq and common Pathways (n=54)

Gene	Klf4 KO logFC	Oct4 KO logFC
CD36	-0.499	0.495
Calr	-0.175	0.289
Csf2ra	-0.074	0.256
Cxcr4	-0.169	0.416
Itgax (CD11c)	-0.372	0.663
Stat3	-0.151	0.059
Tubb2b	0.556	-0.108
- logFC		+ logFC

Klf4 and Oct4 log Fold-change of examples of genes present in Oct4 ChIP-seq and common Pathways (n=32)

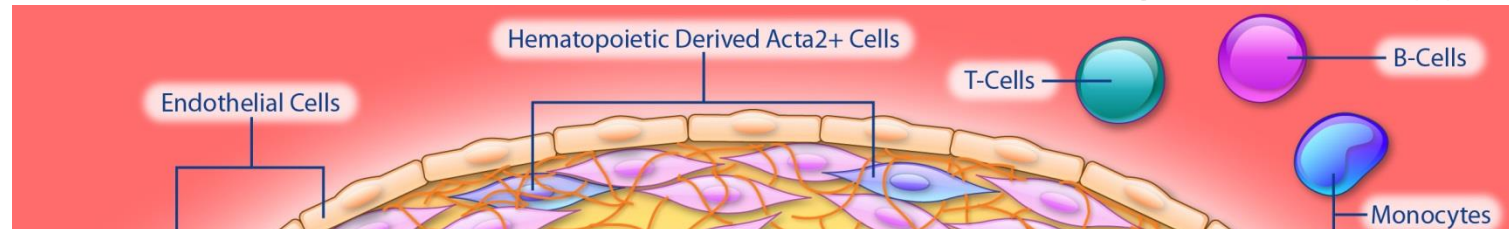
Gene	Klf4 KO logFC	Oct4 KO logFC
CD9	-0.074	0.140
H2-M1	0.132	-0.146
Il7r	-0.311	0.641
Itga4 (CD49D)	-0.218	0.236
Ly96	-0.248	0.281
Tgfbr2	-0.208	0.121
Tlr4	-0.206	0.410

Of 94 human genes shown to be associated with increased CAD risk, 29 were identified as SMC Klf4 and/or Oct4 target genes in our mouse advanced BCA lesion genomic analyses including the following:

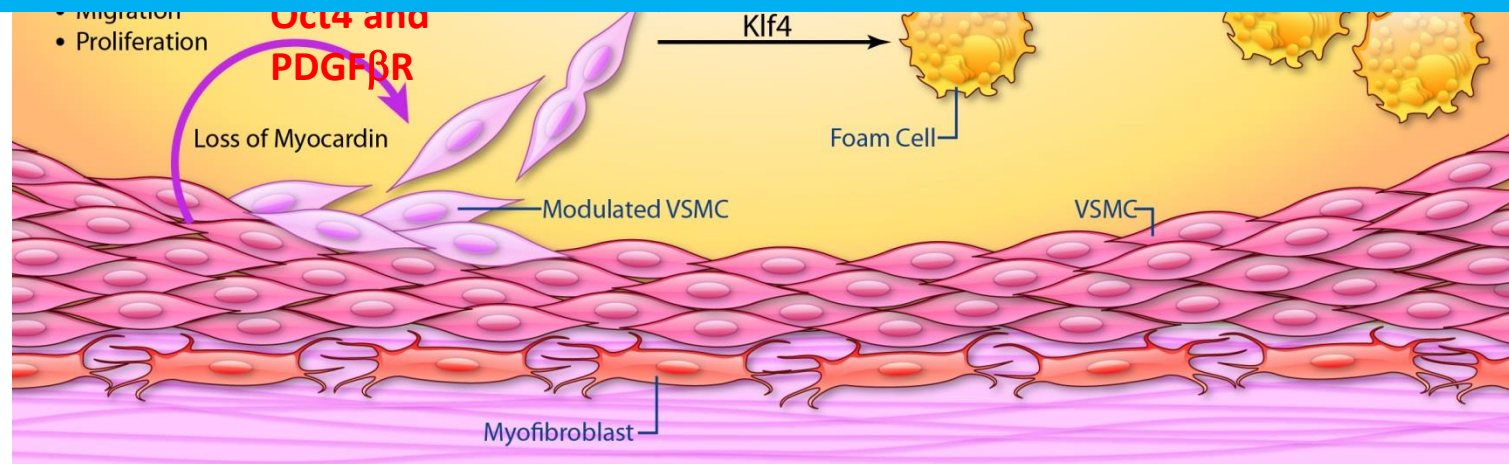
CAD/MI risk gene	OCT4 target	KLF4 target
PHACTR1	x	x
ATP2B1	x	x
LRP1	x	x
APOE-APOC1	x	x
ZEB2	x	
MAD2L1	x	
EDNRA	x	
TRIB1	x	
SCARB1	x	
RHOA		x
UMPS-ITGB5		x
FURIN-FES		x
SMG6		x

Collaboration with Jeanette Erdmann (U Lubeck) and Heri Schunkert (U Munich)

SMC Derived Cells within Advanced Lesions Can Exhibit Athero-Protective or Athero-Promoting Phenotypes



How can we promote beneficial (i.e. plaque-stabilizing) changes in SMC phenotype?



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- I. The majority (>80%) of SMC within advanced atherosclerotic lesions lack expression of SMC marker genes and undergo transition to cells exhibiting characteristics of multiple other cell types including macrophages, MSC, and myofibroblasts (MFs).
- II. The stem cell pluripotency genes Klf4 and Oct4 regulate phenotypic transitions of SMC critical in the pathogenesis of atherosclerosis. However, these transitions can be beneficial or detrimental depending on the nature of those changes.
- III. IL1 β has atheroprotective effects in late stage atherosclerotic lesions including being required for maintenance of a protective fibrous cap.
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Ongoing clinical trial treating high-risk patients with **established**, symptomatic atherosclerosis with Anti-IL1 β Ab



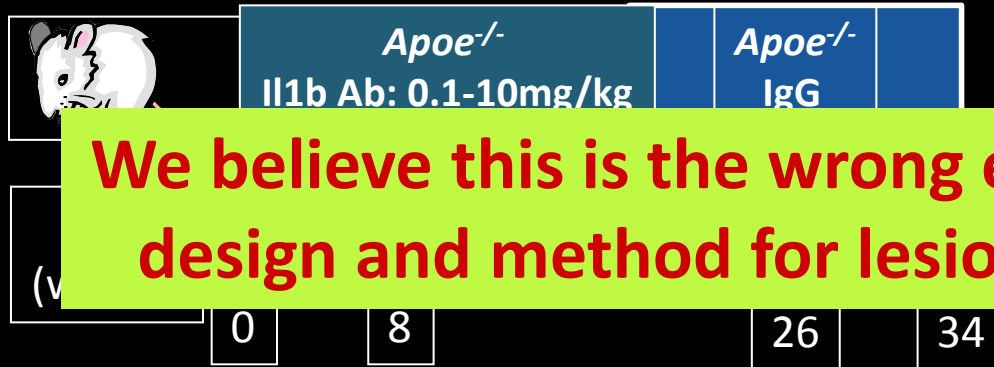
CANTOS Trial: phase III, randomized, placebo-controlled trial

1. **Intervention:** Administering Anti-IL1 β Ab or placebo
2. **Cohort:** 10,065 patients
3. **High-risk Patients:**
 - a) Already survived one myocardial infarction
 - b) Elevated inflammatory biomarkers despite standard of care therapy
4. **Endpoints:** recurrent MI, stroke, or cardiovascular death
5. **Cost:** 776 million dollars over 6 years

Hypothesis: global suppression of inflammation will promote plaque stability and/or induce beneficial cardiac remodeling and thereby reduce the probability of cardiovascular death due to MI, HF, stroke, or other causes.

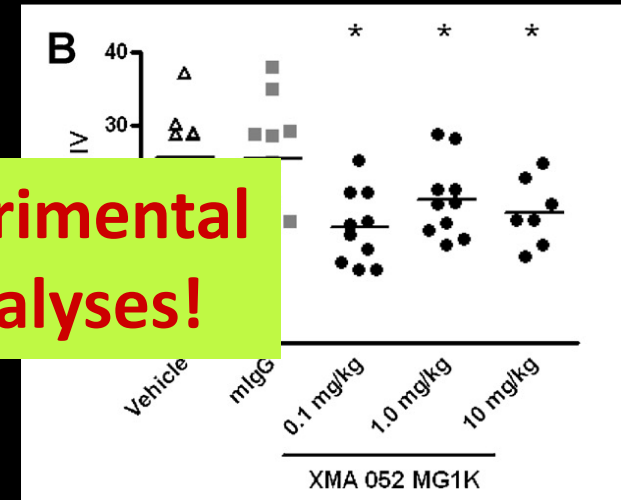
A Key Pre-clinical Study for the Novartis CANTOS Trial Was a Prevention Study and Did not Examine Indices of Plaque Stability

Prevention

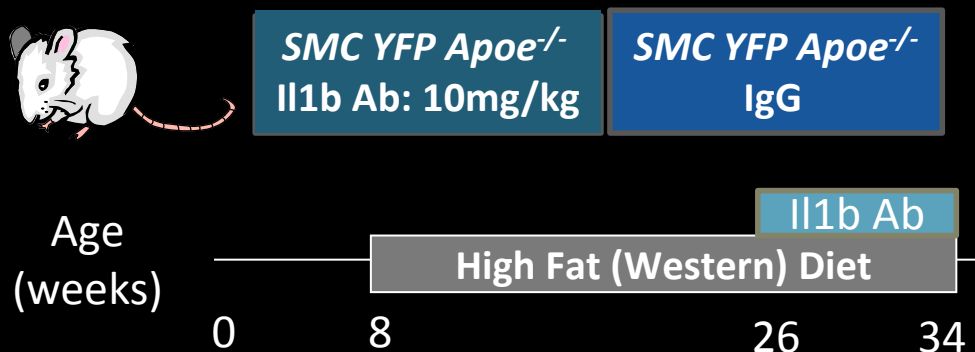


We believe this is the wrong experimental design and method for lesion analyses!

Bhaskar et al. *Atherosclerosis* 216:313, 2011



Our Approach – Reversal (intervention)



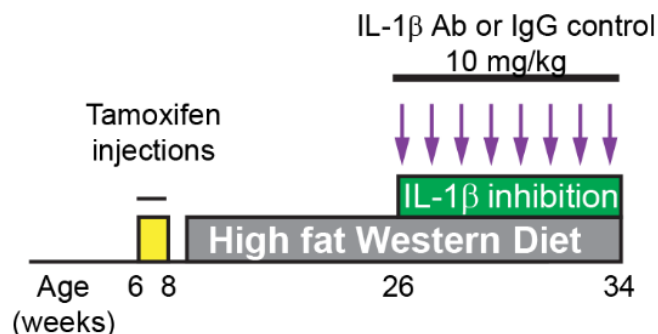
What is the impact of IL1 β antibody treatment of mice with established lesions including evaluation of lesion size, cell composition and phenotypes, outward remodeling, and indices of plaque stability?

Gomez and Owens, 2016, manuscript in preparation; see our Viewpoint Paper Baylis et *Circ Res* 2016

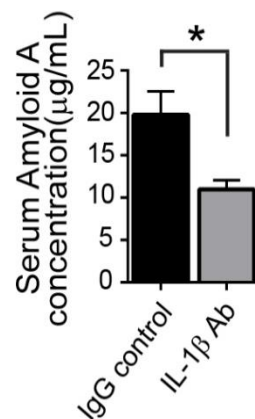
IL-1 β neutralizing antibody administration in 18 week WD fed mice induced a marked repression of systemic and lesion inflammation

INTERVENTION STUDY

Myh11 ER^{T2}Cre YFP^{+/+} ApoE^{-/-}

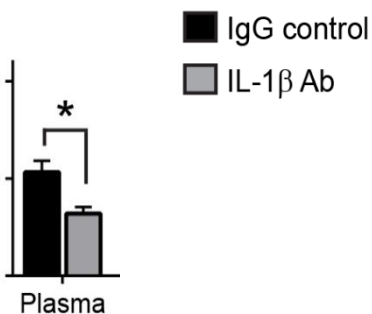


Systemic inflammation



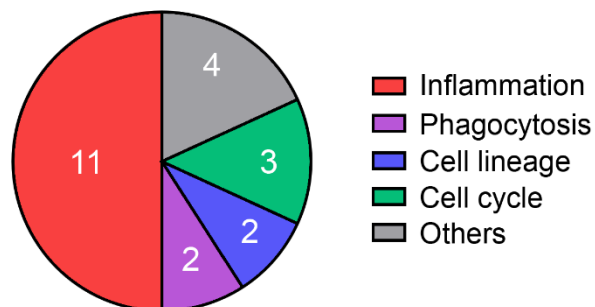
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IL-1 β relative conc. (ng/mg of protein)

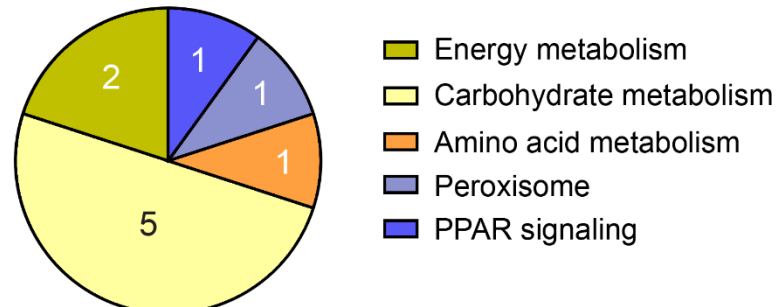


Local inflammation: RNA-seq on atherosclerotic lesion (BAC and aortic arch)

Down-regulated pathways by IL-1 β Ab

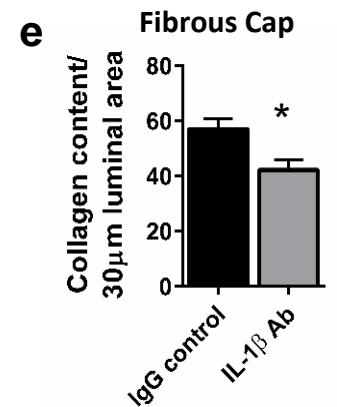
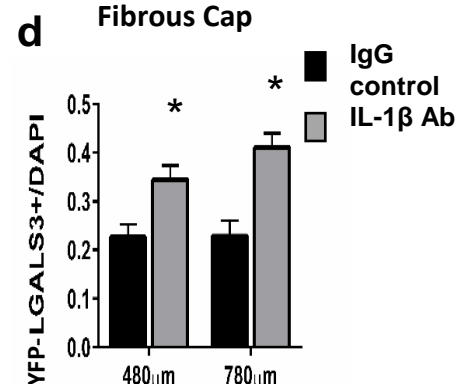
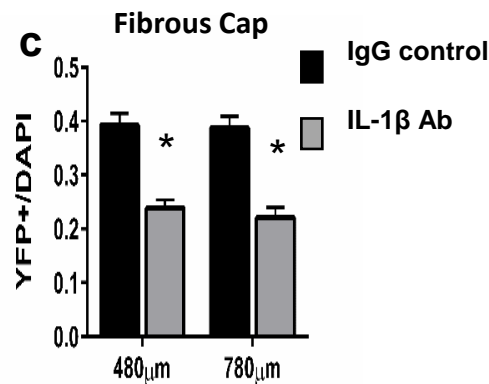
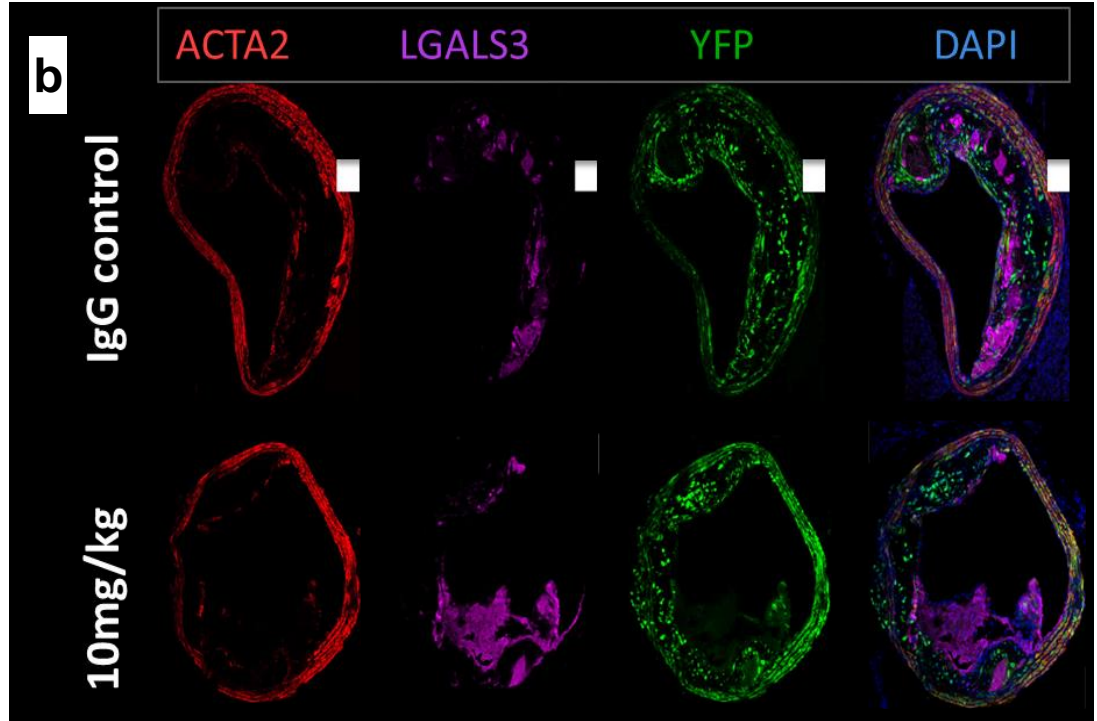
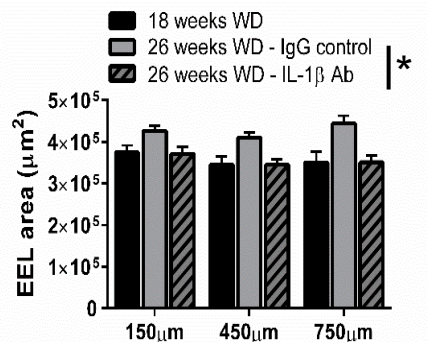
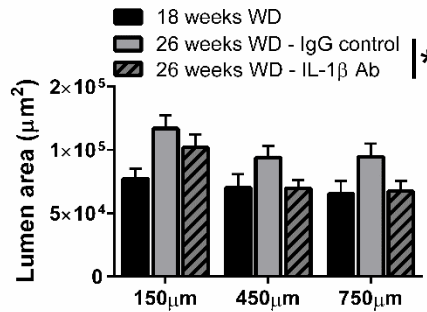
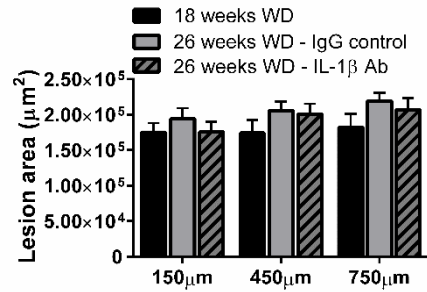


Up-regulated pathways by IL-1 β Ab

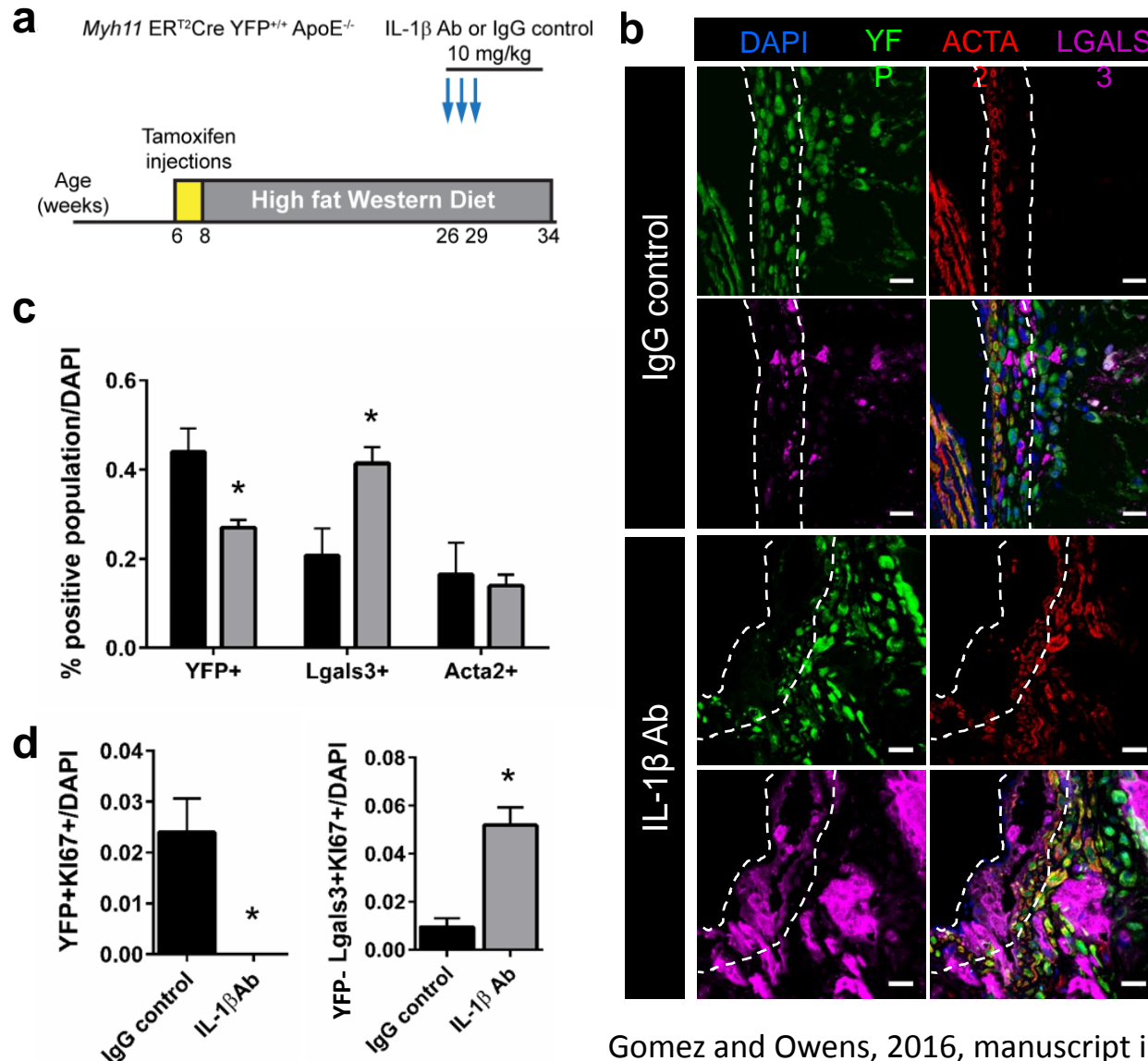


Treatment of our Myh11 eYFP ApoE^{-/-} Mice with the Novartis Anti-IL1 β Antibody Failed to Reduce Lesion Size, Impaired Beneficial Outward Remodeling, and Reduced Indices of Plaque Stability

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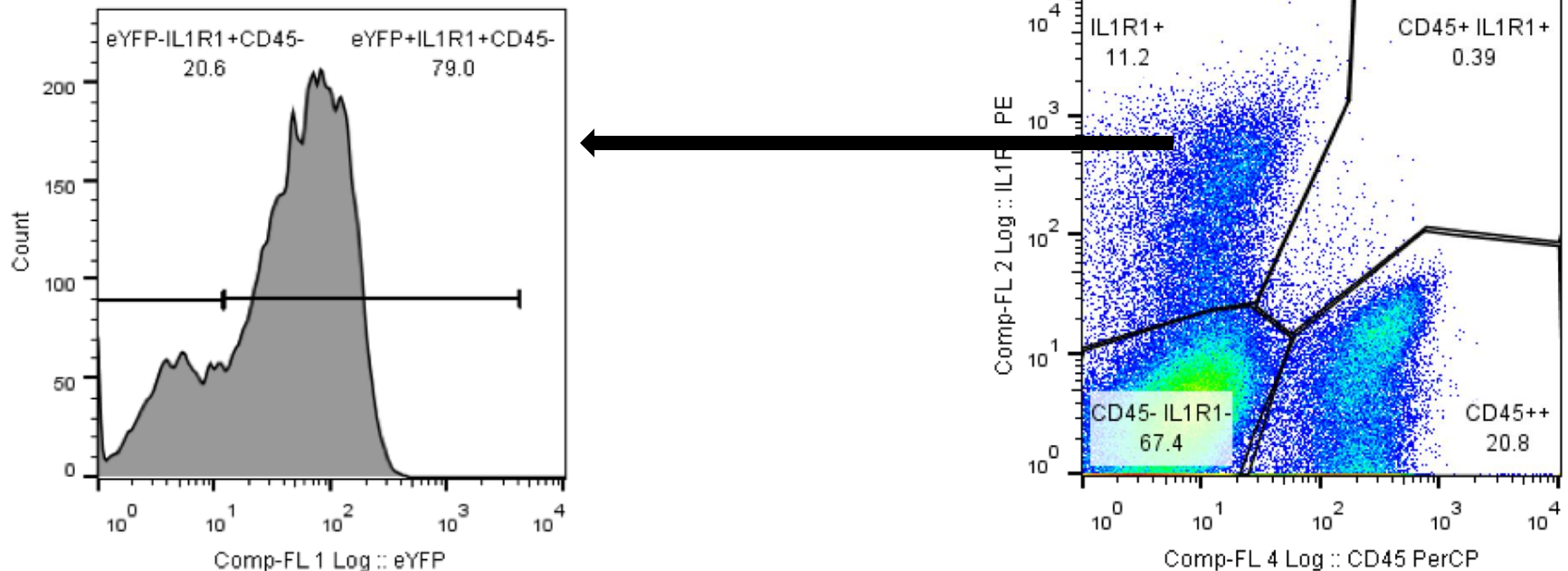
IL-1 β Neutralization Induced Macrophage Accumulation and Loss of SMC within the Fibrous Cap is Due at Least in Part to Reduced SMC but Increased Macrophage Proliferation



Key Unresolved Questions:

1. Are these unexpected detrimental effects of IL1 β neutralization due to inactivation of IL1 β within the lesions themselves, reductions in systemic inflammation, a combination thereof, or some unknown alternative mechanism?
2. Are effects mediated through impaired IL1R1 signaling in macrophages, SMC, EC, some other cell type within lesions, or a combination thereof?
3. What are the underlying mechanisms whereby IL1 β confers beneficial effects within advanced lesions?
4. Can we negate or counteract the detrimental effects of IL1 β neutralization by some complementary therapy?

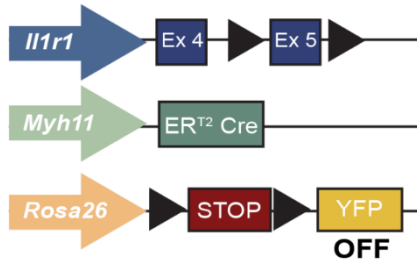
Nearly 80% of IL1R1 Receptor⁺ Cells within the Aorta of 18 week WD-Fed Myh11eYFP ApoE^{-/-} Mice are of SMC Origin



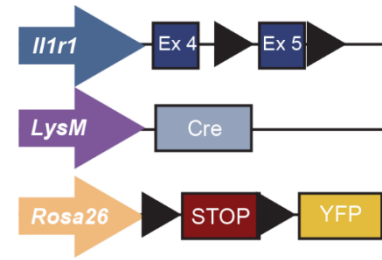
Baylis, Gomez, and Owens;
manuscript in preparation

Generation of SMC Specific and Myeloid Selective IL1R1 KO Mice

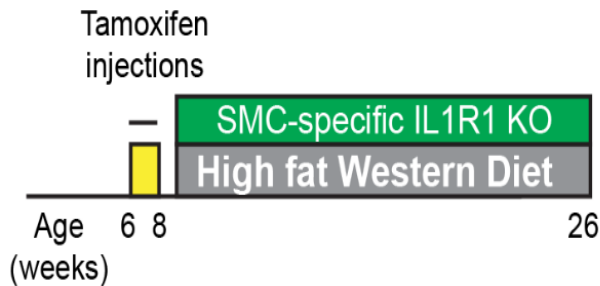
Myh11 ER^{T2} Cre YFP ApoE^{-/-} IL1R1^{flox}



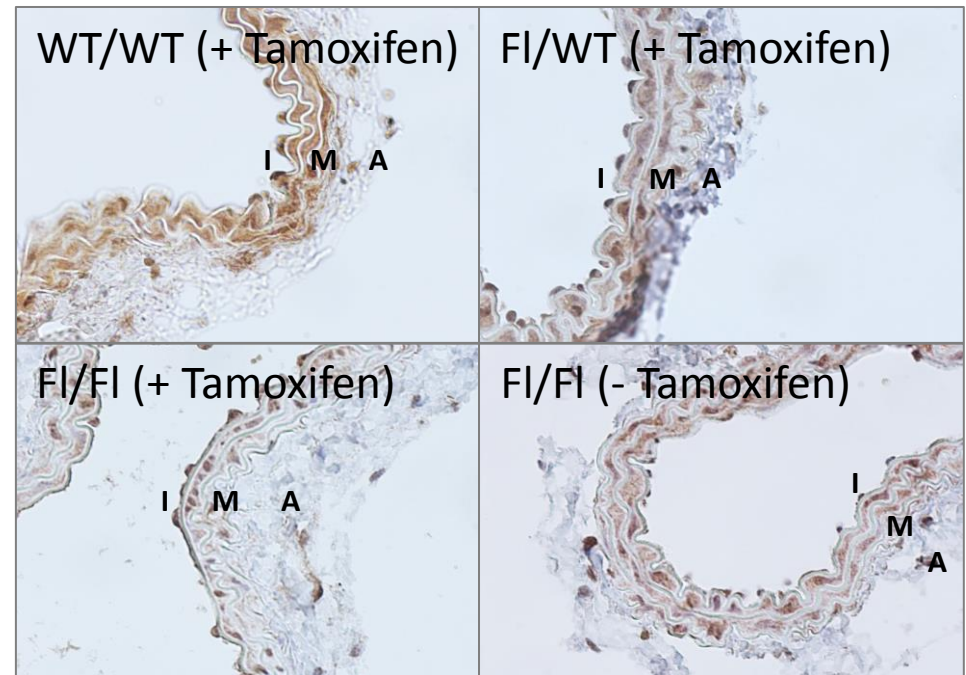
LysM Cre YFP ApoE^{-/-} IL1R1^{flox}



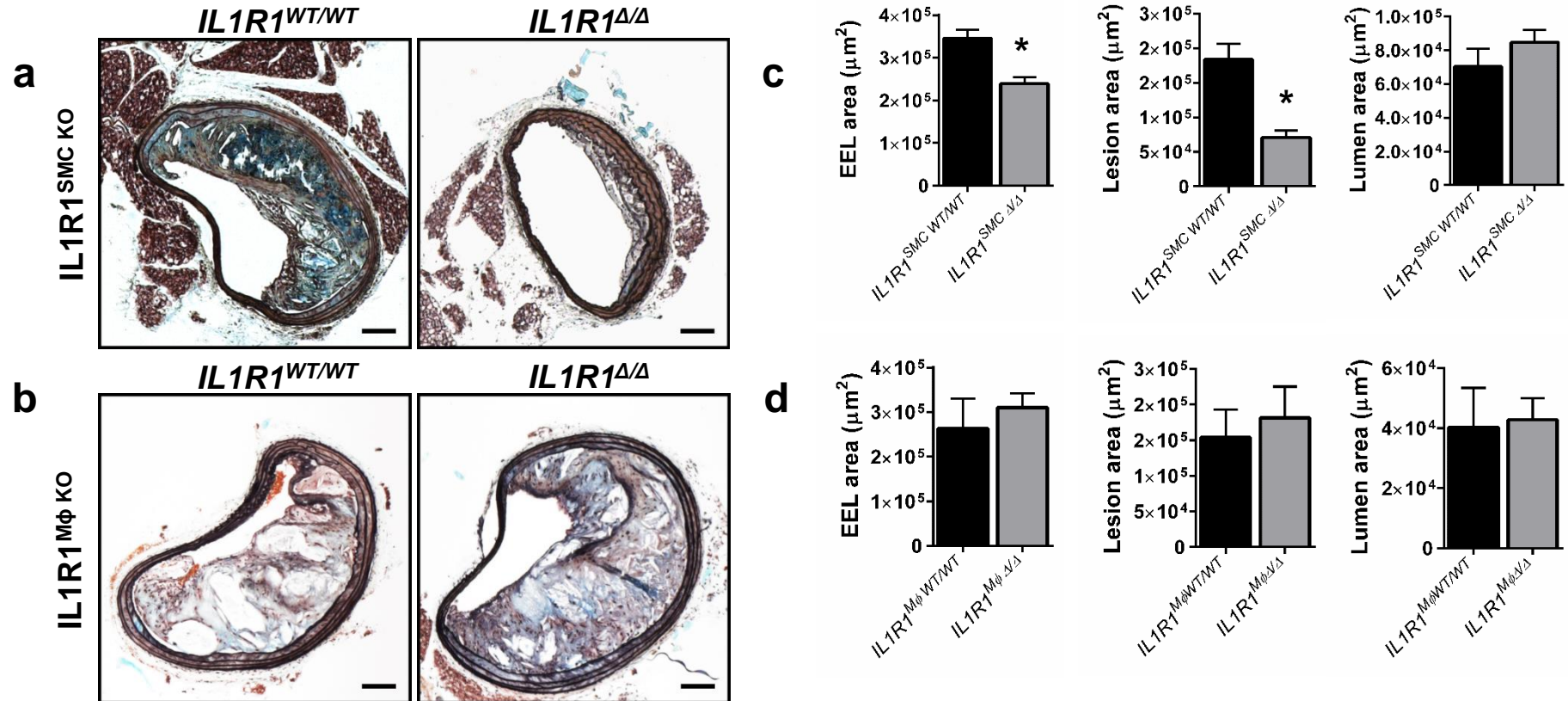
SMC KO Experimental Design



SMC IL1R1 Immunostaining

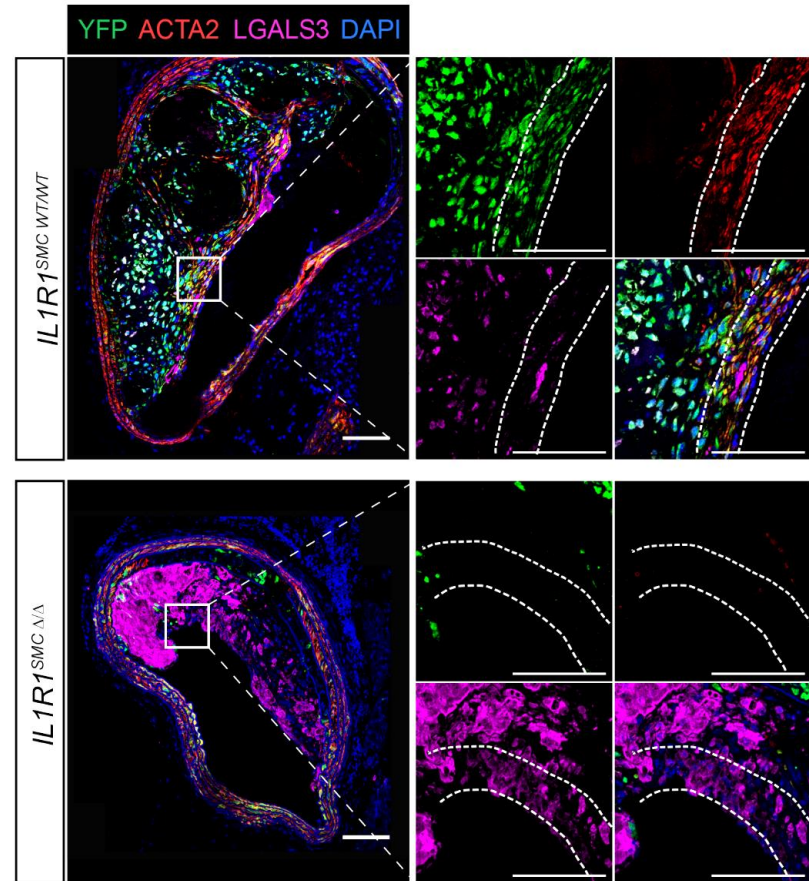
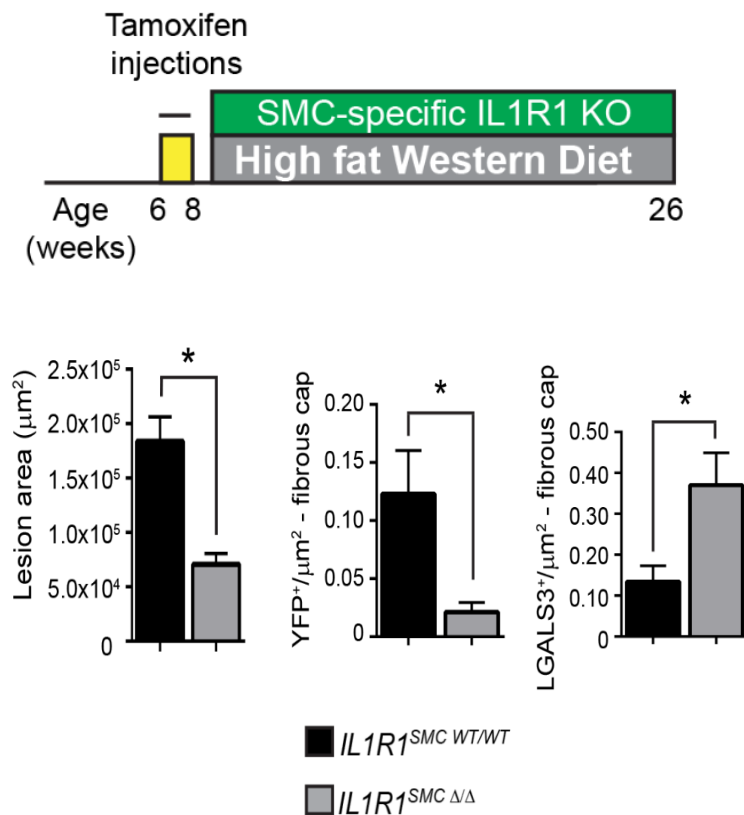


IL1R1-Mediated Exacerbation of Atherosclerosis Development is Mediated by SMC not Myeloid Cells



IL1R1 Signaling in SMC is Required for Investment of SMC into Lesions Including Formation of a Protective Fibrous Cap

Myh11 ER^{T2}Cre IL1R1^{fllox} YFP^{+/+} ApoE^{-/-}

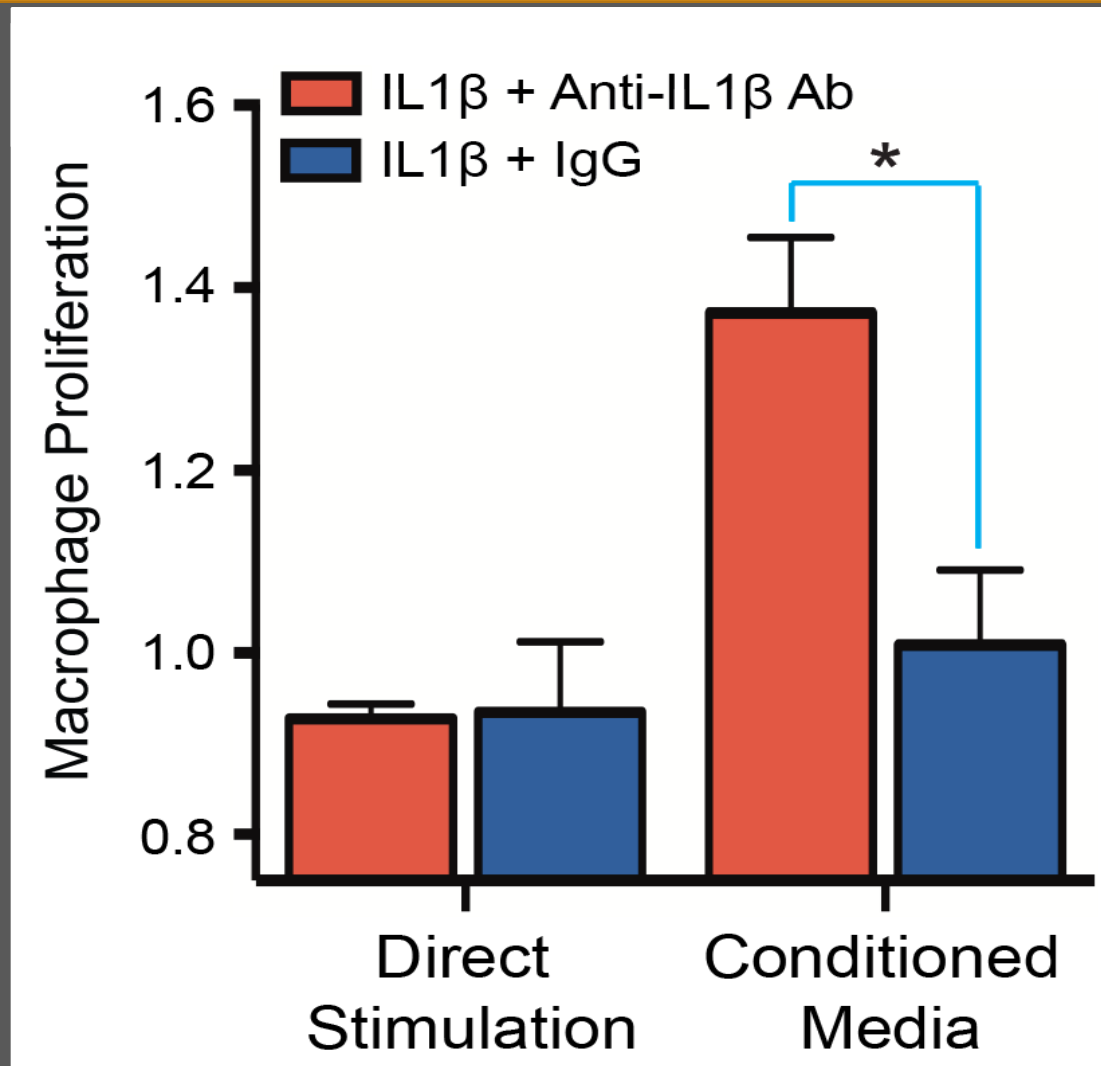


What are the underlying mechanisms whereby IL1 β confers beneficial effects within advanced lesions?

Hypotheses:

- 1. IL1 β promotes increased stabilization of advanced lesions at least in part by inducing fibrous cap SMC to secrete macrophage chemo-repulsants and anti-proliferative cytokines as well as extracellular matrix components.**
- 2. IL1 β neutralization in the setting of advanced atherosclerosis induces a false sense of inflammation resolution leading to dissolution of the fibrous cap in part by loss of SMC and influx and/or proliferation of resident M2 macrophages.**

Cultured SMC stimulated by IL1 β secrete
a factor or factors that inhibits M ϕ proliferation

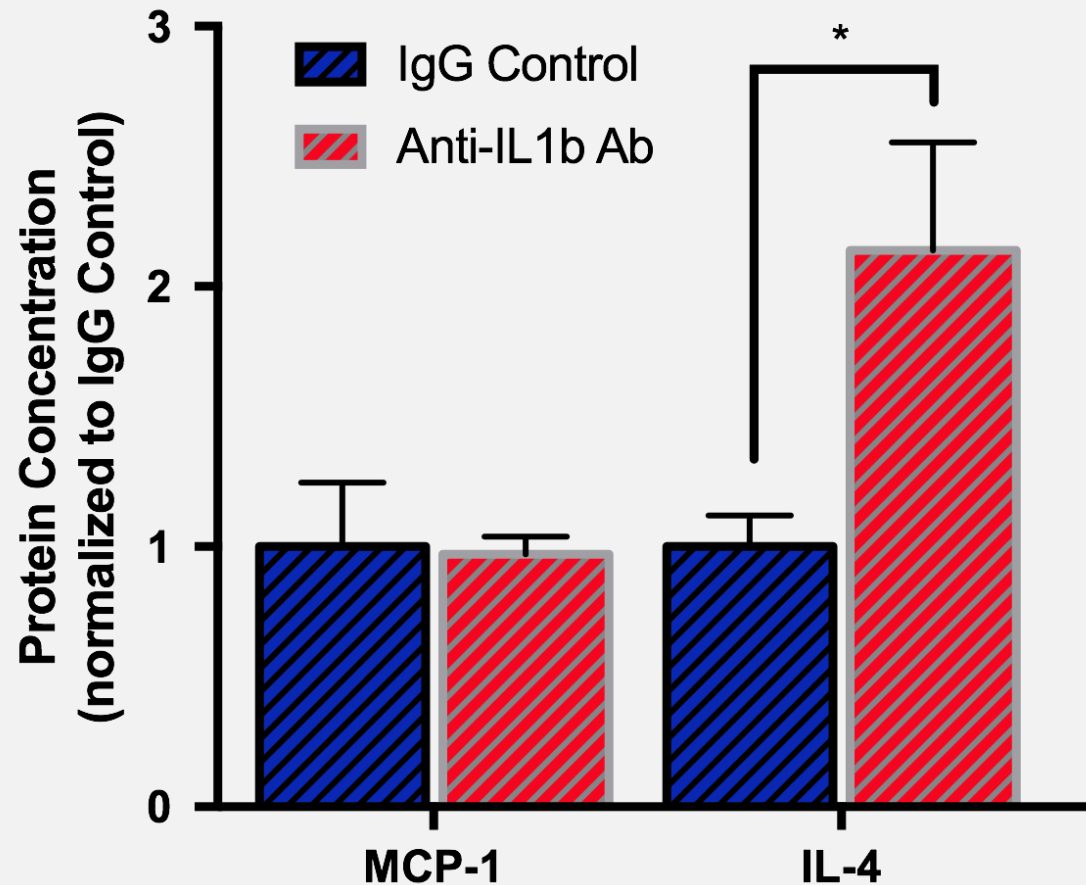


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IL1 β Treatment of SMC eYFP ApoE^{-/-} Mice with Advanced BCA Lesions Induced Increased IL-4 Expression



IL-4 has been shown to inhibit SMC proliferation but promote proliferation of macrophages as well as their transition to an M2 state (Hawker et al., 1998 AJP; Jenkins et al., 2013 JEM; Moore and Tabas, 2011 *Cell*).

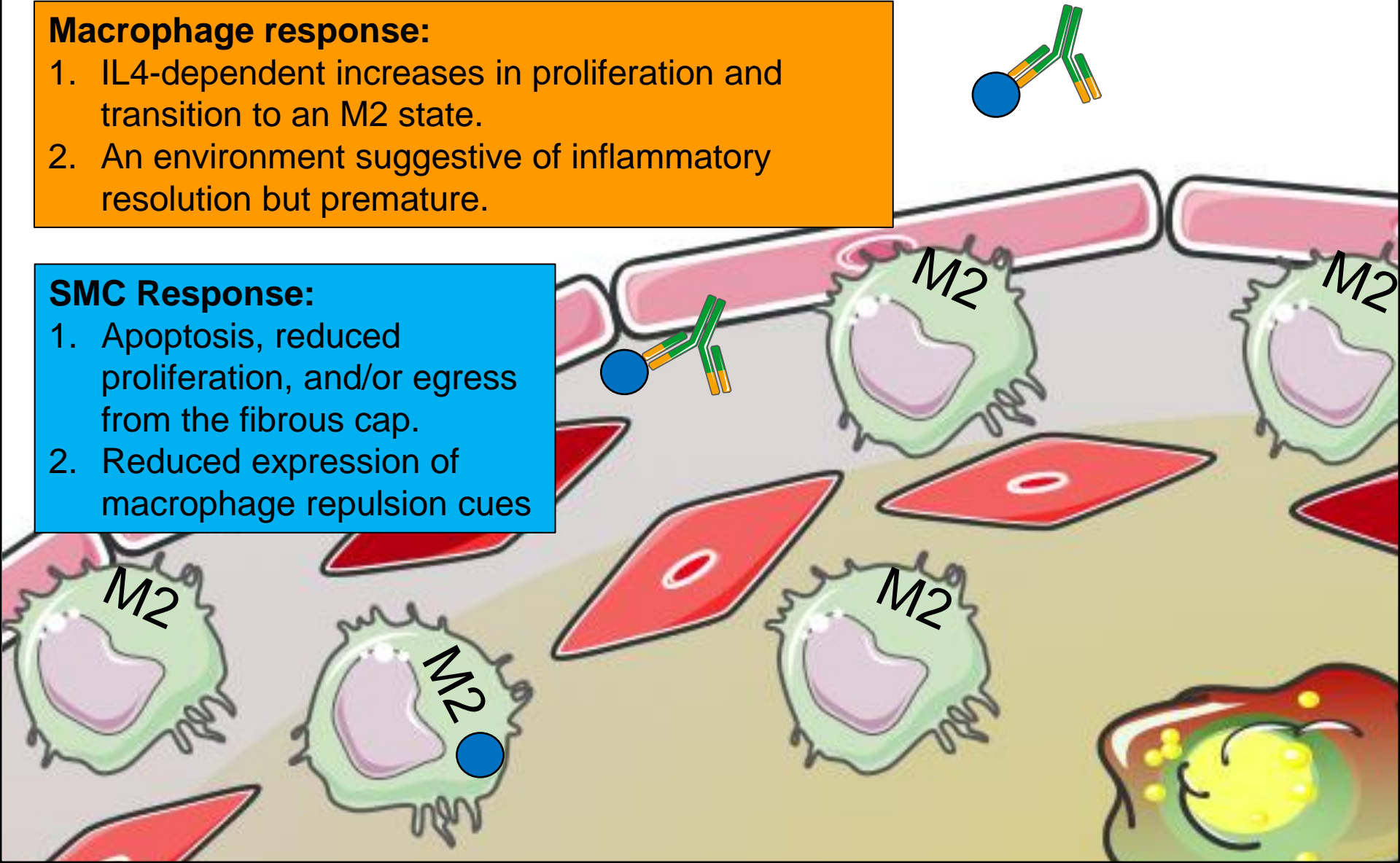
Hypothesis: IL1 β Antibody Neutralization Induces a False Sense of Inflammation Resolution

Macrophage response:

1. IL4-dependent increases in proliferation and transition to an M2 state.
2. An environment suggestive of inflammatory resolution but premature.

SMC Response:

1. Apoptosis, reduced proliferation, and/or egress from the fibrous cap.
2. Reduced expression of macrophage repulsion cues



IL1 β Study Conclusions:

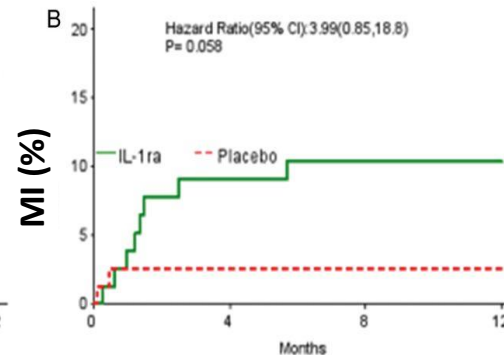
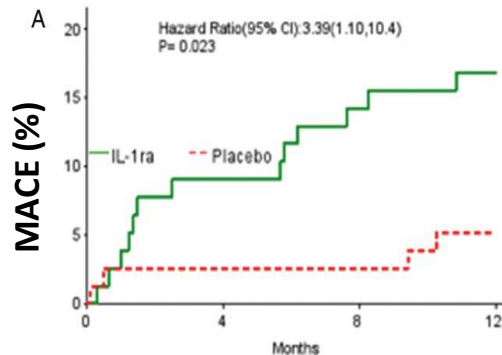
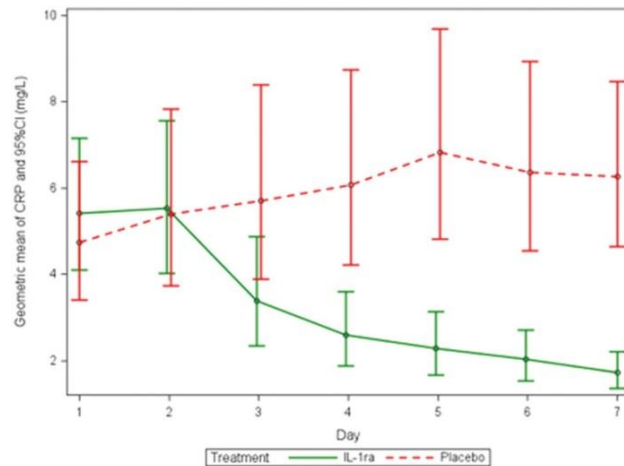
1. The cellular composition of the fibrous cap appears to be far more plastic than has generally been appreciated.
2. IL-1 β has an unexpected atheroprotective role in late stage lesions by promoting a SMC-rich macrophage-deficient fibrous cap.
3. Inhibition of IL-1 β is associated with marked decreases in SMC proliferation and increased macrophage proliferation within the fibrous cap but no change in monocyte trafficking.
4. It is unclear if these effects are due to direct effects of IL-1 β on SMC, macrophages, and/or another cell type. However, by far the majority of IL1R1+ cells within lesions are of SMC origin, and we have evidence that fibrous cap SMC may produce factors that inhibit macrophage recruitment and proliferation within the fibrous cap through an IL1 β -dependent process.
5. We found that IL-1 β -dependent enhancement of development of atherosclerosis is dependent on SMC not myeloid cells.
6. Whereas our mouse studies certainly do not predict outcomes in the CANTOS trial several recent studies suggest that they may.

Evidence that suppression of IL-1 signaling increases rather than decreases cardiovascular risk in humans

The effect of interleukin-1 receptor antagonist therapy on markers of inflammation in non-ST elevation acute coronary syndromes: the MRC-ILA Heart Study

2014

Morton et al. European Heart Journal 2014.

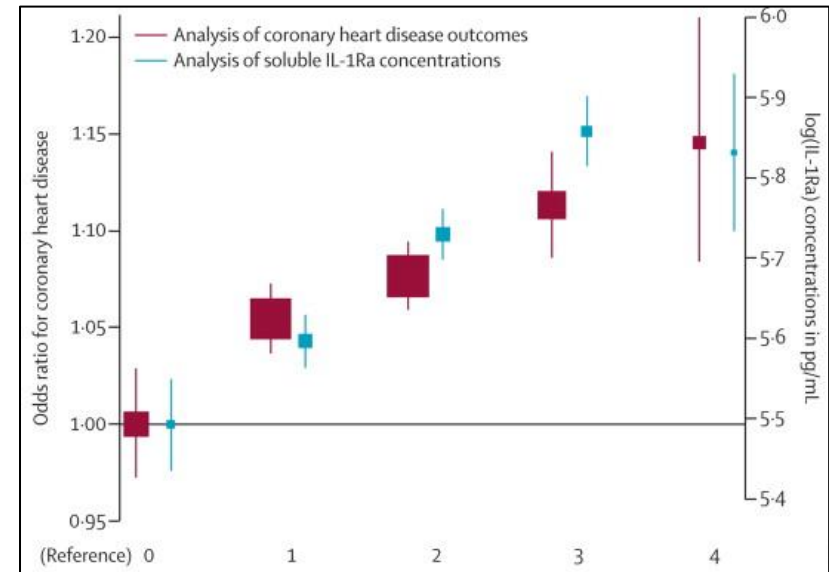


Cardiometabolic effects of genetic upregulation of the interleukin 1 receptor antagonist: a Mendelian randomisation analysis

The Interleukin 1 Genetics Consortium*

2015

The Interleukin 1 Genetics Consortium. Lancet. 2015.



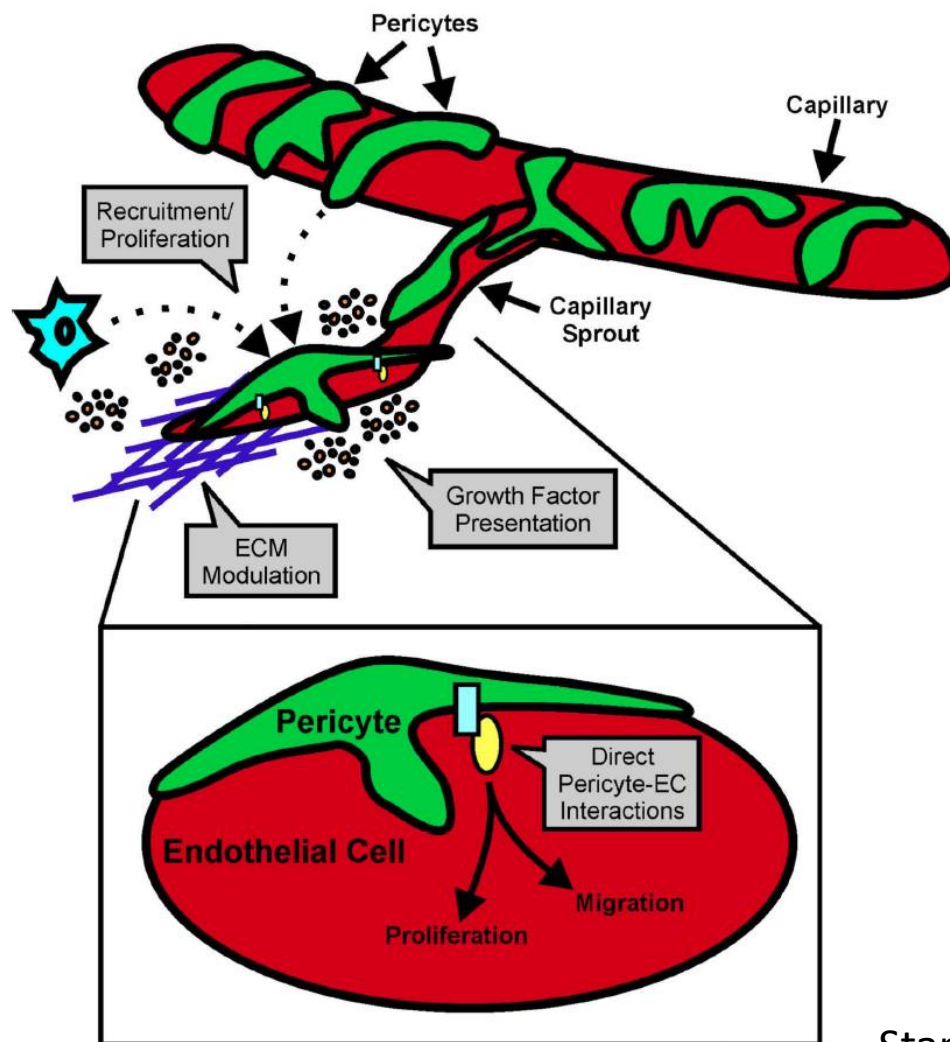
Talk Outline:

- I. The majority (>80%) of SMC within advanced atherosclerotic lesions lack expression of SMC marker genes and undergo transition to cells exhibiting characteristics of multiple other cell types including macrophages, MSC, and myofibroblasts (MFs).
- II. The stem cell pluripotency genes Klf4 and Oct4 regulate phenotypic transitions of SMC critical in the pathogenesis of atherosclerosis. However, these transitions can be beneficial or detrimental depending on the nature of those changes.
- III. IL1 β has atheroprotective effects in late stage atherosclerotic lesions including being required for maintenance of a protective fibrous cap.
- IV. Oct4 and Klf4 expression in SMC-P is critical in regulating angiogenesis, perivascular cell coverage, and the innate metabolic and inflammatory properties of adipose tissues.

What is the normal function of the stem cell pluripotency genes Oct4 and Klf4 in SMC and pericytes?

1. It is not related to their roles in atherosclerosis because the clinical complications of this disease kill us well after our reproductive years - as such there has been little if any evolutionary selection on this basis.
2. Rather, we hypothesize that Oct4 and Klf4 play a critical role in regulating the plasticity of SMC and pericytes during generation of new blood vessels as well as in the repair and remodeling of existing blood vessels - processes that are essential for growth, survival, and reproduction of all organisms.

Angiogenesis occurs during both physiologic and pathologic growth, and requires tightly regulated and coordinated movement of SMC-P and ECs



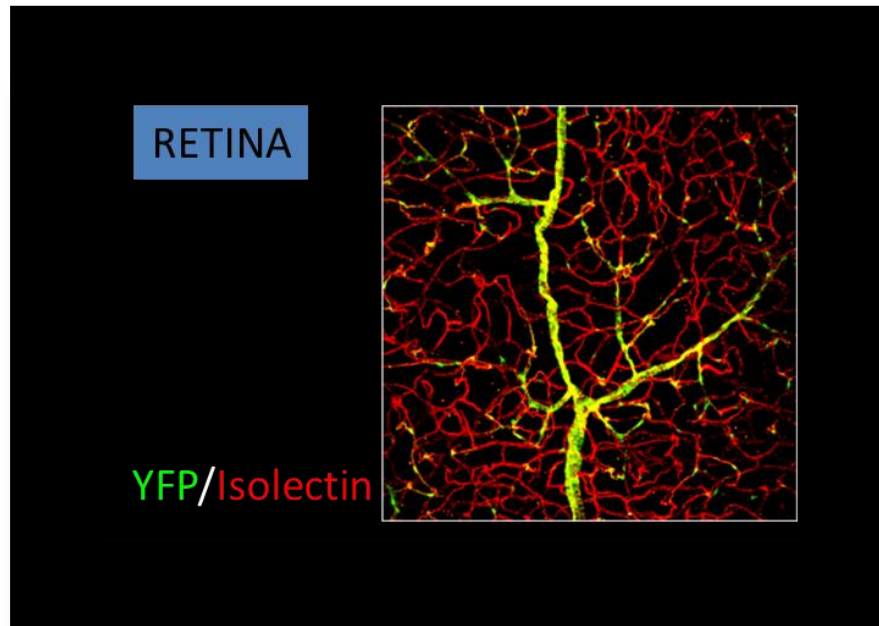
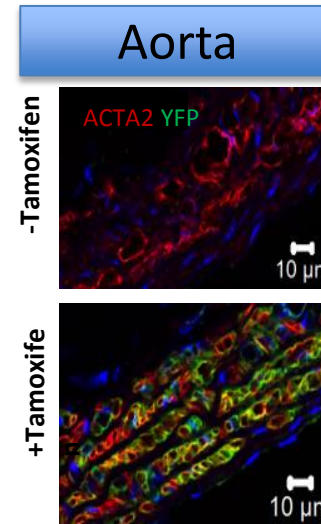
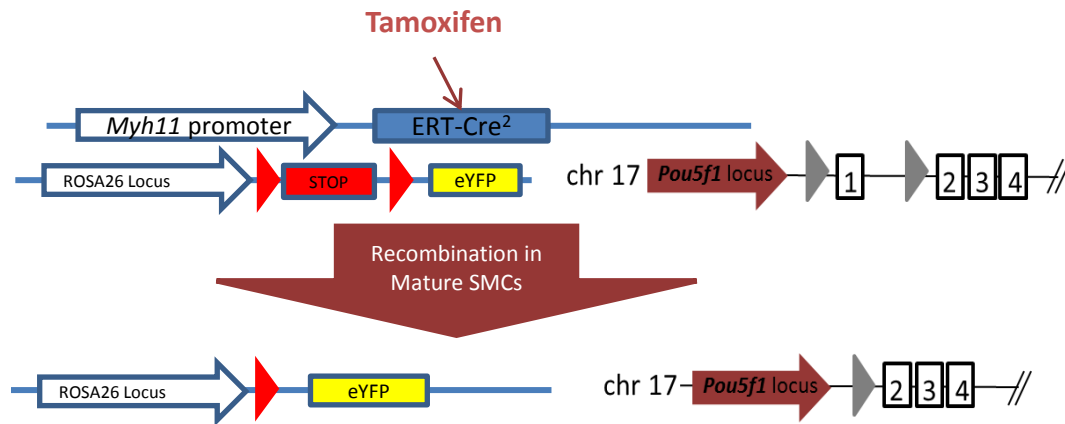
- 1) Angiogenesis: new blood vessels sprouting from pre-existing vessels
- 2) Is thought to be driven primarily by endothelial cells.
- 3) However, it is well established that appropriate perivascular cell investment is required for formation of stable functional vascular networks.
- 4) Dysregulation of angiogenesis plays a role in multiple disease pathologies:
 - A. Myocardial infarction, stroke, peripheral arterial disease (PAD)
 - B. Cancer, eye diseases e.g. diabetic retinopathy, corneal neovascularization, etc.

Multiple signaling pathways are believed to be important for SMC-P investment of EC tubes.

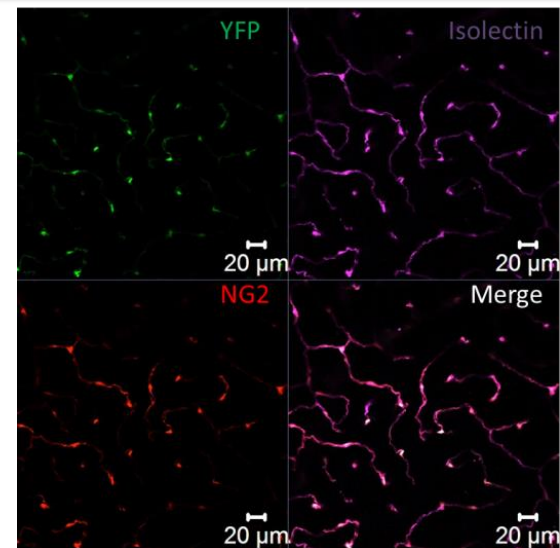
1. Loss of function of TGFB β 2 causes vessel fragility due to impaired perivascular cell development (Pardali et al, 2010).
2. Inactivation or heterozygous loss of PDGFB or PDGFB β leads to pericyte deficiency, leaky vasculature, and BBB defects (Armulik et al, 2010).
3. EphrinB2 deficiency leads to impaired perivascular cell migration and vascular defects (Pitulescu and Adams, 2010).

However, this evidence is based on global rather than SMC-P specific KO studies so effects may be indirect. As such, there is currently NO direct evidence that SMC-P can play a rate-limiting role in control of angiogenesis or showing that this process is dependent on a particular regulatory pathway.

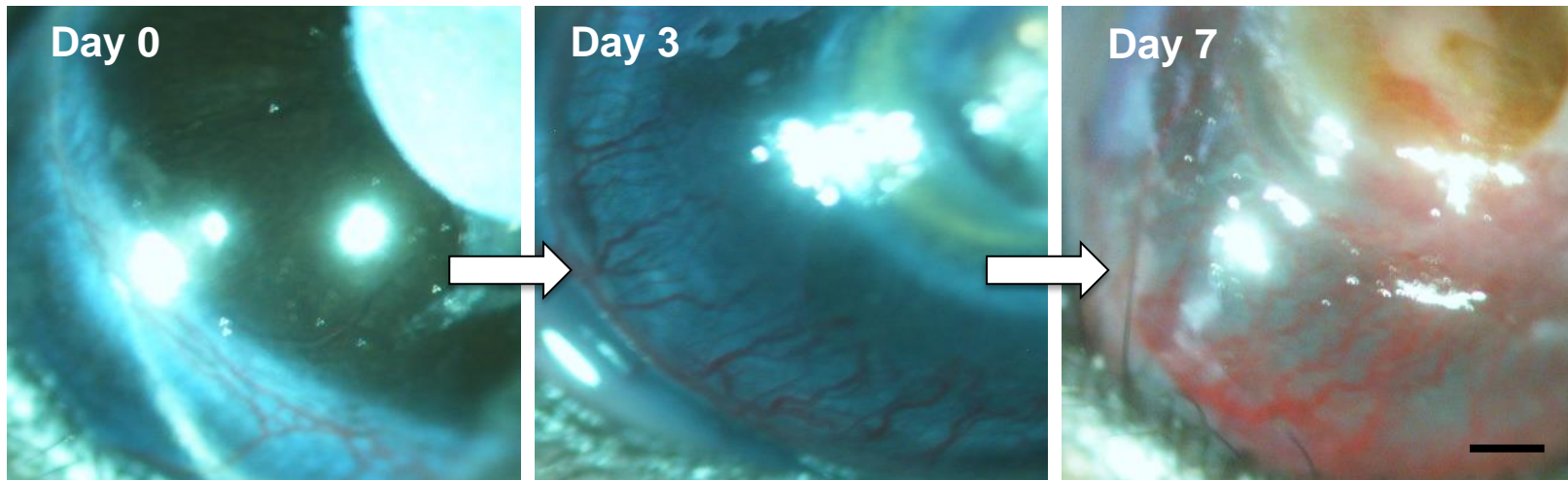
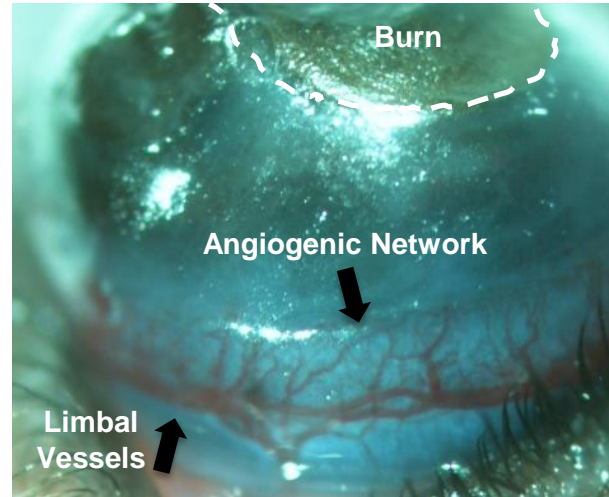
The Myh11-eYFP Mouse Provides Definitive Lineage Tracing and Conditional Knockout of Genes (e.g. Oct4) in SMC and NG2⁺ Pericytes



Myh11-eYFP x NG2 TomatoRed

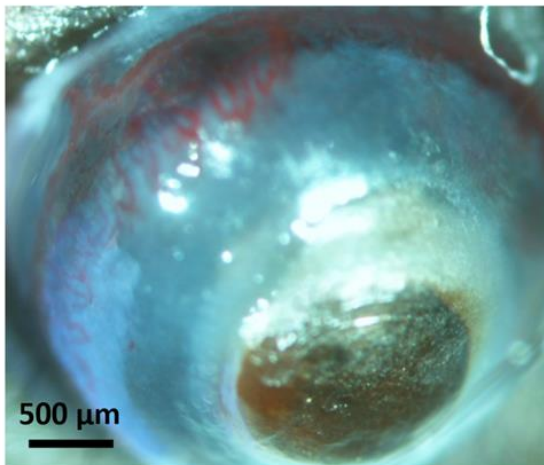


Corneal alkali burn injury: Application of silver nitrate to the mouse cornea causes angiogenesis from the limbus in to the cornea

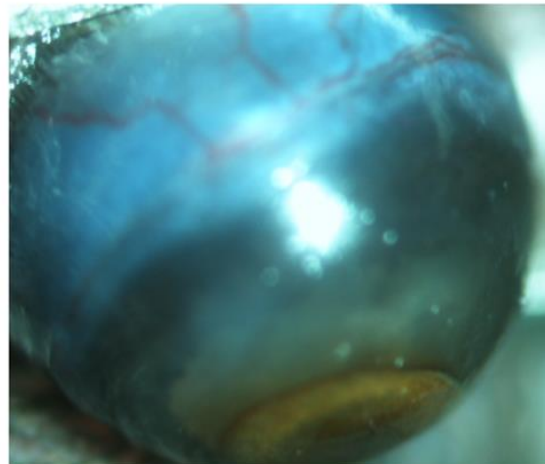


Bright Field Imaging Shows Impaired Angiogenesis in SMC-P Oct4 KO Mice 48 Hours Post-Corneal Burn

**(Wild Type)
Myh11+YFP+/+**

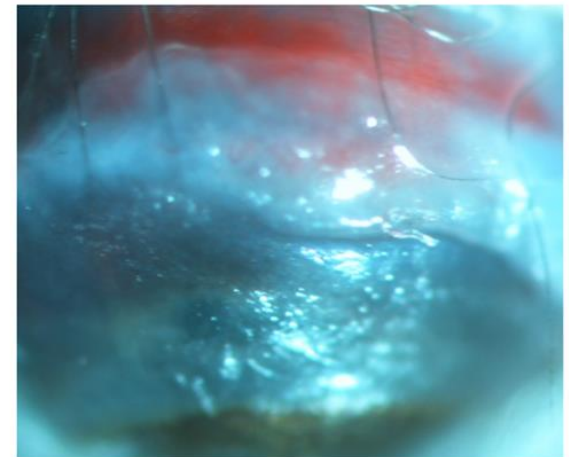


**Oct4 -/-
Myh11+YFP+/+**



- Very little sprouting
- Very little corneal tissue remodeling

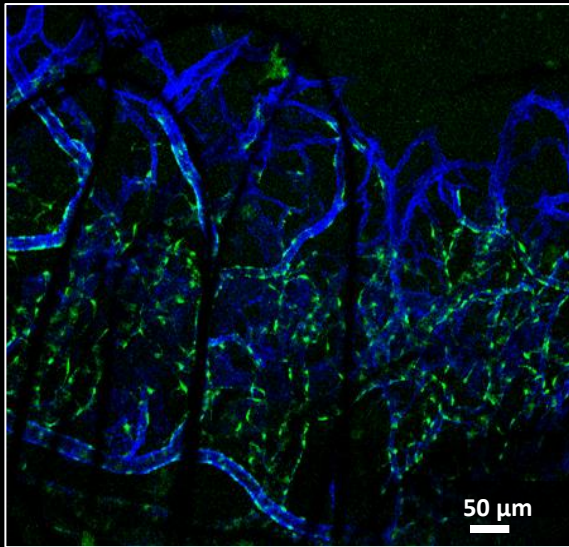
**Oct4 +/-
Myh11+YFP+/+**



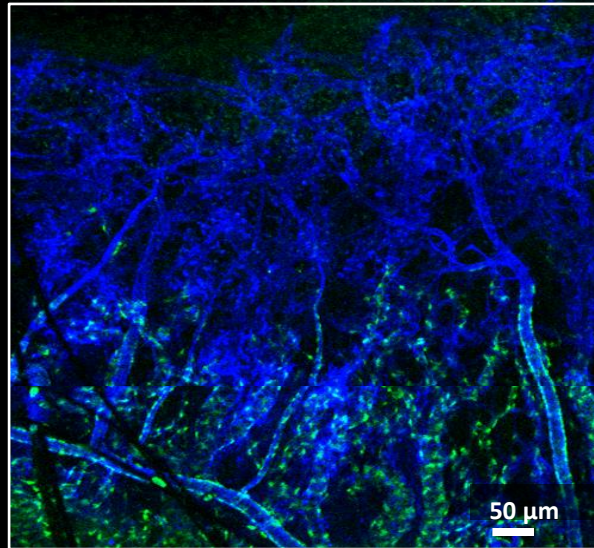
- Similar rate of angiogenesis (distance from limbus)
- Highly leaky vasculature
- “Thicker” remodeling of cornea

SMC-P Specific Conditional KO of Oct4 Resulted in Profound Impairment of Perivascular Cell Investment of Neovessels in a Corneal Burn Model (a 7 day time point is shown)

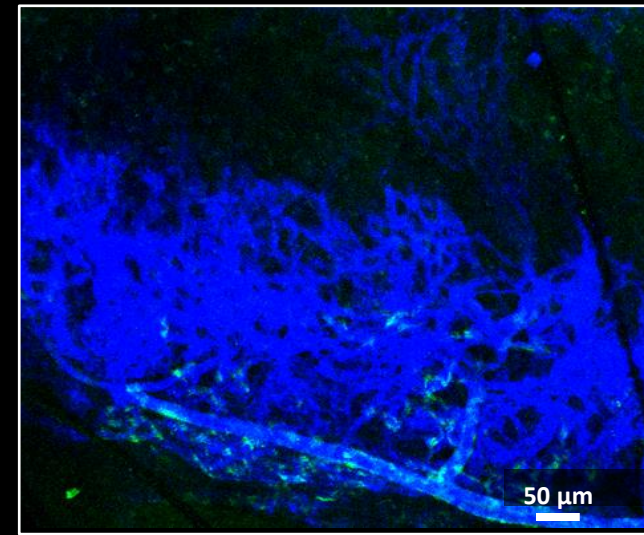
**Myh11-eYFP+
Wild Type**



**Myh11-eYFP+ Oct4 +/-
(Heterozygous KO)**

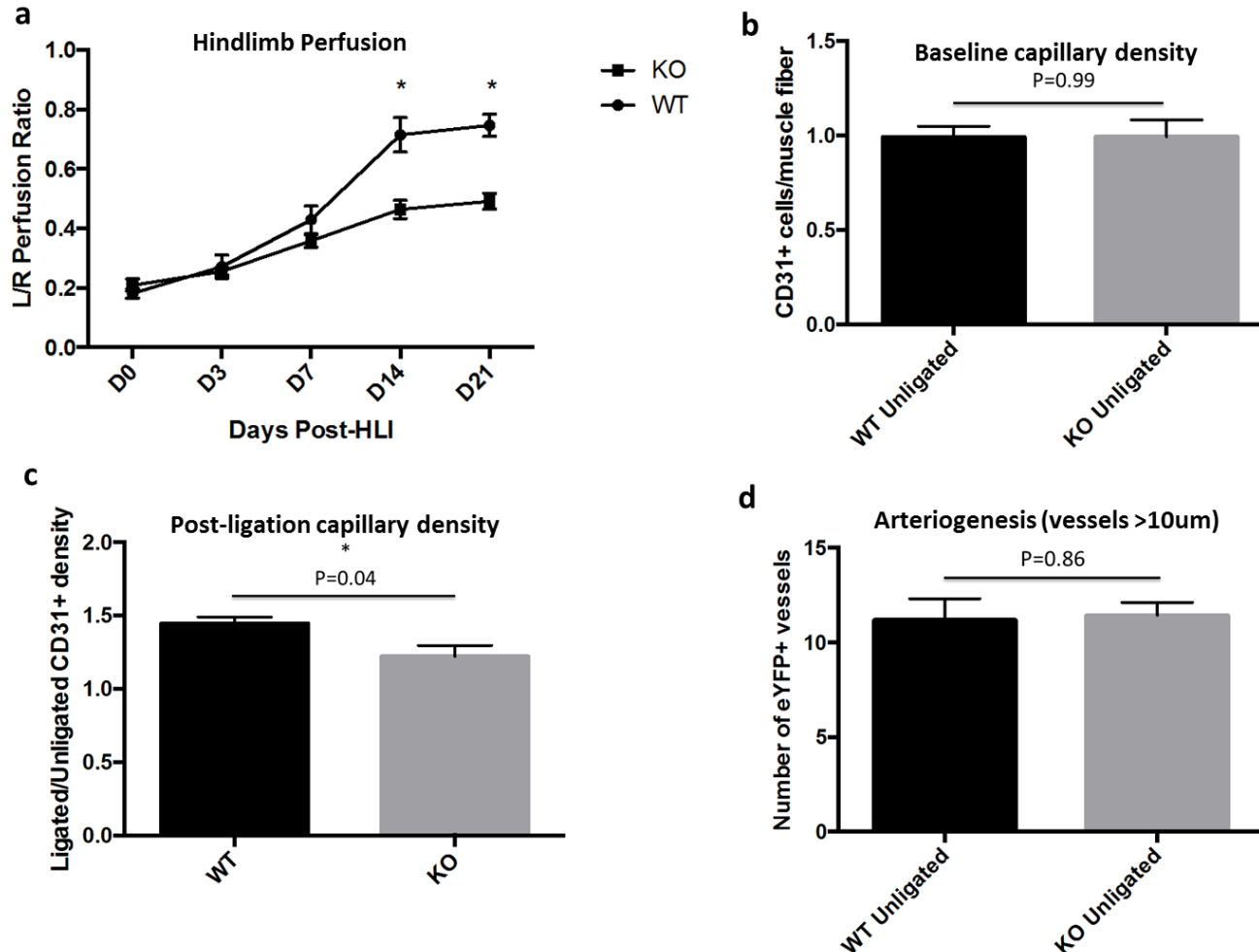


**Myh11-eYFP+ Oct4 -/-
(Homozygous KO)**



Myh11-eYFP (SMC-P derived cells)
Perfused Lectin

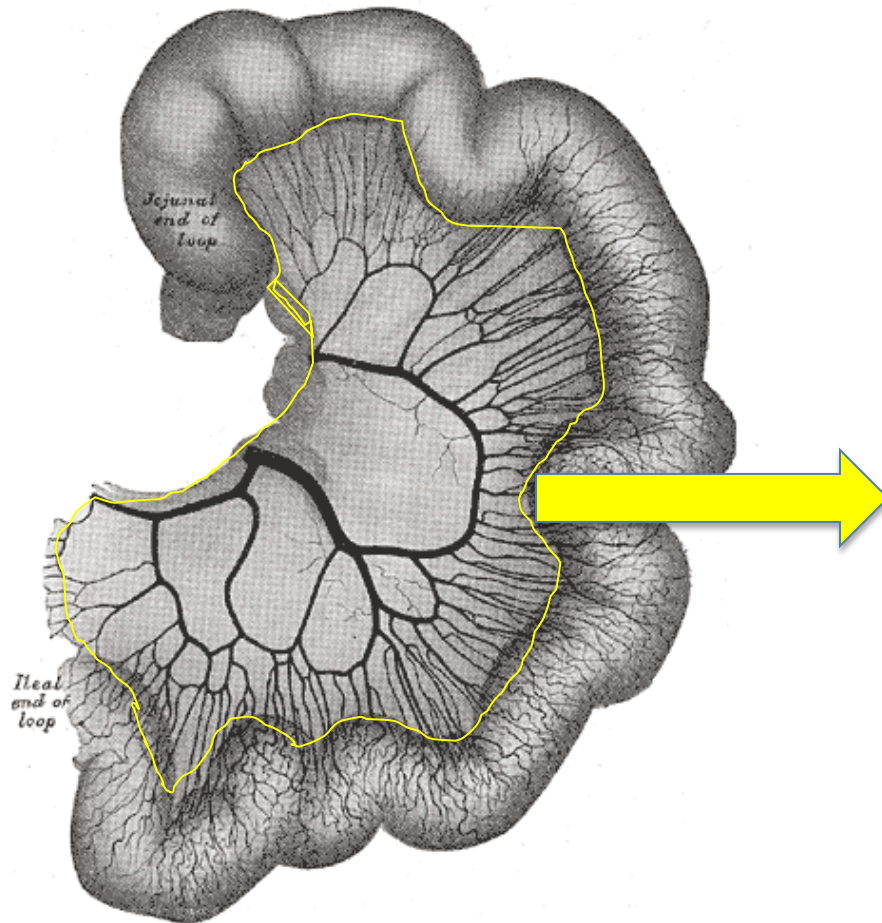
SMC-P specific KO of Oct4 impaired perfusion recovery following Hind Limb Ischemia due in part to impaired angiogenesis



Does Klf4 also have a functional role in microvascular SMC-P?

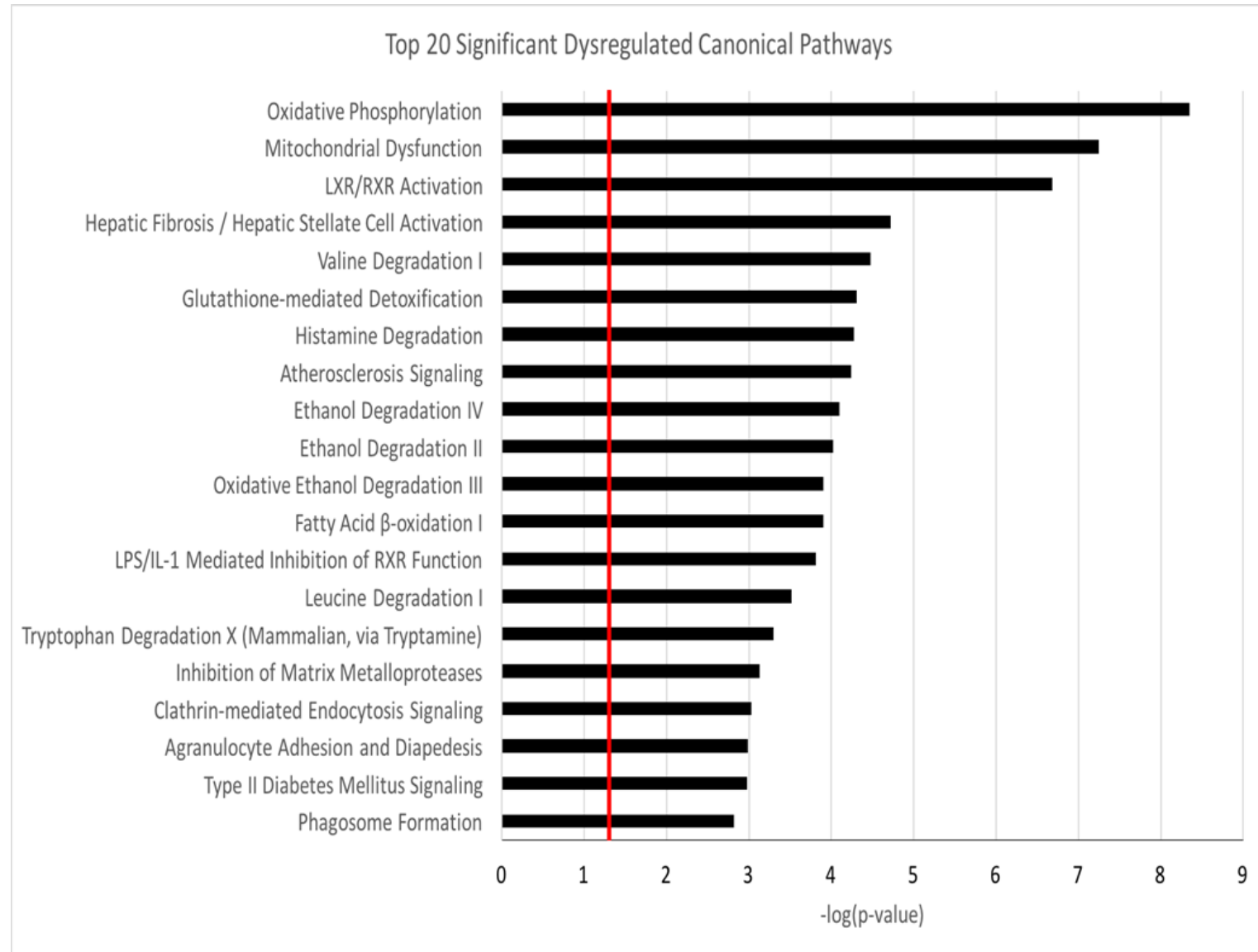
1. Based on our large vessel studies, we initially hypothesized that it would not have a role in normal maintenance of blood vessels but would regulate dedifferentiation of these cells during vessel injury-repair, angiogenesis, and/or remodeling.
2. We also postulated that Klf4 might regulate pro-inflammatory changes in SMC-P in during development of microvascular dysfunction associated with Type 2 diabetes and metabolic disease.

Genomic analysis of mesenteric resistance vessels and the associated perivascular adipose tissue +/- SMC-P specific conditional KLF4 KO

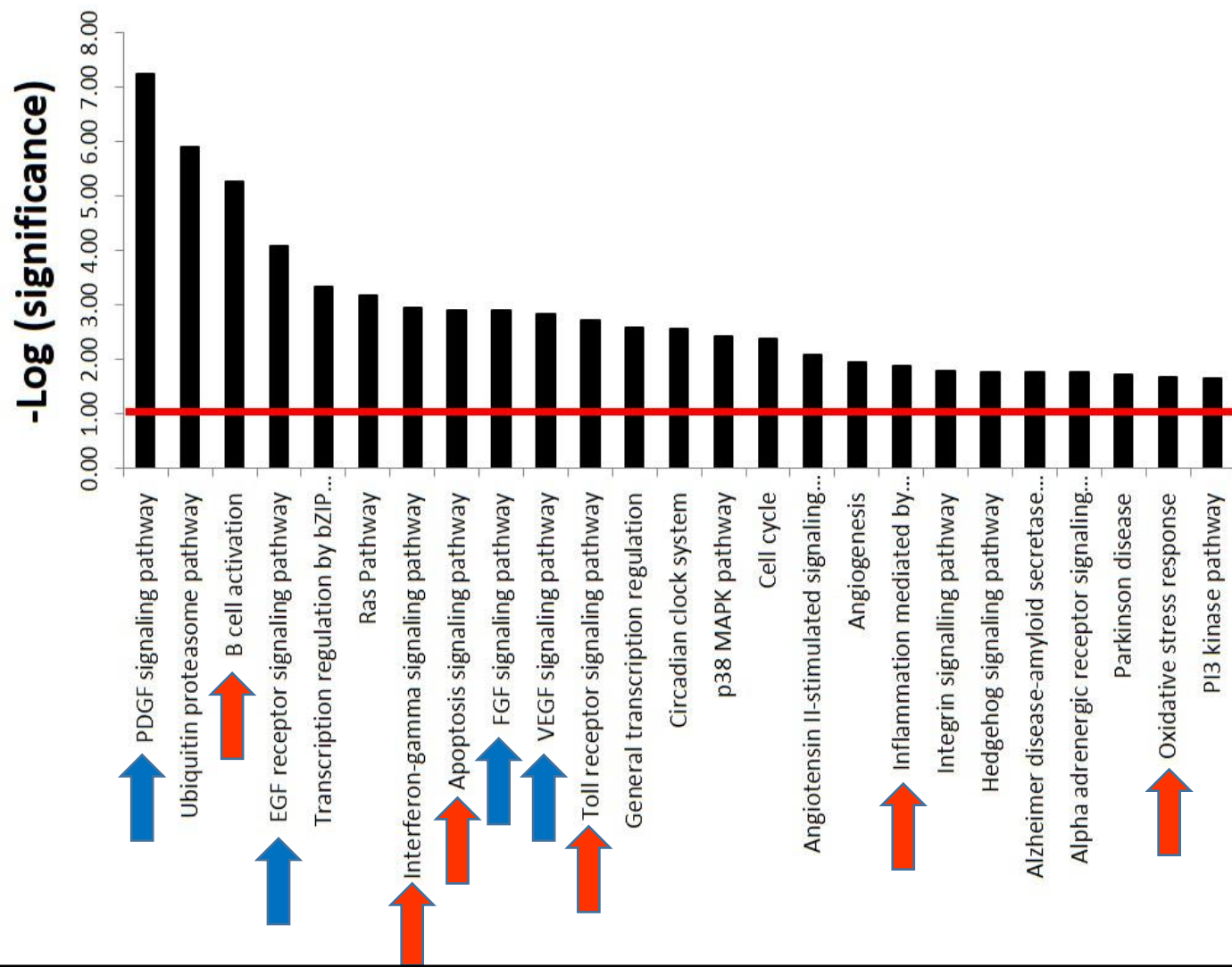


**Klf4 ChIPseq and
RNAseq +/- SMC-P
specific Klf4 KO at
2 weeks post-
tamoxifen**

SMC-P Specific Knockout of Klf4 Resulted in Profound Changes in Genomic Expression Patterns within the Mesenteric Microvasculature and Surrounding Adipose Tissue Including Numerous **Metabolic Gene Pathways**

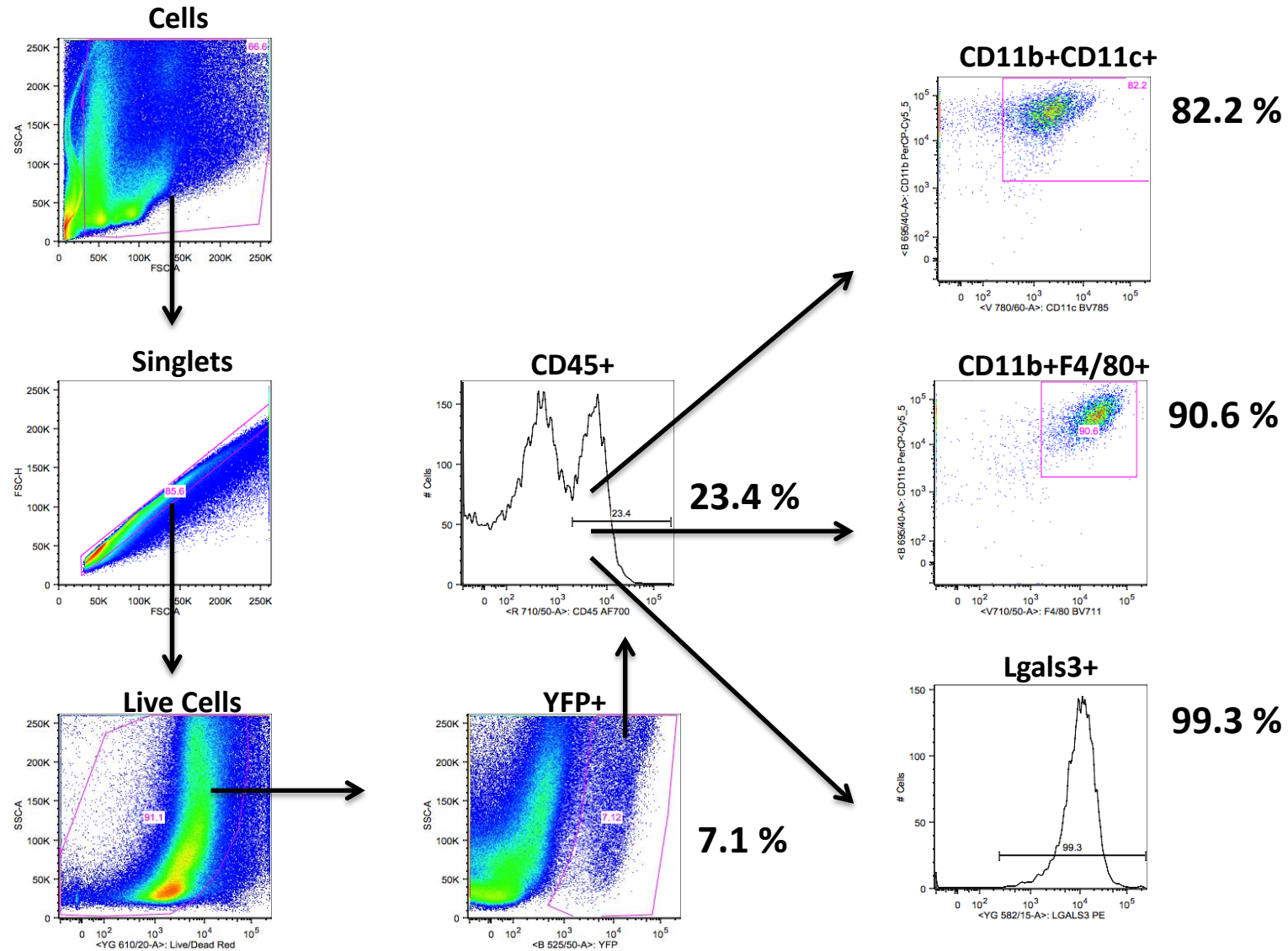


In vivo Klf4 ChIPseq Analysis of Genomic DNA from the Mesenteric Microvasculature and Surrounding Adipose Tissue of SMC-P Specific Klf4 KO Mice Identified a Large Cohort of Putative SMC-P Klf4 Target Genes Associated with **Vascular Maturation** and **Inflammation**

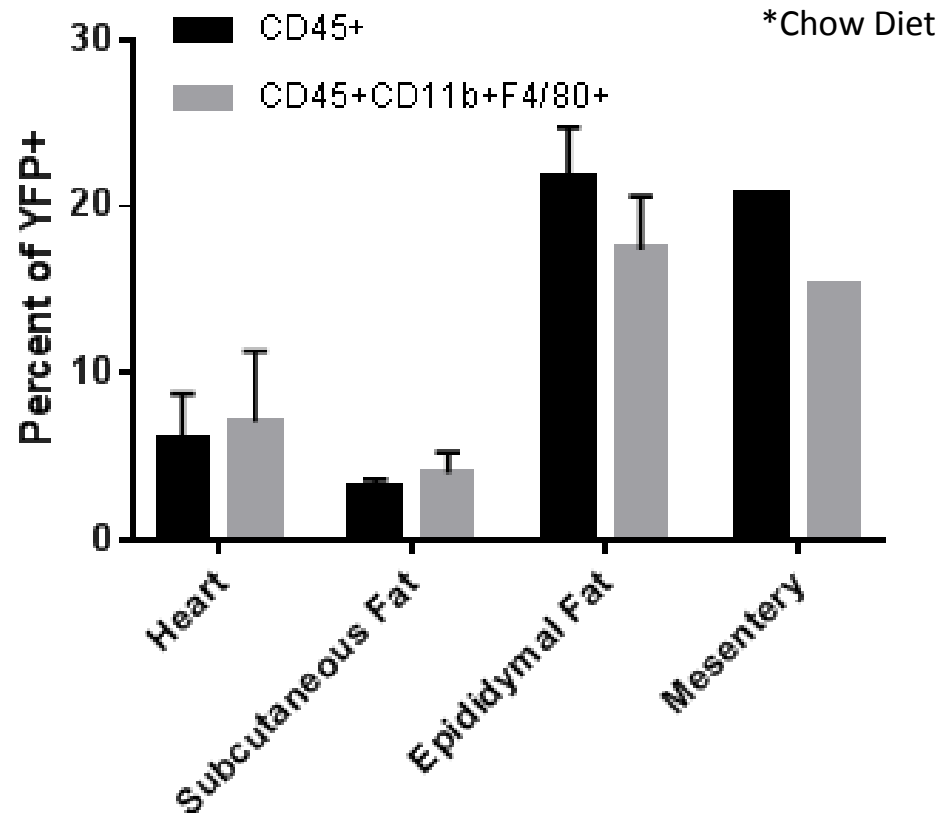


A large fraction of SMC-P within the stromovascular fraction (SVF) of adipose tissue express multiple markers of macrophages

Epididymal Fat – 10 week old Myh11 eYFP mice on chow diet



The Fraction of SMC-P Derived Cells That Express Macrophage Markers is Much Higher in Pathological Versus Non-Pathological Adipose Tissue and Other Microvascular Beds



Number of animals per tissue:

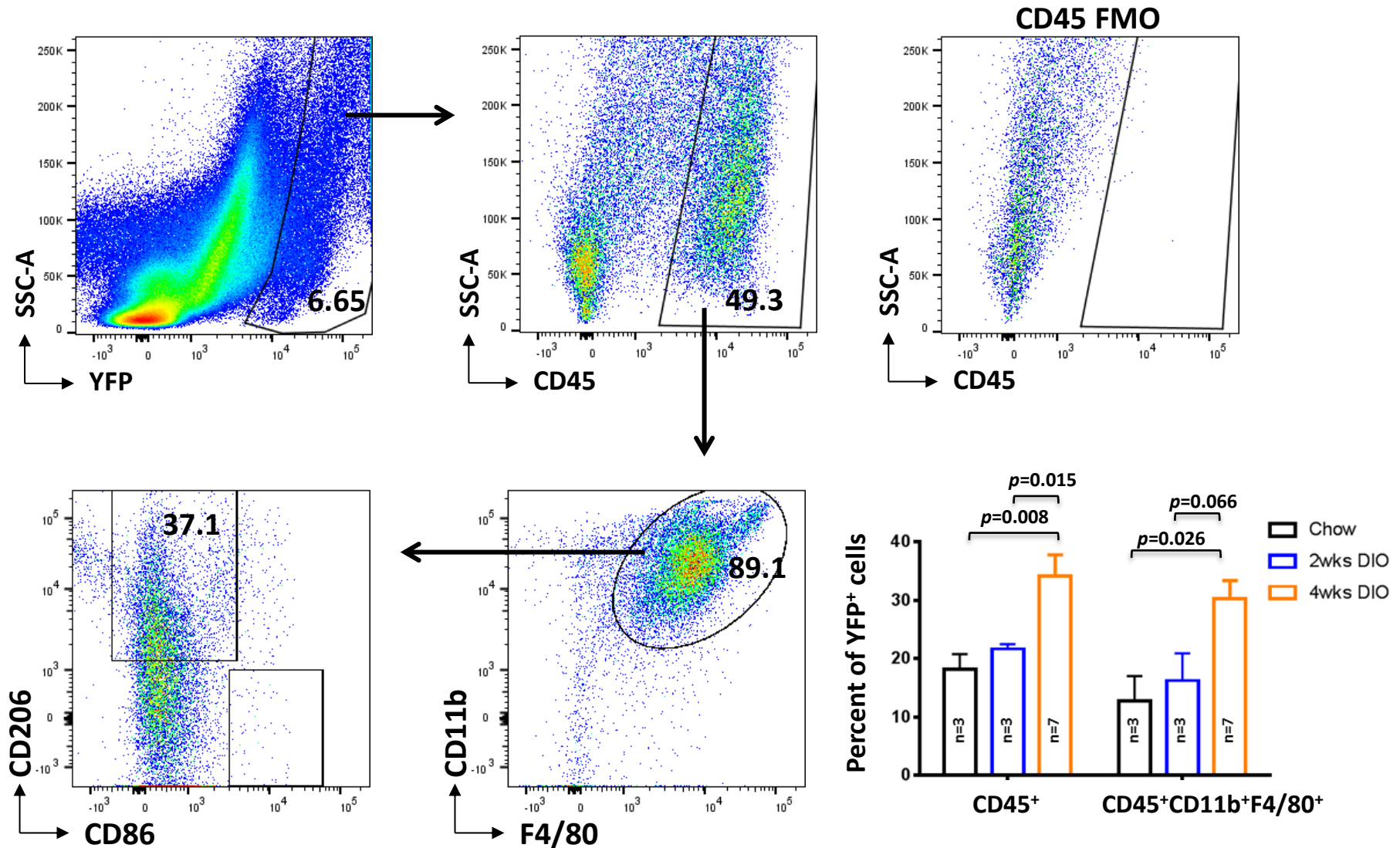
Heart – 4

Subcutaneous Fat – 3

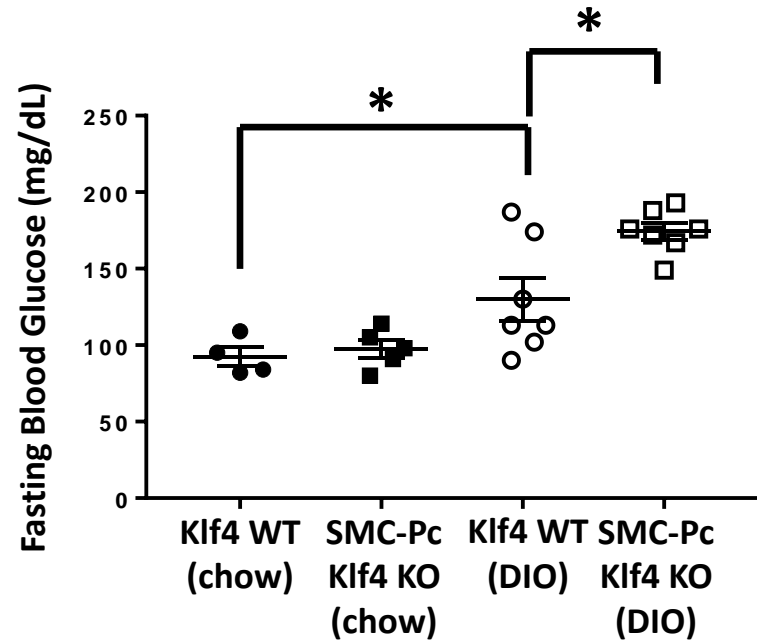
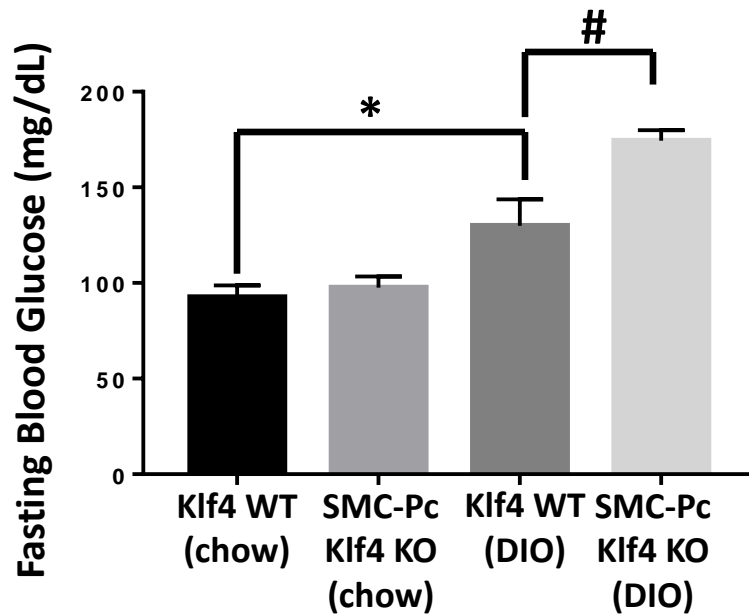
Epididymal Fat – 7

Mesentery - 1

SMC-Pc derived macrophage-like cells within epididymal fat exhibit an M2 not an M1 phenotype whose frequency increases with Diet Induced Obesity (DIO)



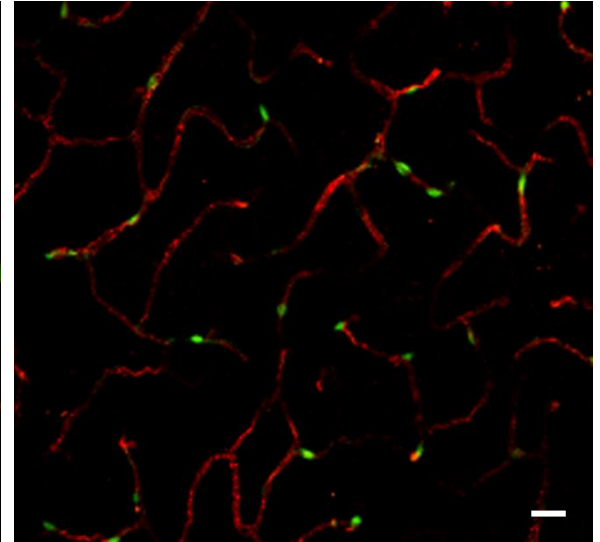
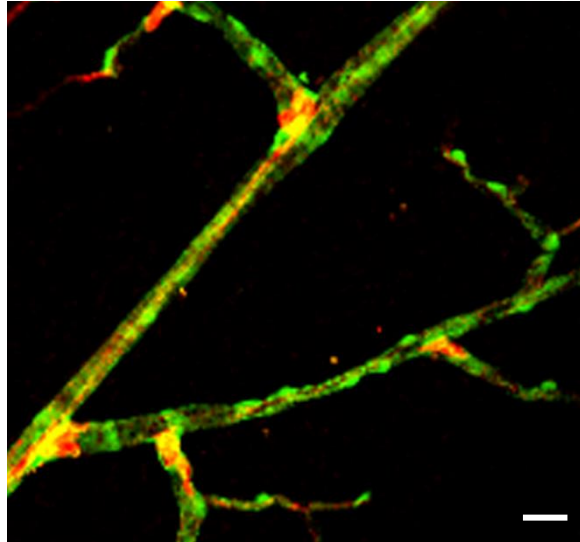
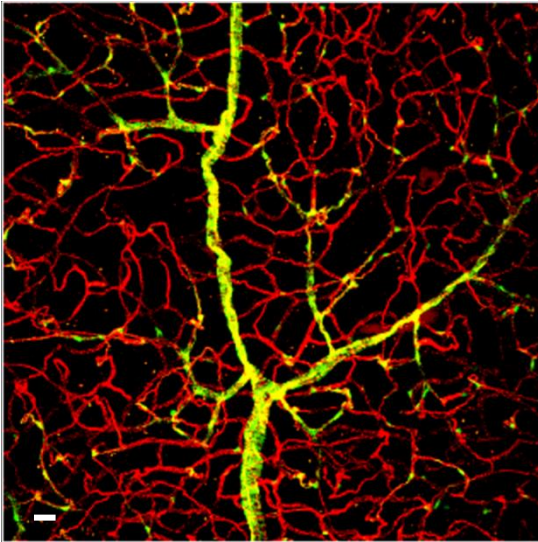
SMC-Pc Specific Conditional Klf4 KO mice show exacerbation of DIO-dependent hyperglycemia



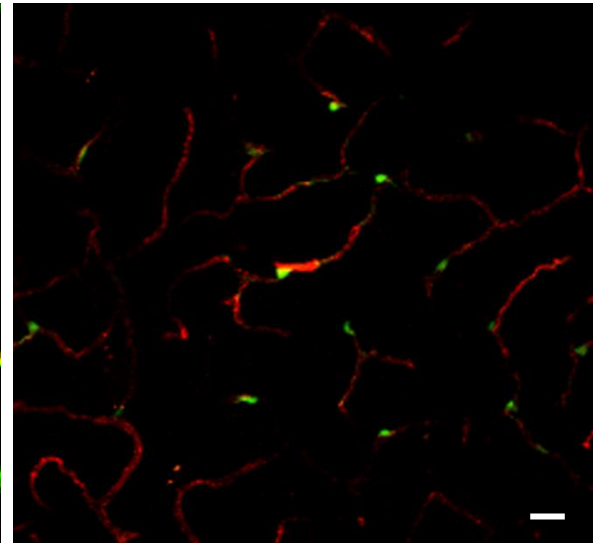
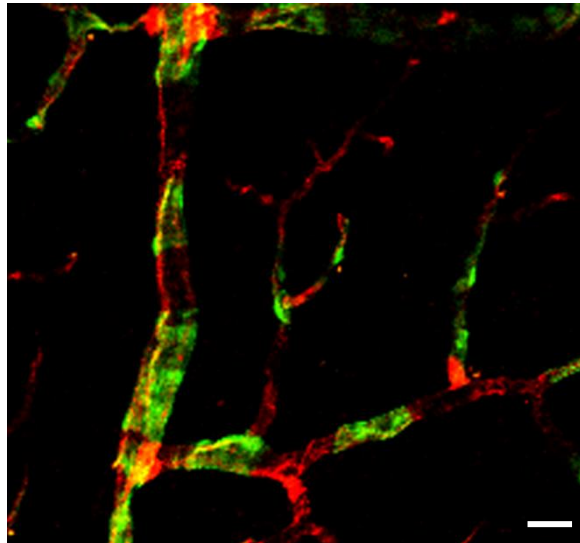
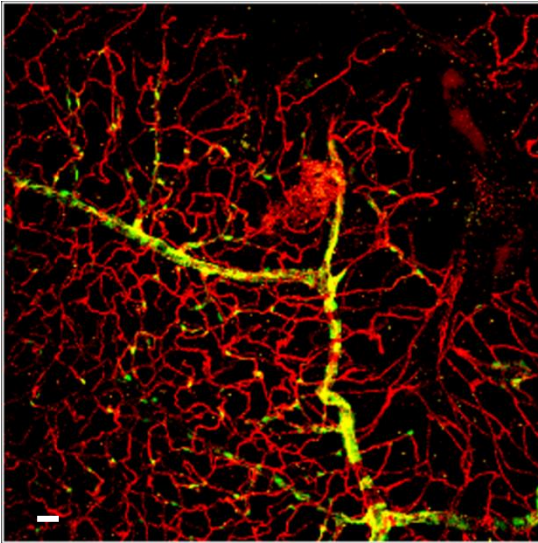
Arterioles in SMC-P Conditional KLF4 KO Are Poorly Invested in YFP+ Perivascular Cells

A

SMC-YFP KLF4 WT



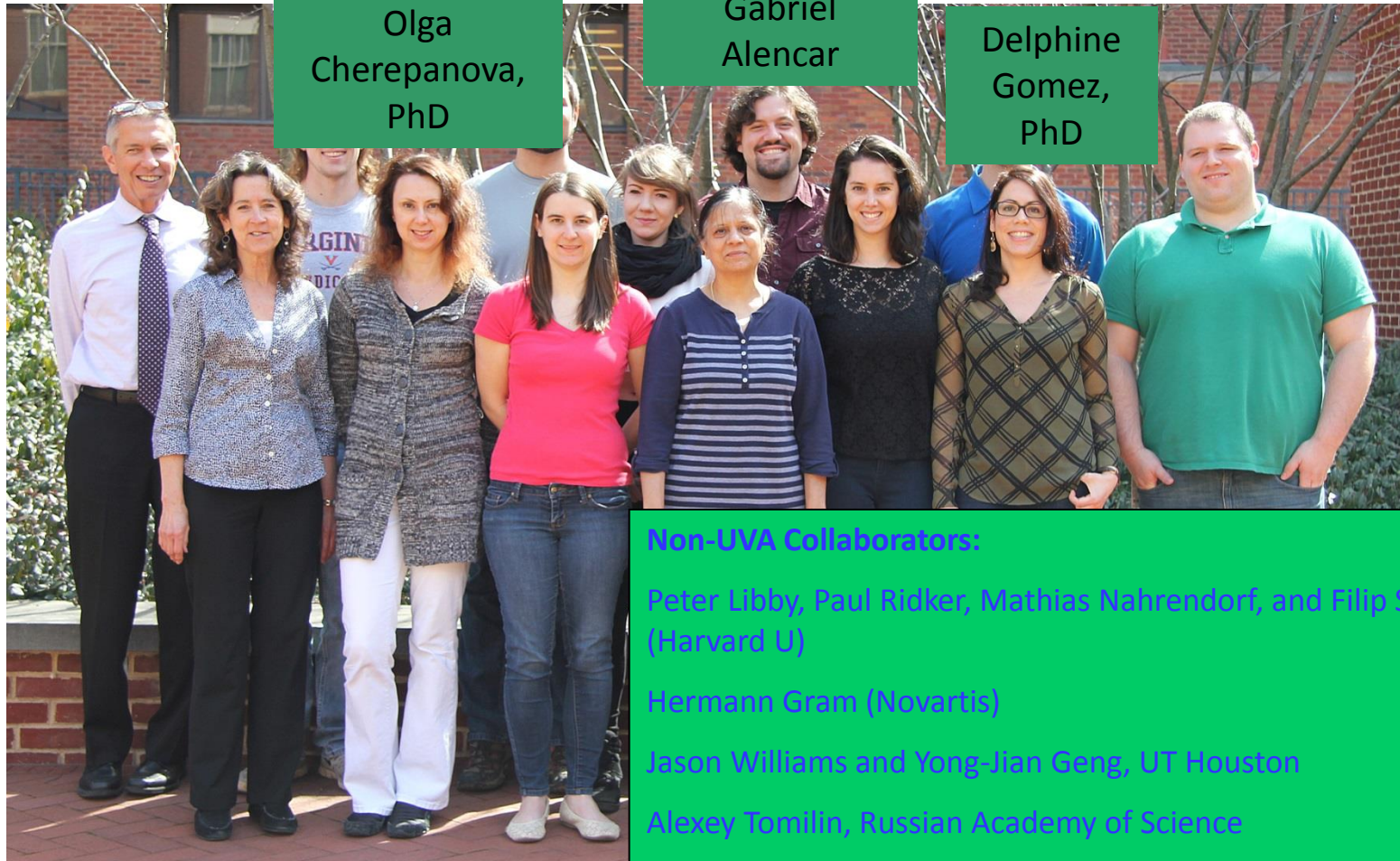
SMC-YFP KLF4 KO



Overall Summary and Conclusions:

- 1. SMC play a far greater role in atherosclerotic lesion pathogenesis than has been generally appreciated but can have a beneficial or detrimental role depending on the nature of their phenotypic transitions. As such, there is a critical need to identify factors, genetic mechanisms, and therapeutic approaches that promote beneficial (plaque stabilizing) changes in SMC phenotype.**
- 2. You cannot distinguish SMC- and macrophage-derived cells by marker panels alone.**
- 3. The stem cell pluripotency genes Oct4 and Klf4 SMC-P regulate phenotypic transitions-functions critical for maintenance of perivascular cell coverage of microvessels, and mediating adaptive responses during hypoxia and injury-repair.**
- 4. A significant fraction of resident “macrophages” within adipose tissues are SMC-P derived and their frequency increases with DIO.**
- 5. SMC-P specific loss of Klf4 was associated with profound changes in gene expression within the microvasculature and surrounding adipose tissue including marked dysregulation of metabolic and inflammatory pathways, and increased sensitivity to development of hyperglycemia associated with DIO.**
- 6. Thus, Klf4 appears to play a protective role within SMC-P in the normal microvasculature, whereas Klf4-dependent transitions of SMC to macrophage marker+ foam cells in advanced atherosclerotic lesions is maladaptive.**

2016 Owens Lab



Olga
Cherepanova,
PhD

Gabriel
Alencar

Delphine
Gomez,
PhD

Non-UVA Collaborators:

Peter Libby, Paul Ridker, Mathias Nahrendorf, and Filip Swirski (Harvard U)

Hermann Gram (Novartis)

Jason Williams and Yong-Jian Geng, UT Houston

Alexey Tomilin, Russian Academy of Science

Gwen Randolph (Wash U)

Sheila Francis (Sheffield) and Emmanuel Pinteaux (U Manchester)

Michelle Bendeck (U Toronto)

Heri Schunkert (U Munich) and Jeanette Erdmann (Luebeck)



Laura Shankman, PhD
Former graduate student

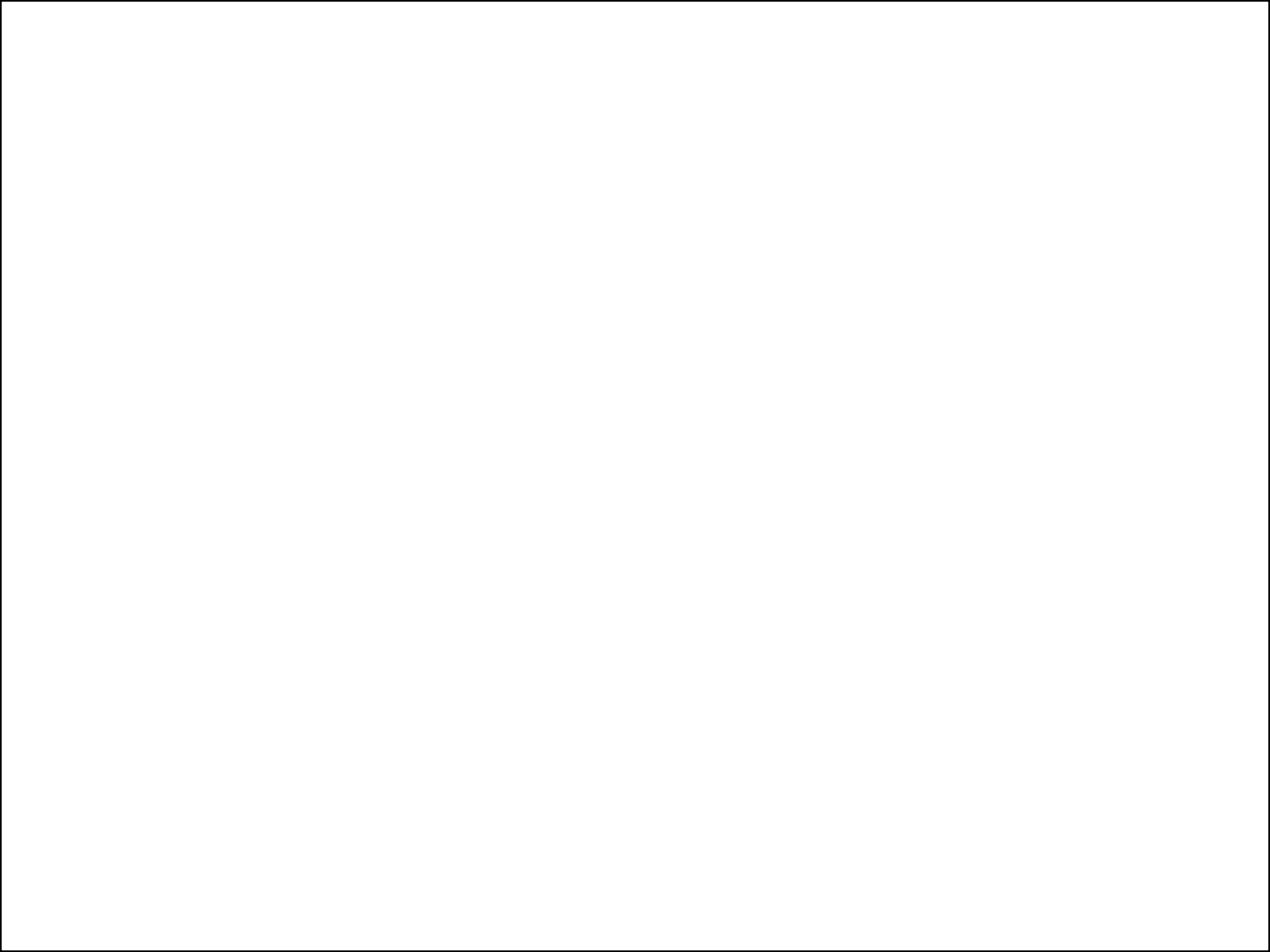
Please contact me if interested in post-doctoral and fellowship training positions in my lab and others at the University of Virginia Cardiovascular Research Center.

<http://training.cvrc.virginia.edu/>

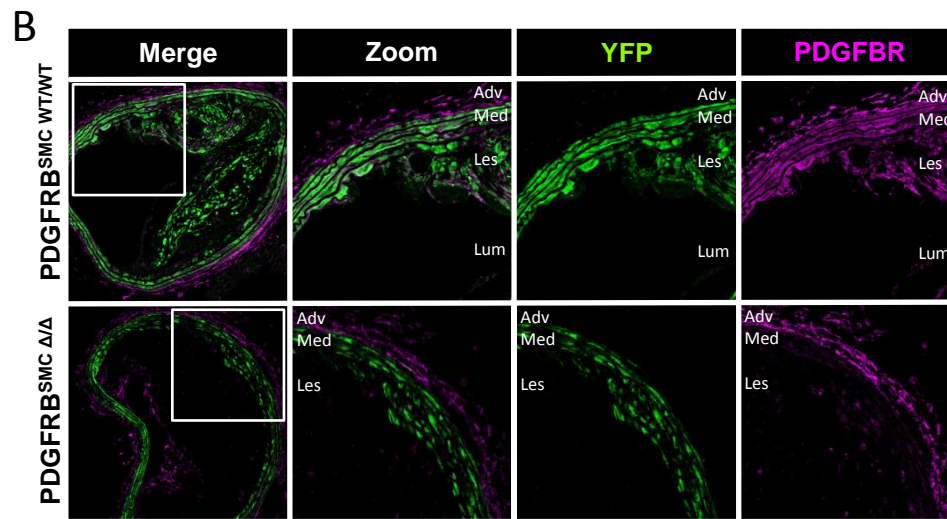
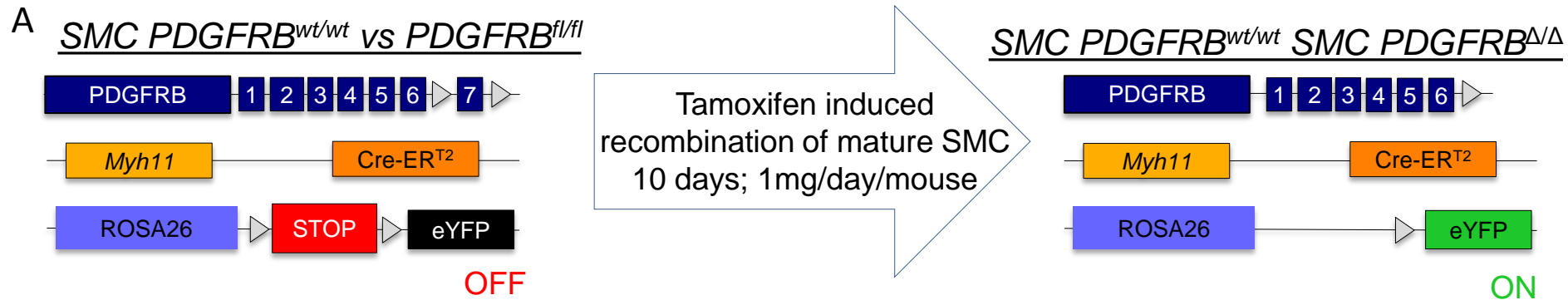


Rotunda at the
University of Virginia
Charlottesville, 1826



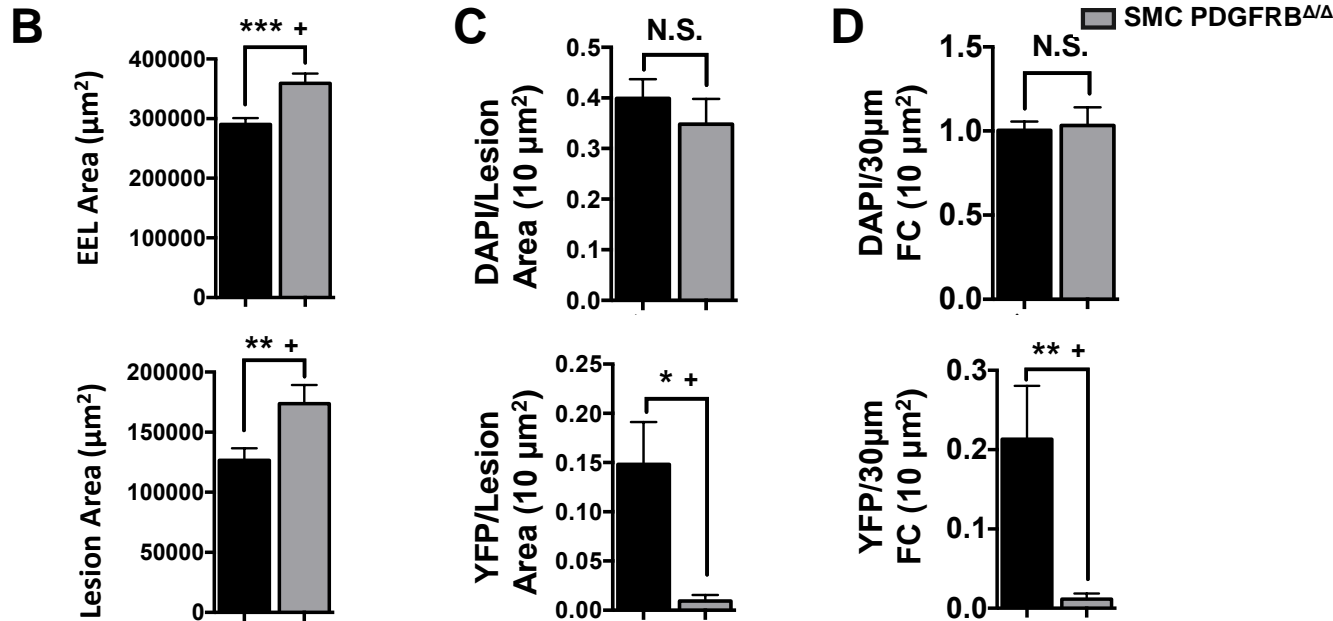
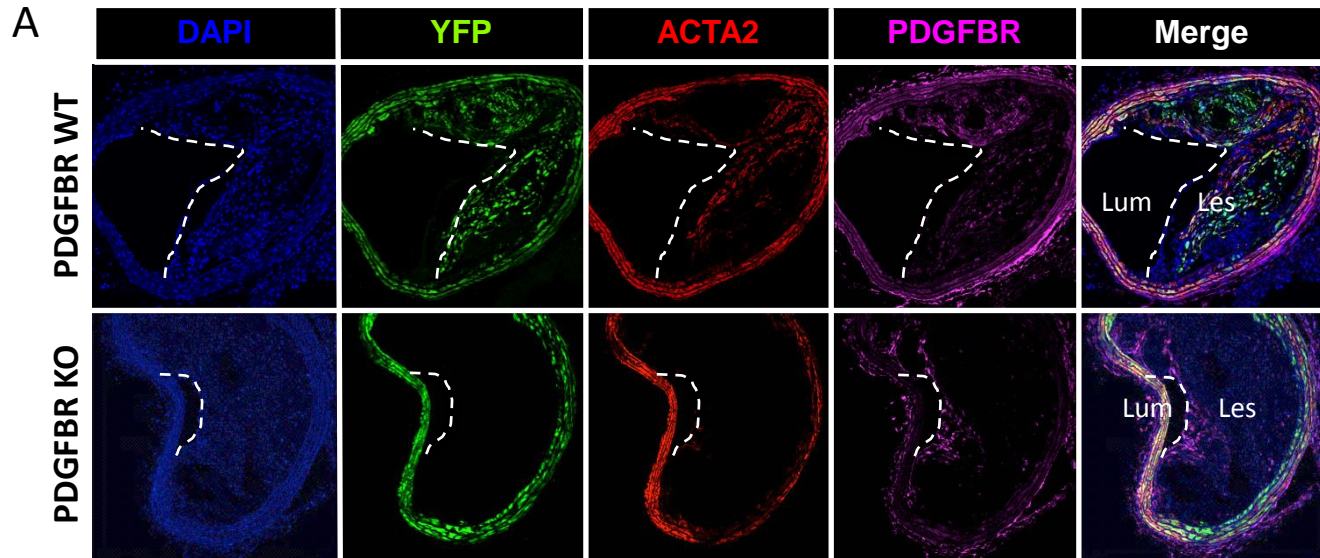


Hypothesis: PDGF β R-dependent changes in SMC phenotype are athero-protective and are required for stable investment and ECM production within the fibrous cap.



See Poster #W159 by
Alexandra Newman,
Wednesday 12-2PM,
Atherosclerosis II, Liberty
Ballroom

SMC-specific conditional KO of the PDGFBR within ApoE^{-/-} mice fed a WD for 18 weeks resulted in larger lesions virtually devoid of YFP⁺ SMC



* p<0.04

** p<0.03

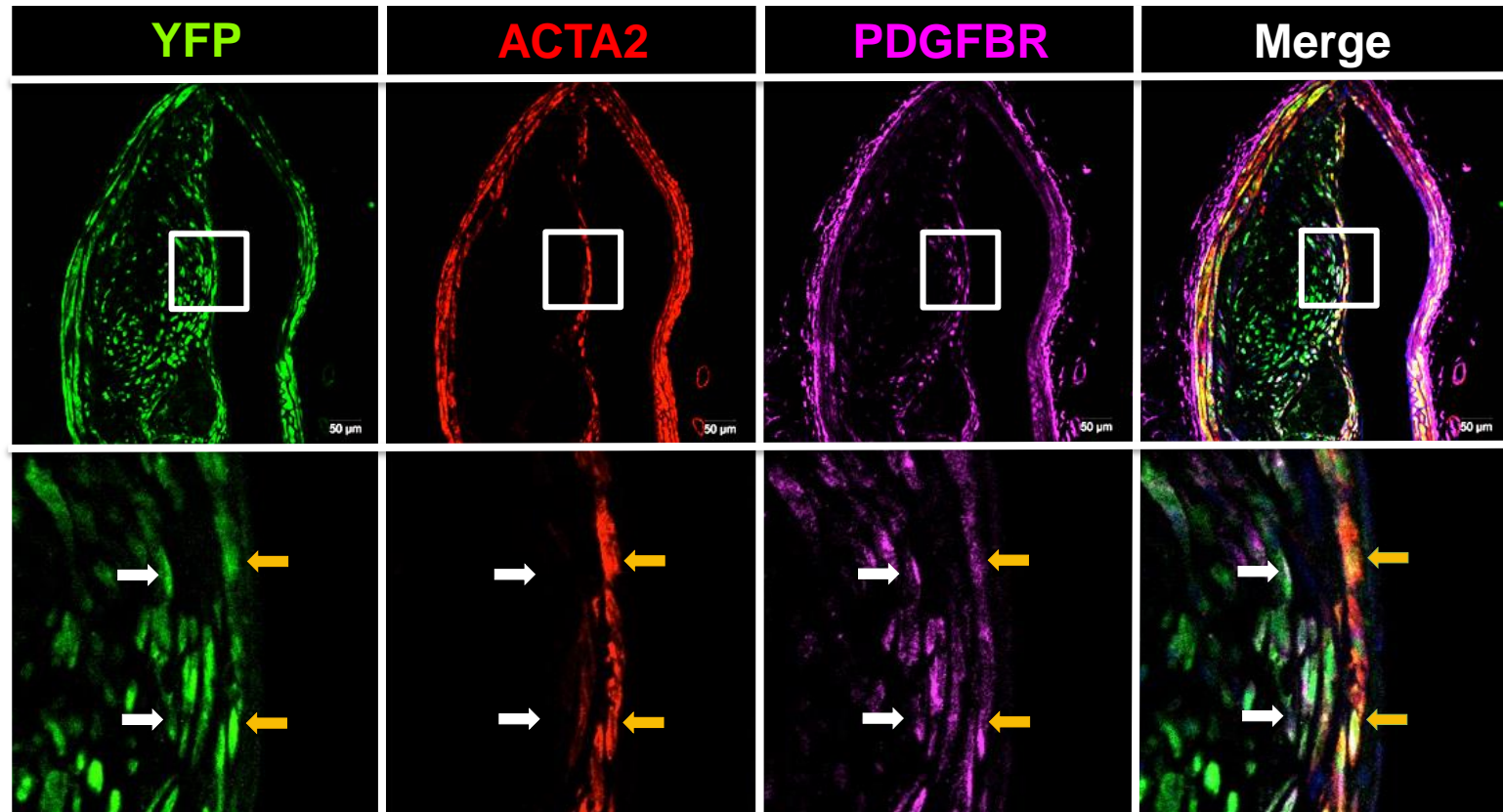
*** p<0.008

Unpaired Student T test

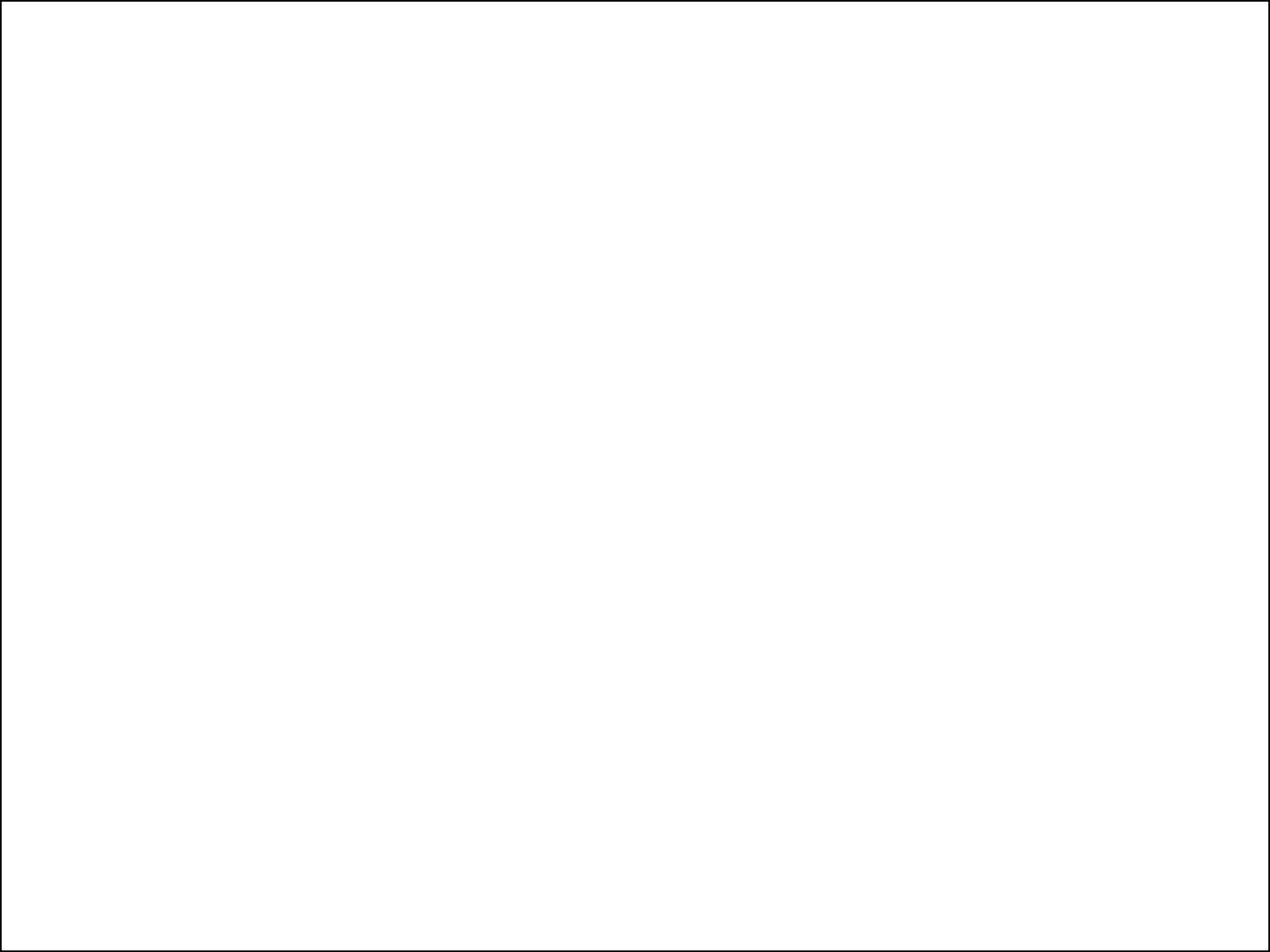
+ with Welch's Correction

PDGF β R is expressed by YFP+ SMC within the fibrous cap of advanced BCA lesions after 18 weeks of Western diet

SMC YFP ApoE $^{-/-}$ mice

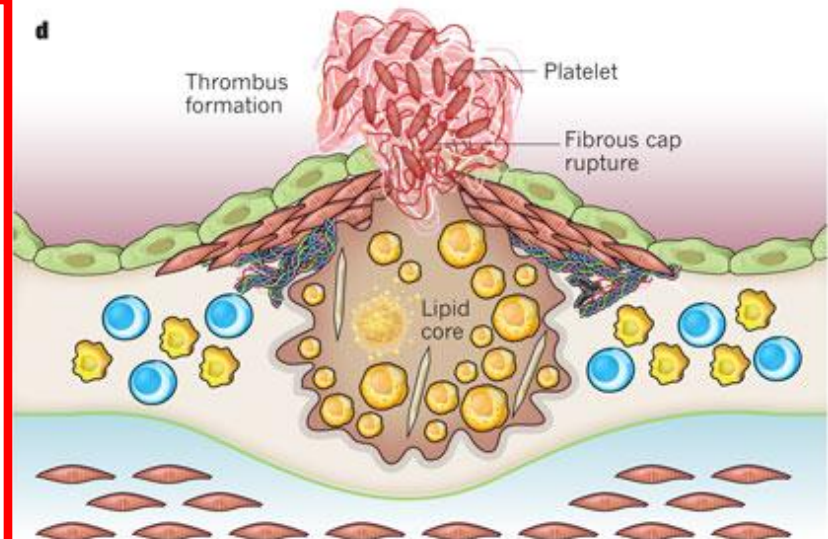
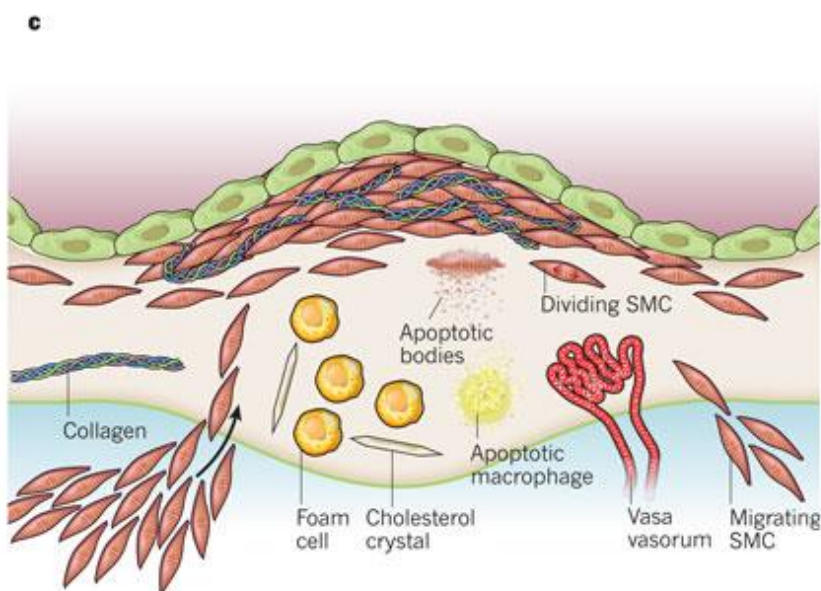


eYFP+ PDGF β R+ Acta2+ \rightarrow
eYFP+ PDGF β R+ Acta2- \rightarrow



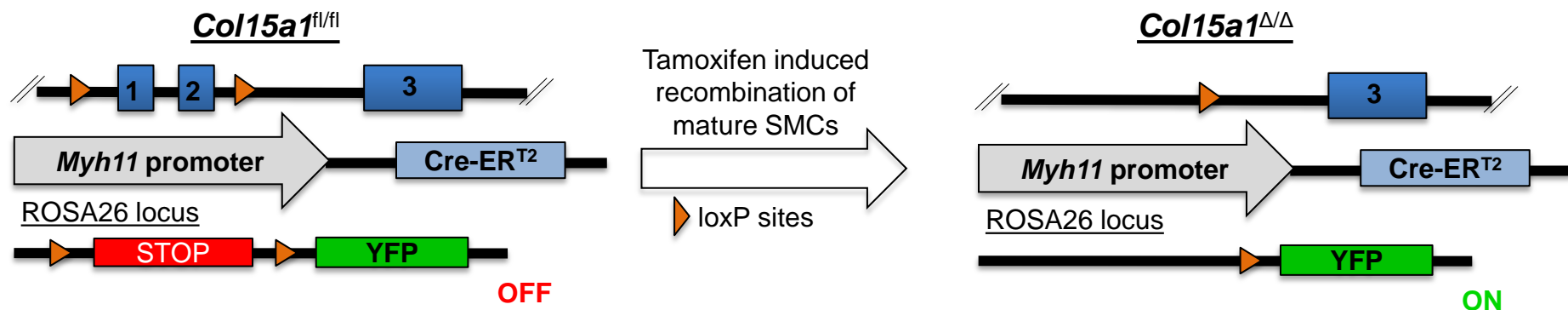
The established dogma is that SMC are the principal source of ECM components in lesions and that this promotes plaque stabilization

However, there is no direct evidence that this is the case. Rather it has been inferred from *in vitro* studies showing that cultured SMC can produce ECM, and correlative evidence showing the presence of ACTA2+ cells in ECM rich lesion areas.



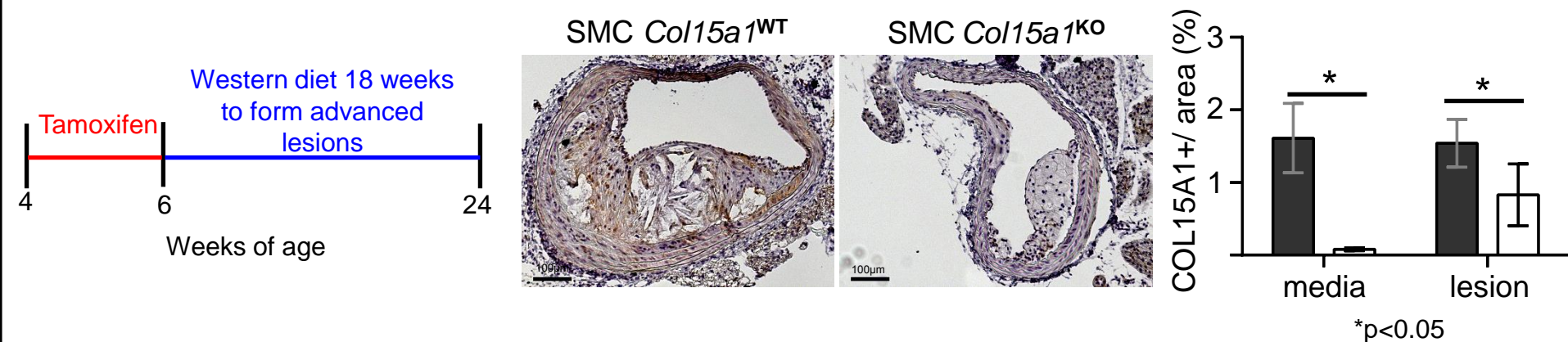
Libby, *Nature* 2011

Hypothesis: SMC derived *Col15a1*, which is known to be involved in organization of collagen fibers and extracellular matrix scaffolds, plays a critical role in late stage lesion pathogenesis by promoting lesion collagen fibril organization within the protective fibrous cap. **Prediction:** SMC *Col15a1* KO *ApoE*^{-/-} mice will exhibit late stage plaque destabilization.



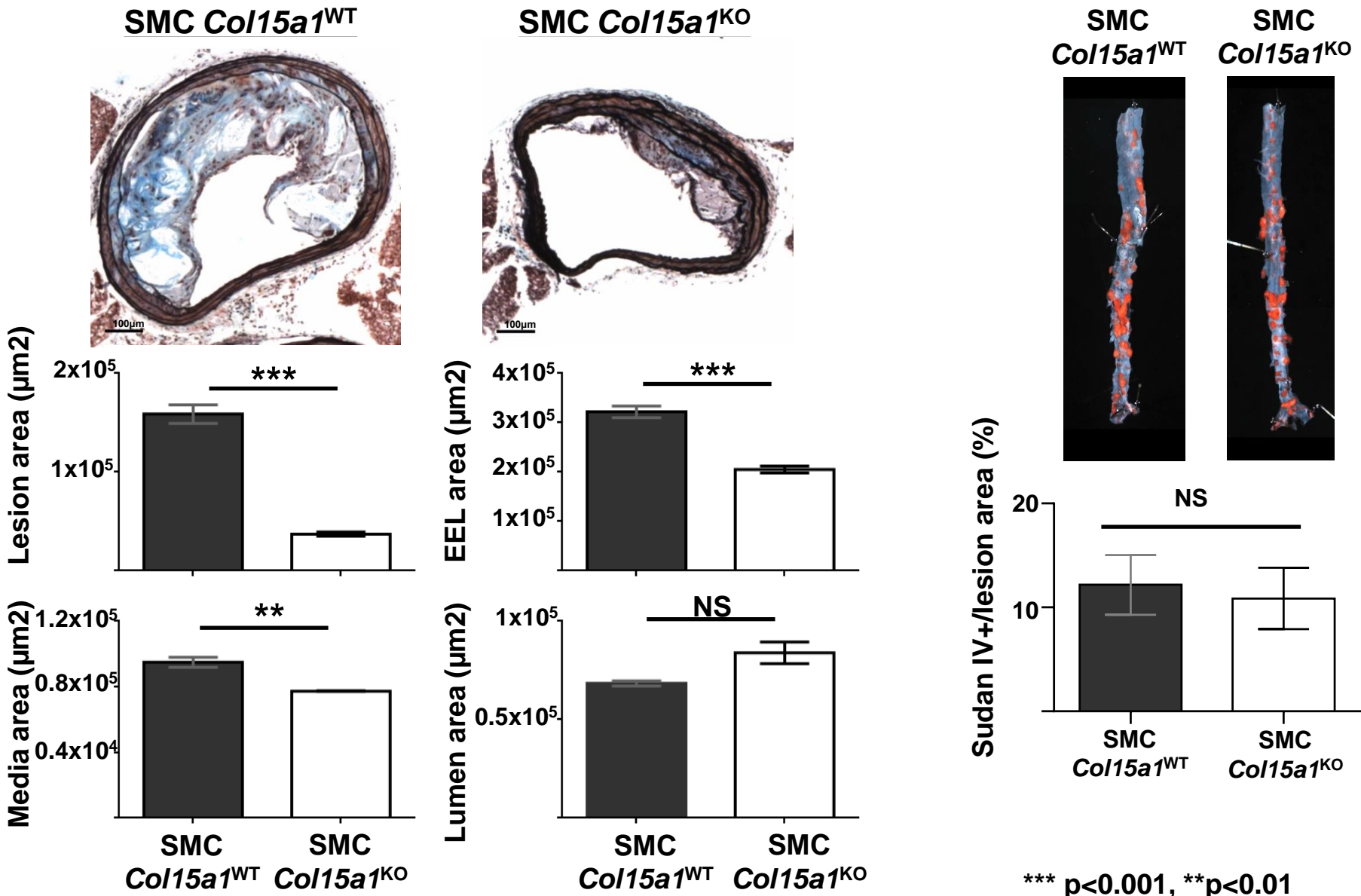
Experimental Design

Validation of SMC *Col15a1* knockout after 18 weeks Western Diet

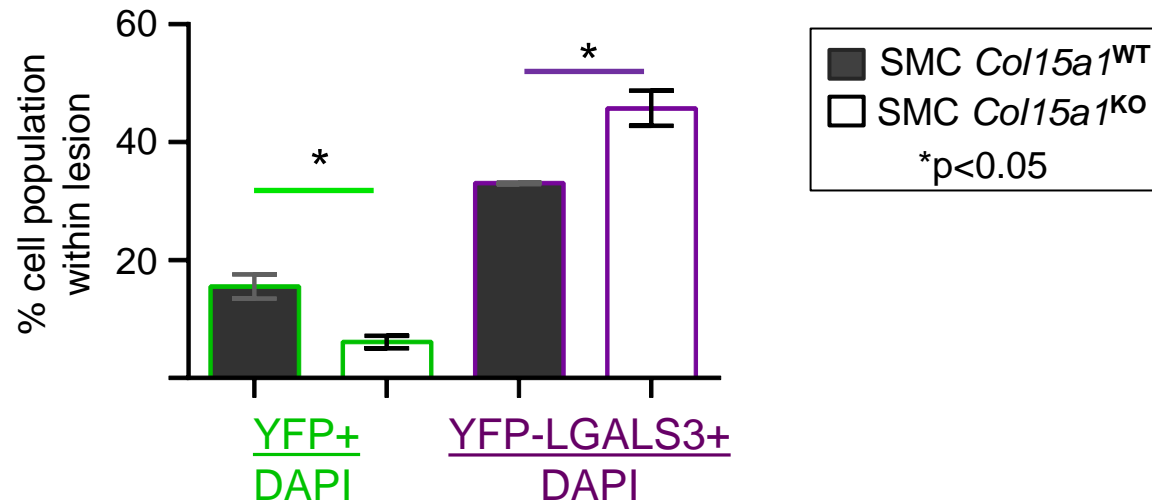
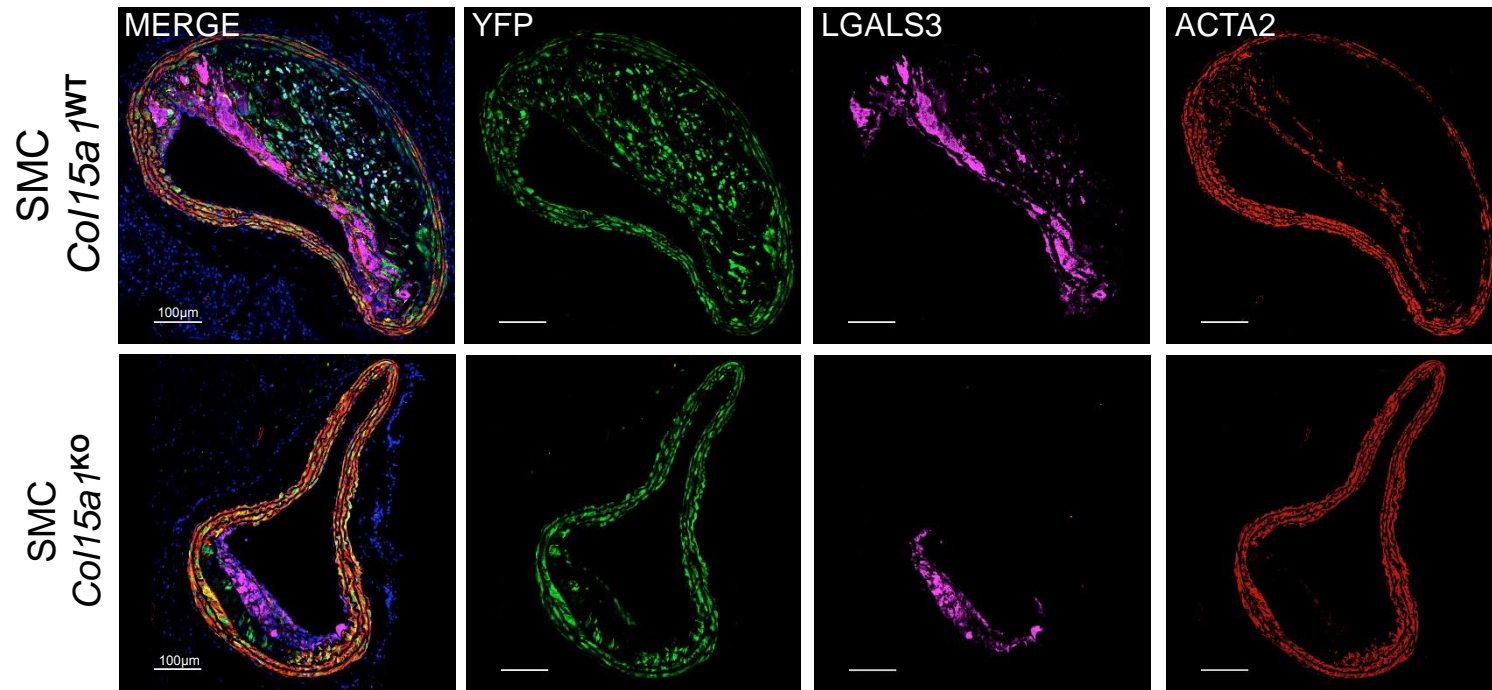


Durgin et al., manuscript in revision

SMC specific *Col15a1* deletion resulted in an 80% reduction in BCA lesion size but no change in luminal Sudan IV Staining

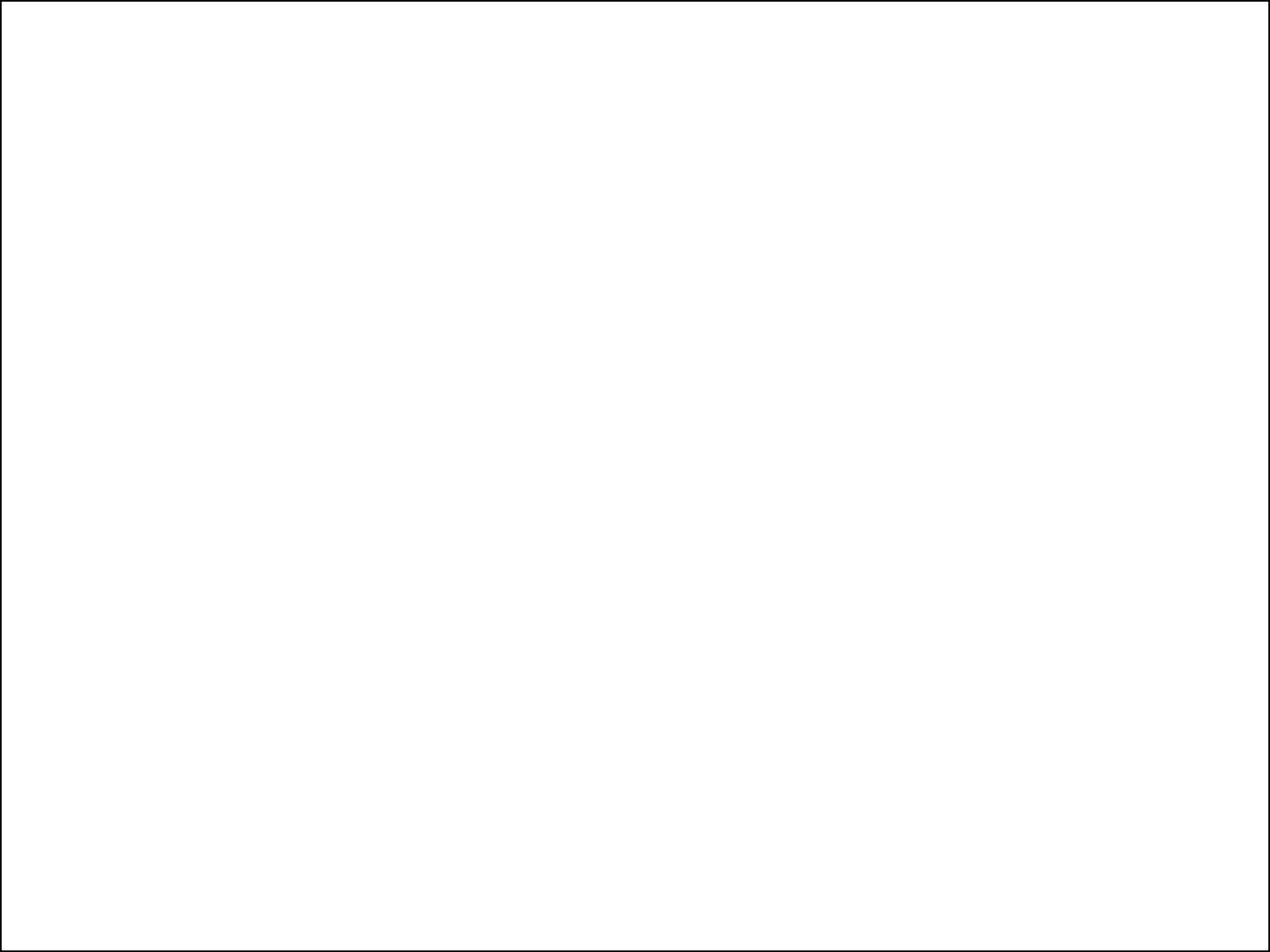


SMC *Col15a1* deletion leads to a reduction in SMC (YFP+) and an increase in YFP-LGALS3+ cells populating the lesion



Take Home Messages:

1. SMC play a far greater role in atherosclerotic lesion pathogenesis than has been generally appreciated **but can play either an atheroprotective or atheropromoting role depending on the nature of their phenotypic transitions.**
2. **You cannot distinguish SMC- and macrophage-derived cells within advanced lesions by use of marker panels alone.**
3. The cellular composition of the fibrous cap appears to be far more plastic than has generally been appreciated.
4. **IL-1 β has an unexpected atheroprotective role in late stage lesions by promoting a SMC-rich macrophage-deficient fibrous cap.**
5. We have evidence that fibrous cap SMC may produce factors that inhibit macrophage recruitment and proliferation in the fibrous cap through an IL1 β -dependent process.
6. IL1R1 signaling in SMC not in myeloid cells contributes to enhanced lesion development.
7. Surprisingly, loss of SMC expression of the fibrillar collagen organizer Col15a appears to be critical for development of advanced atherosclerotic lesions.
8. **Our over-riding hypothesis is that detrimental reprogramming of SMC and/or other ECM-producing lesion cells is a critical determinant of plaque destabilization.**
9. There is a critical need to identify factors, genetic mechanisms, and therapeutic approaches that promote changes in the phenotype of SMC and other major cell types present within lesions that are beneficial in promoting plaque stabilization.

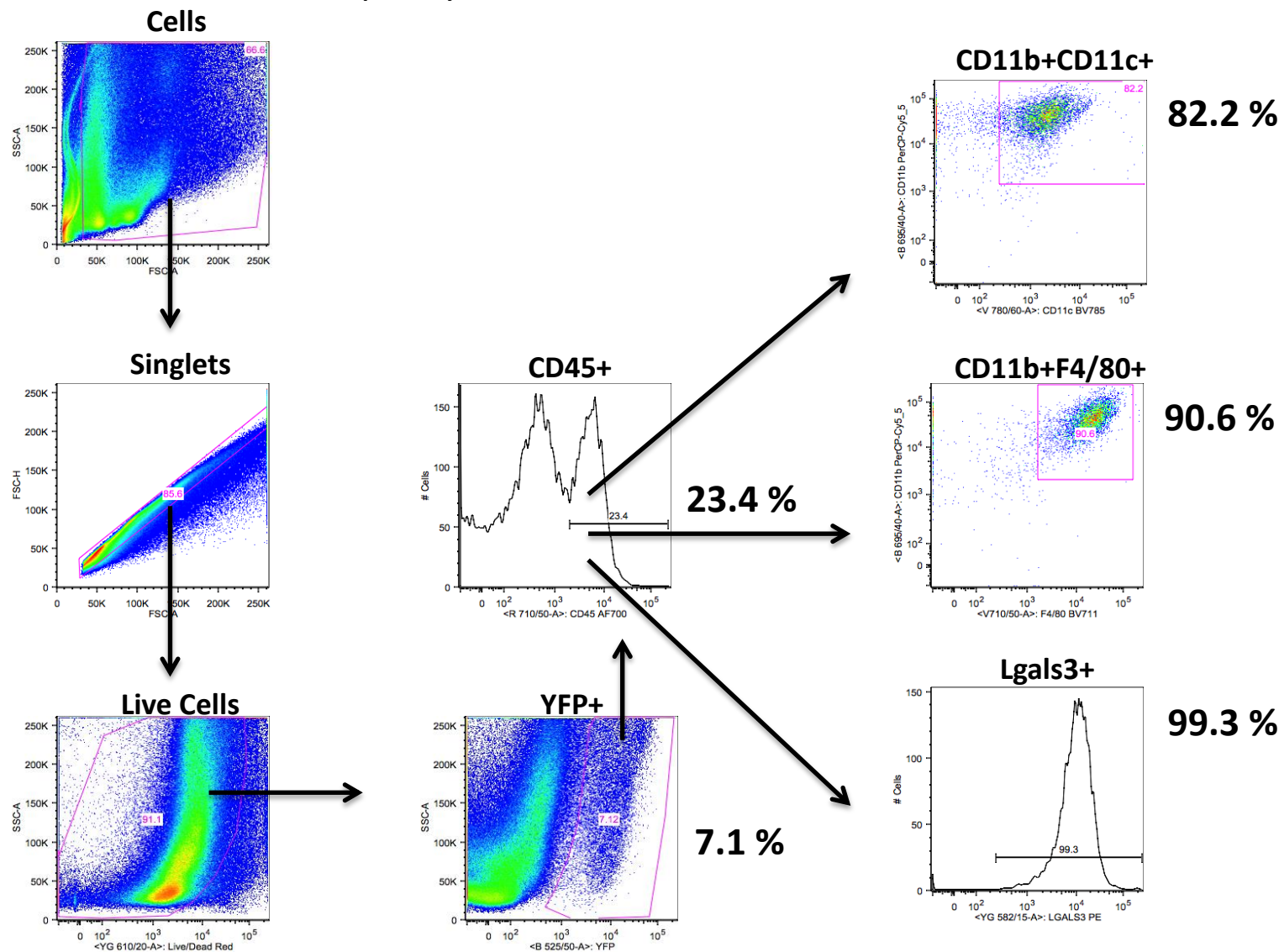


Does Klf4 also have a functional role in microvascular SMC-P?

Original Hypothesis: Klf4-mediated transitions of microvascular SMC and pericytes to a pro-inflammatory state contribute to widespread microvascular dysfunction associated with type 2 diabetes and metabolic disease.

Surprisingly we observed that a large fraction of SMC-P within the microvasculature of adipose tissue expressed multiple markers of macrophages

Epididymal Fat – Chow Diet 2wks



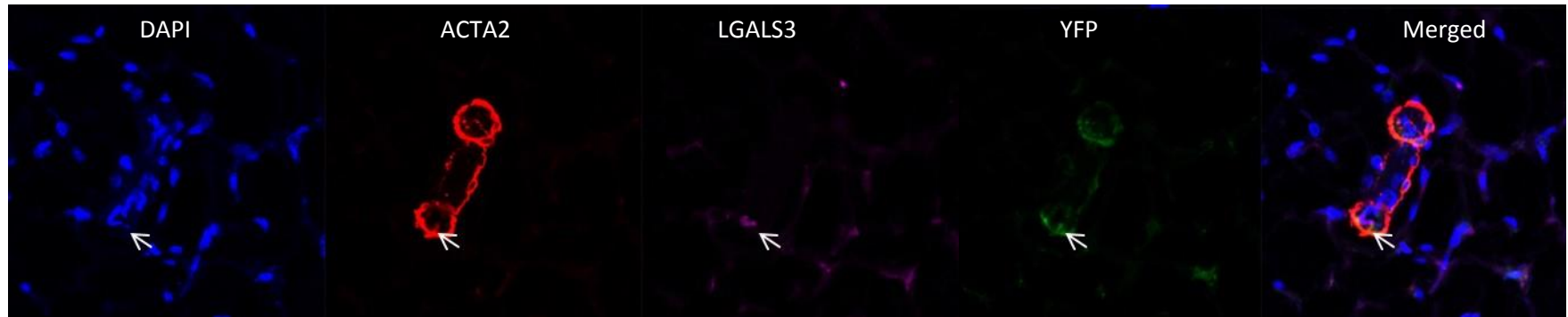
Original Research

Perivascular Macrophages Limit Permeability

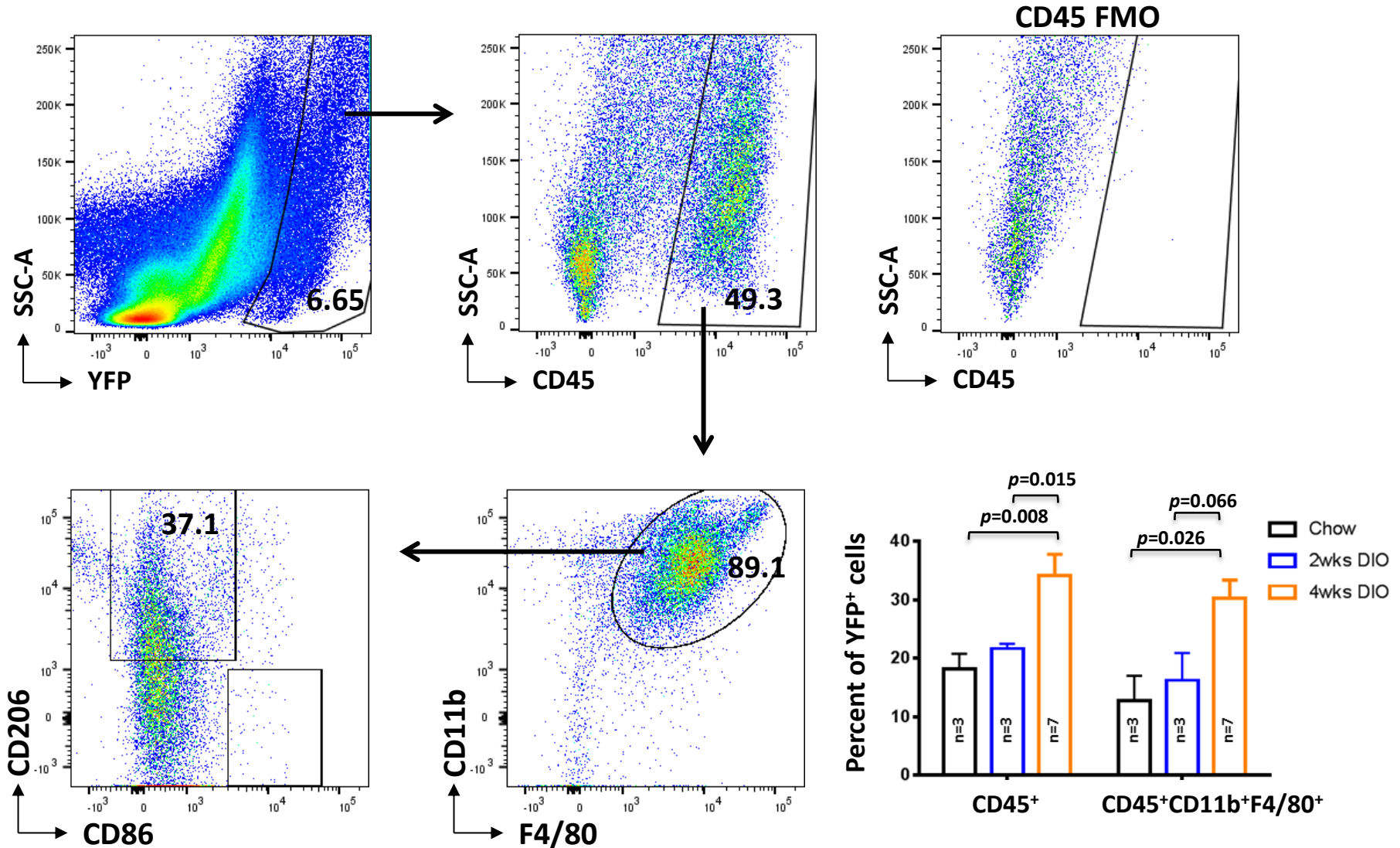
Huanhuan He, Julia J. Mack, Esra Güç, Carmen M. Warren, Mario Leonardo Squadrito, Witold W. Kilarski, Caroline Baer, Ryan D. Freshman, Austin I. McDonald, Safiyyah Ziyad, Melody A. Swartz, Michele De Palma, M. Luisa Iruela-Arispe

1. Major claim is that perivascular M2 macrophages are critical in maintenance of EC barrier function and regulation of microvascular permeability.
2. However, they did no lineage tracing to clearly establish these as myeloid derived macrophages, the markers they used are all expressed by SMC-P derived macrophage like cells (e.g. Lgals3, Mac1/CD11b, F4/80) and the methods used to deplete them, clonodate liposomes and an antibody to CSF1R, would also target SMC-P derived cells.
3. As such, we believe that a significant subset of the cells they are describing, if not all, are of SMC-P origin.

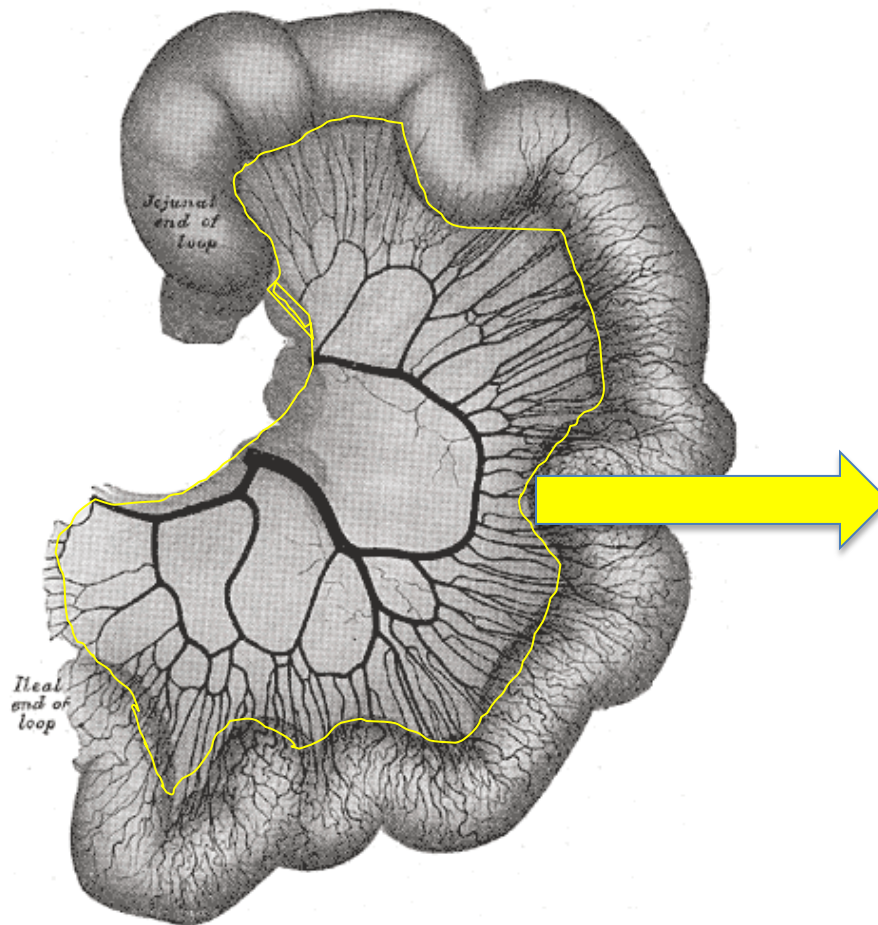
SMC-P derived Lgals3+ cells were mainly located in a perivascular position



DIO is associated with an increased frequency of SMC-Pc derived macrophage-like cells within epididymal fat including many that express the M2 marker CD206

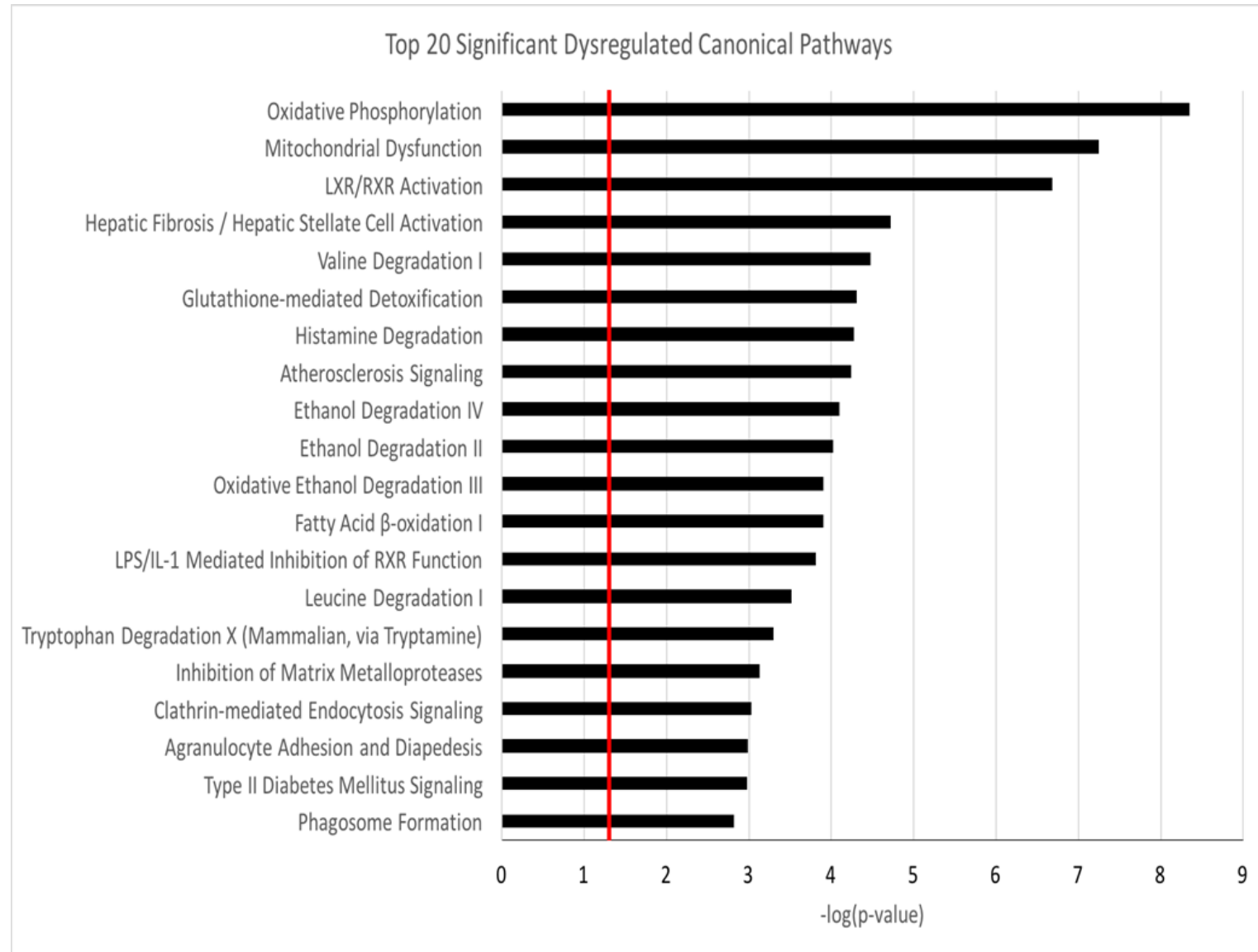


Genomic analysis of mesenteric resistance vessels and the associated perivascular adipose tissue +/- DIO

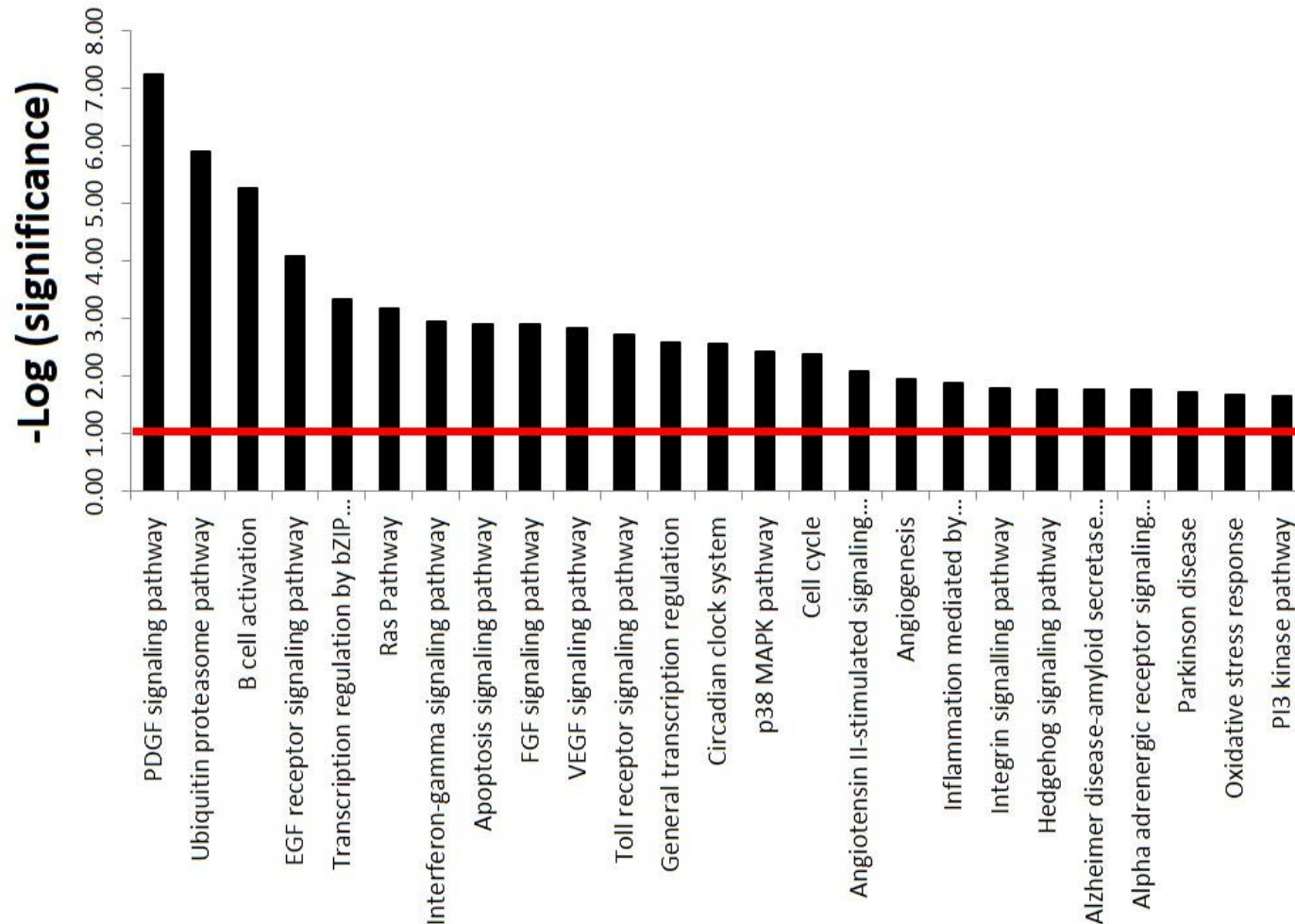


**Klf4 ChIPseq and
RNAseq +/- SMC-
pericyte specific
Klf4 KO +/- DIO**

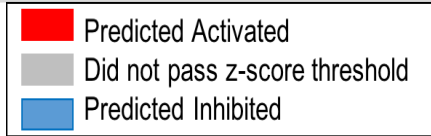
SMC-P Specific Knockout of Klf4 Resulted in Profound Changes in Genomic Expression Patterns within the Mesenteric Microvasculature and Surrounding Adipose Tissue Including Numerous Metabolic Gene Pathways



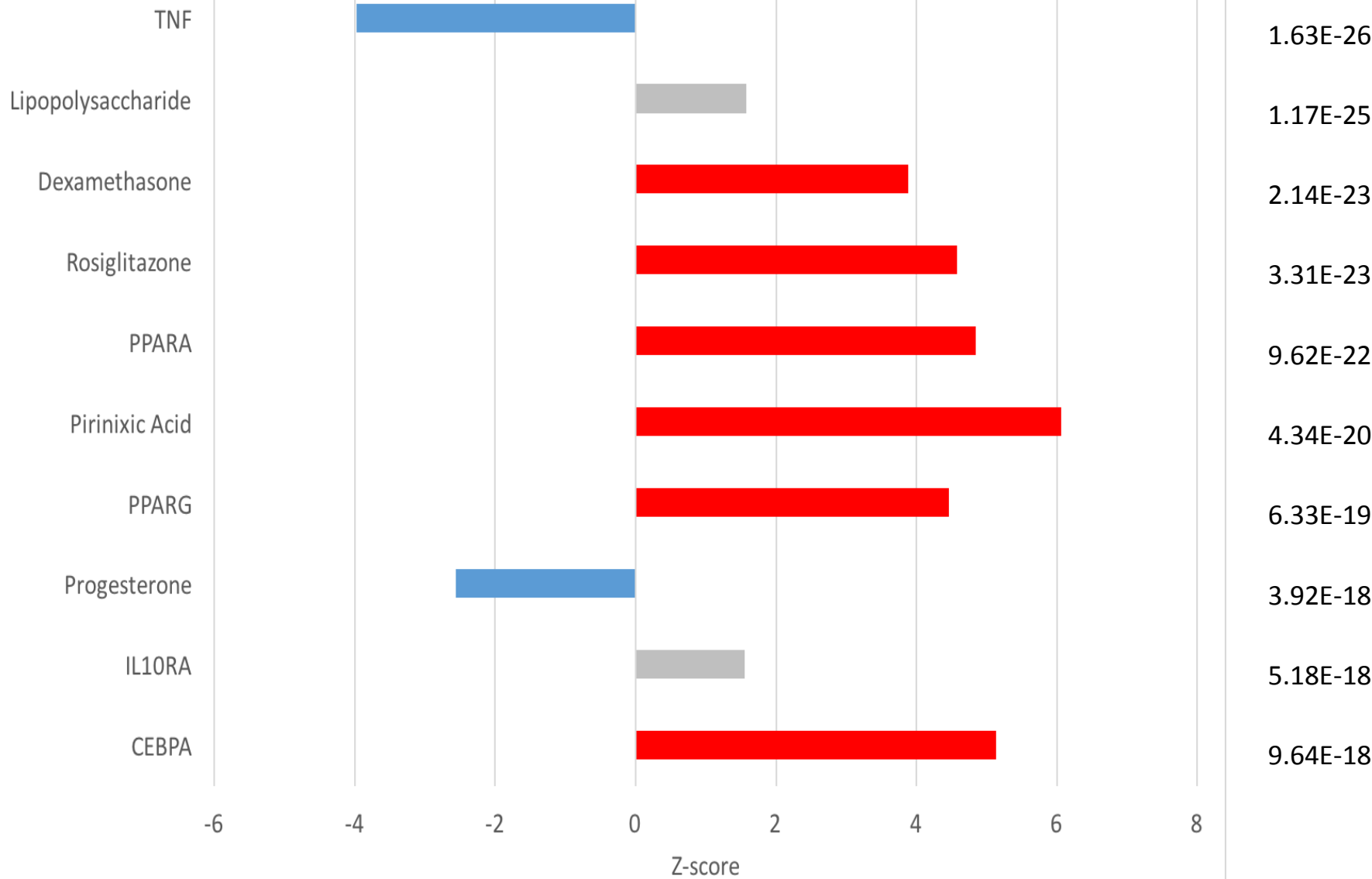
In vivo Klf4 ChIPseq Analysis of Genomic DNA from the Mesenteric Microvasculature and Surrounding Adipose Tissue of SMC-P Specific Klf4 KO Mice Identified a Large Cohort of Putative SMC-P Klf4 Target Genes Including Those Associated with Vascular Maturation and Inflammation



Top 10 Significant Up-Stream Regulators



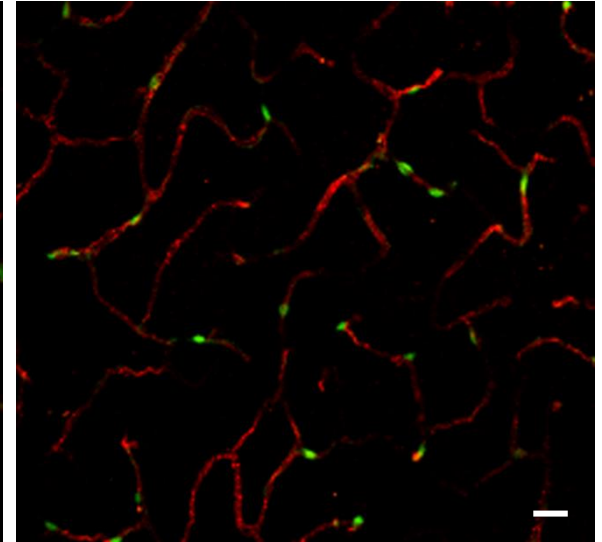
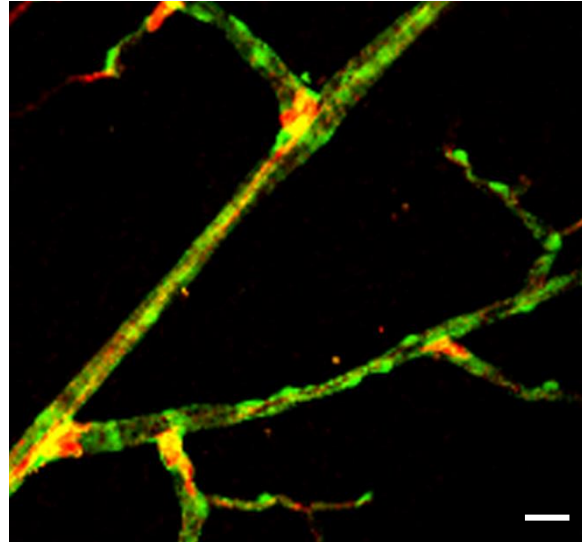
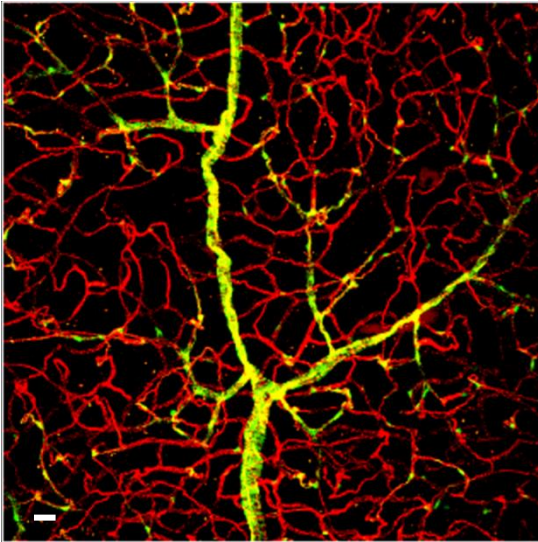
p-value



Arterioles in SMC-P Conditional KLF4 KO Are Poorly Invested in YFP+ Perivascular Cells

A

SMC-YFP KLF4 WT



SMC-YFP KLF4 KO

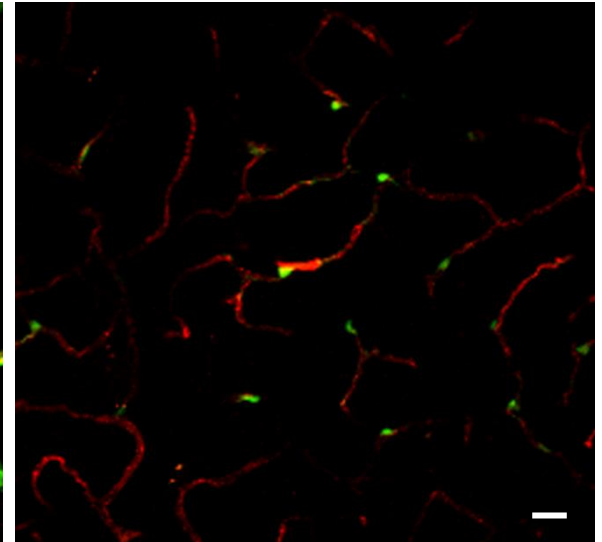
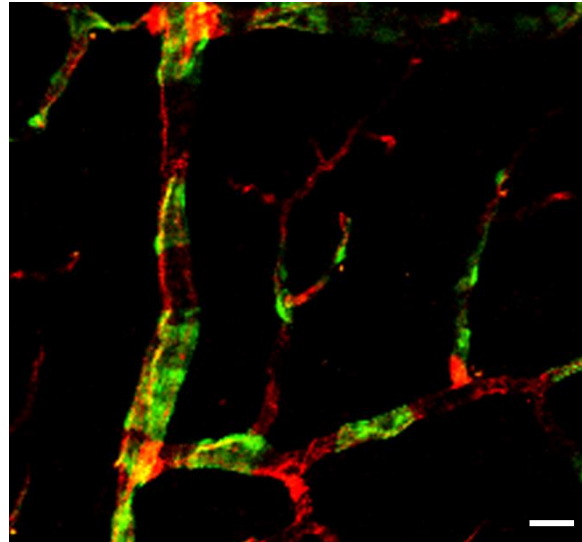
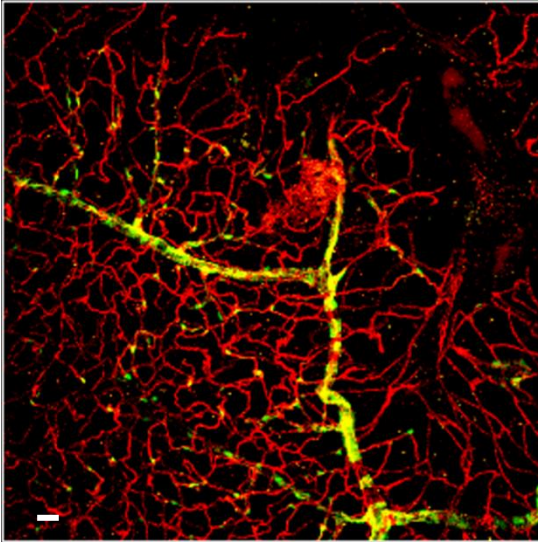
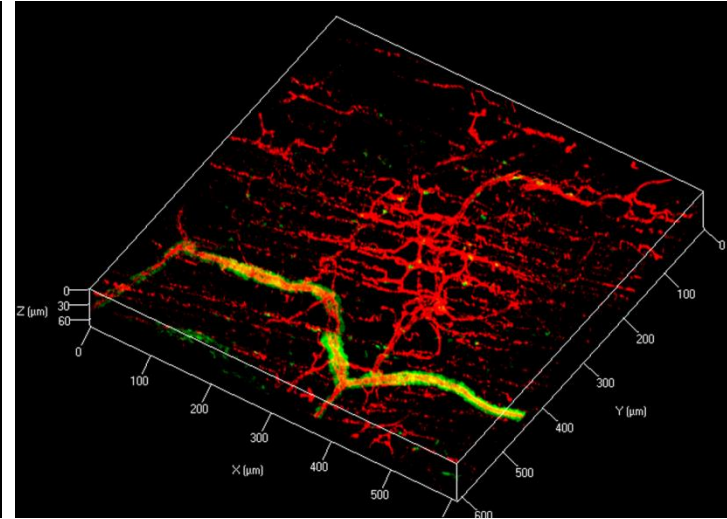
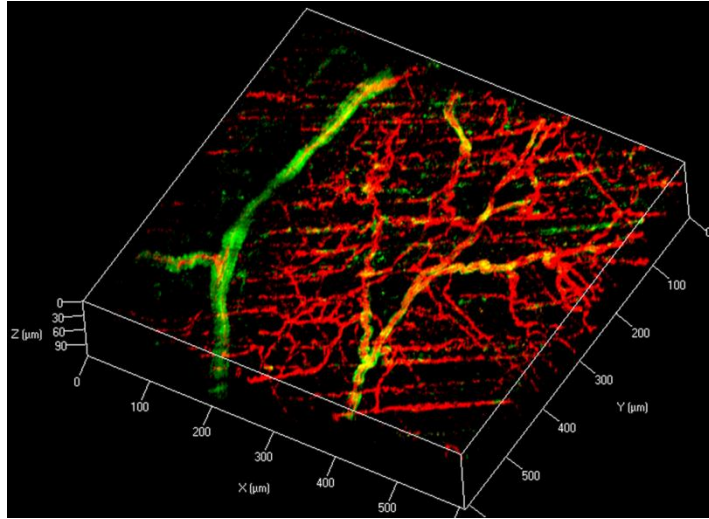


Figure 4.

Myocardial Arterioles in SMC-P Conditional KLF4 KO Are Poorly Invested in YFP+ Perivascular Cells

B

SMC-YFP KLF4 WT



SMC-YFP KLF4 KO

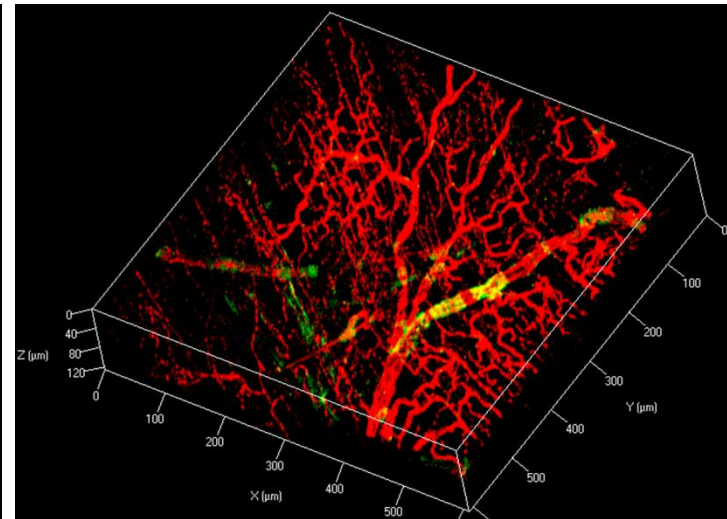
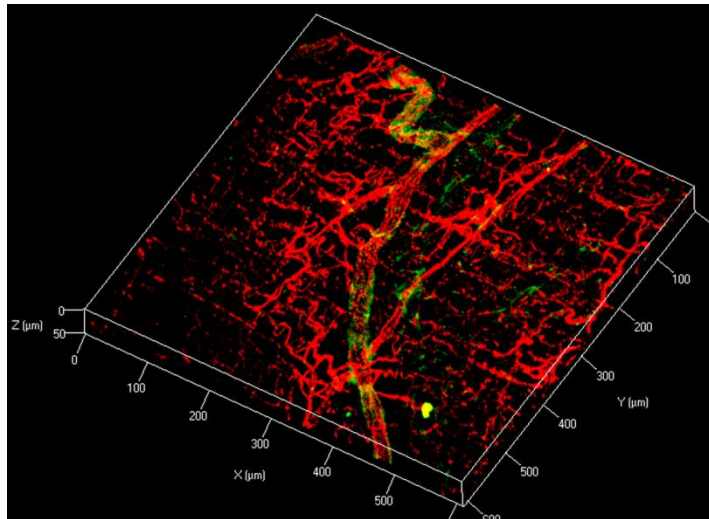
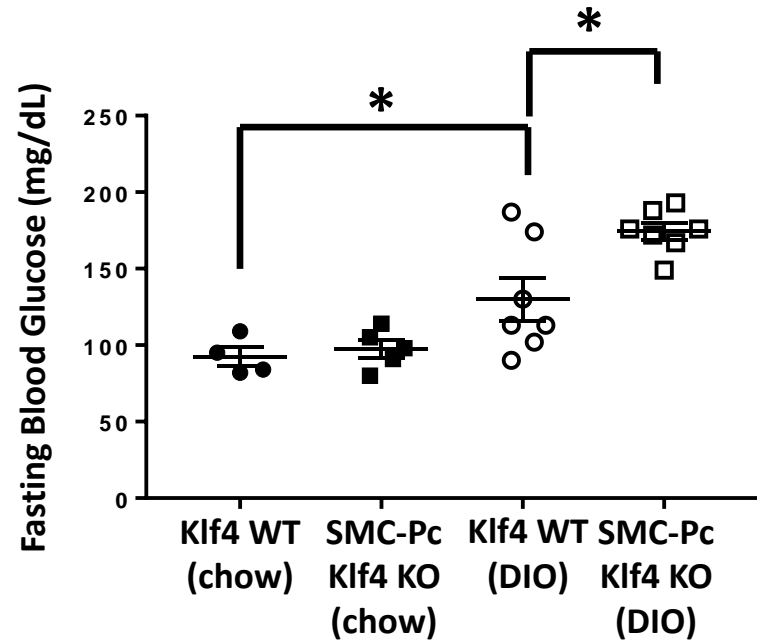
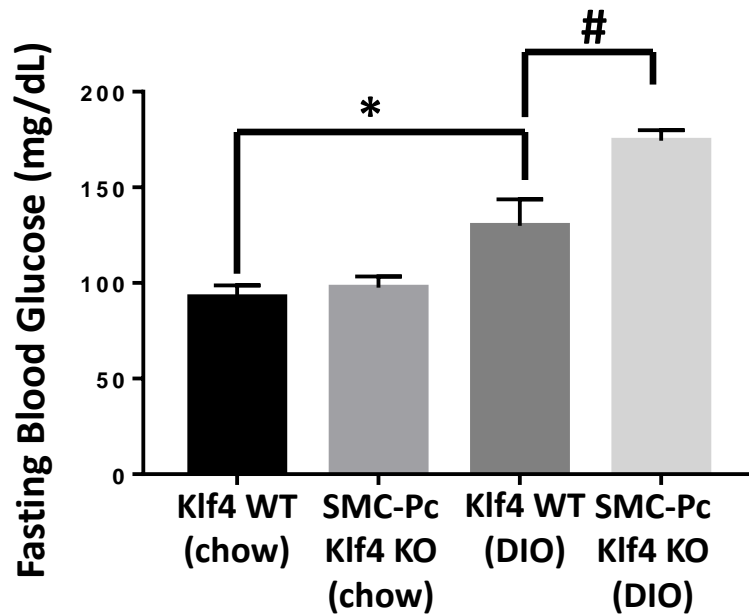


Figure 4.

SMC-Pc Specific Conditional Klf4 KO mice show exacerbation of DIO-dependent hyperglycemia

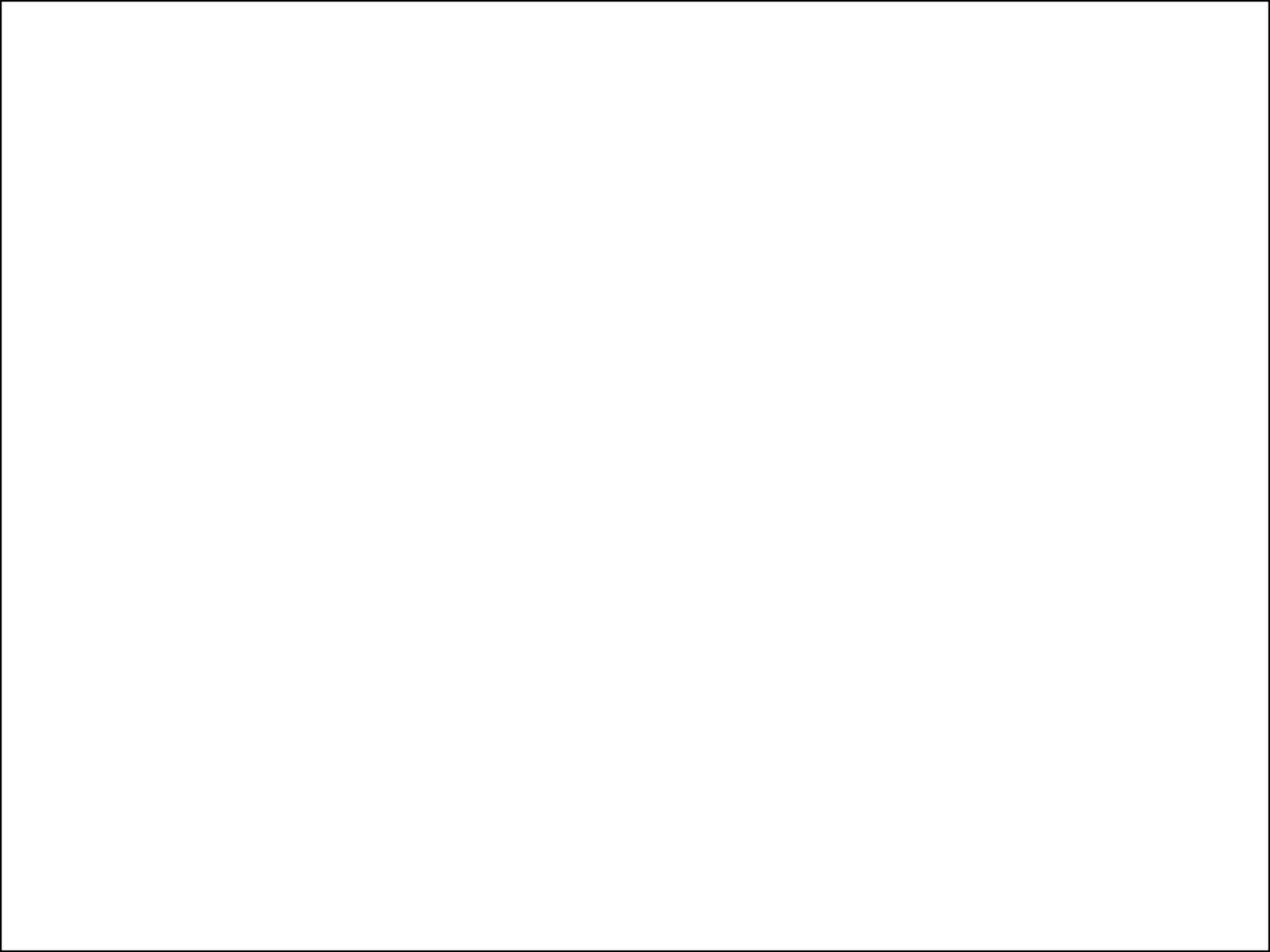


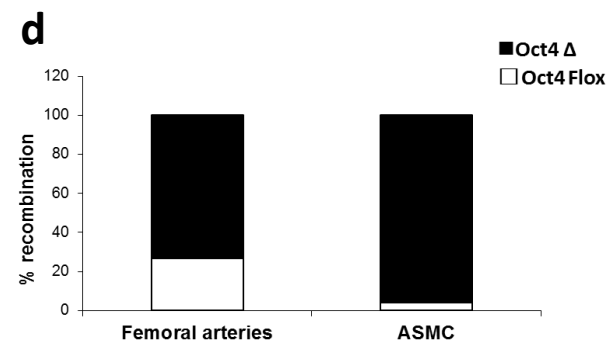
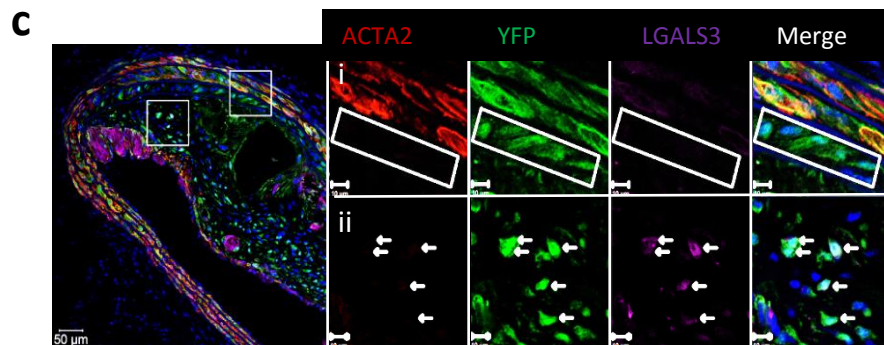
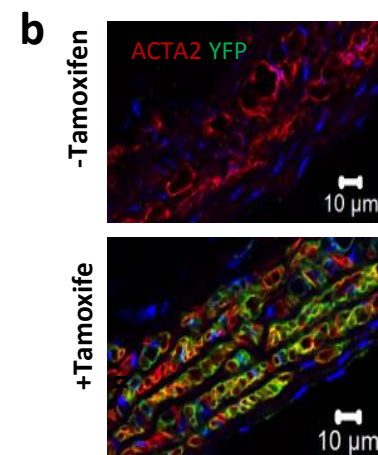
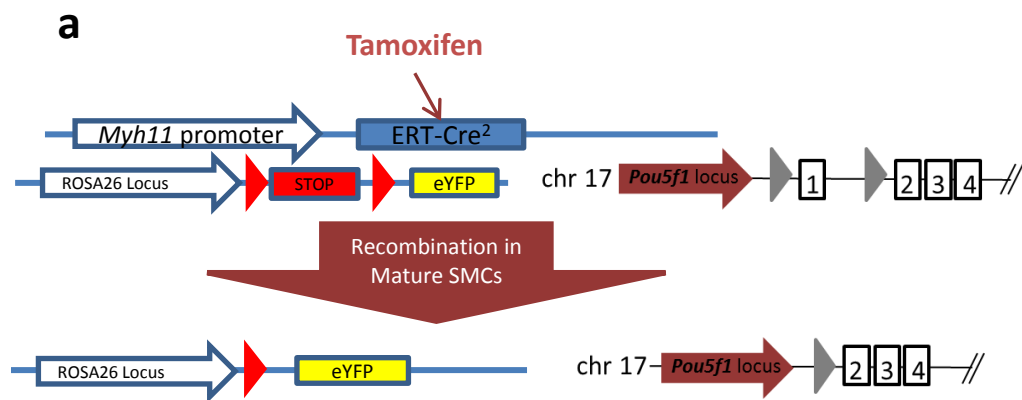
Hypothesis 1: Klf4 expression within microvascular SMC and pericytes (Pc) plays a critical protective role in regulating multiple aspects of microvascular function within adipose tissue including maintenance of vascular permeability and perivascular cell coverage-vessel integrity. We believe this is the role of Klf4 within SMC-Pc that has been conserved through evolution because it confers a survival advantage.

Hypothesis 2: Loss of Klf4 within perivascular cells results in increased permeability and alterations in tissue P02 that in turn result in dysregulation of multiple metabolic and inflammatory pathways even in young lean chow fed mice.

Hypothesis 3: Widespread microvascular dysfunction associated with DIO-induced metabolic disease and diabetes, including within non-adipose tissues is mediated at least in part by loss of protective Klf4 functions and/or Klf4-dependent activation of pro-inflammatory genes within microvascular SMC-Pc. We believe these latter changes are maladaptive as are Klf4-dependent transition of SMC to a macrophage-like foam cell within advanced atherosclerotic lesions.

Hypothesis 4: whereas Klf4 itself is not a viable therapeutic target gene, we believe that genomic analysis of the microvasculature and surrounding adipose tissue +/- DIO +/- SMC-pericyte specific Klf4 KO will identify novel therapeutic targets for treating or preventing development of widespread microvascular dysfunction associated with metabolic disease.

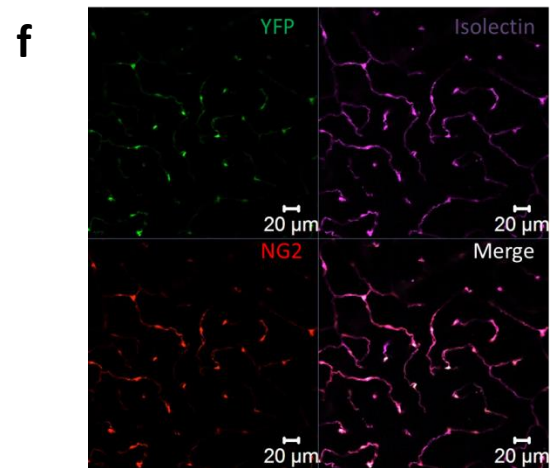
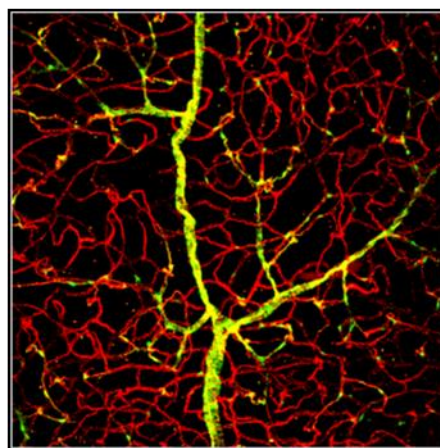




e

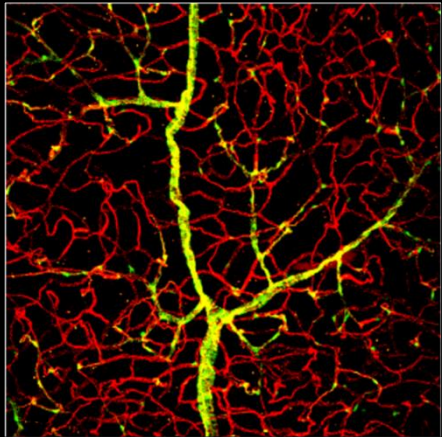
RETINA

YFP Isolectin

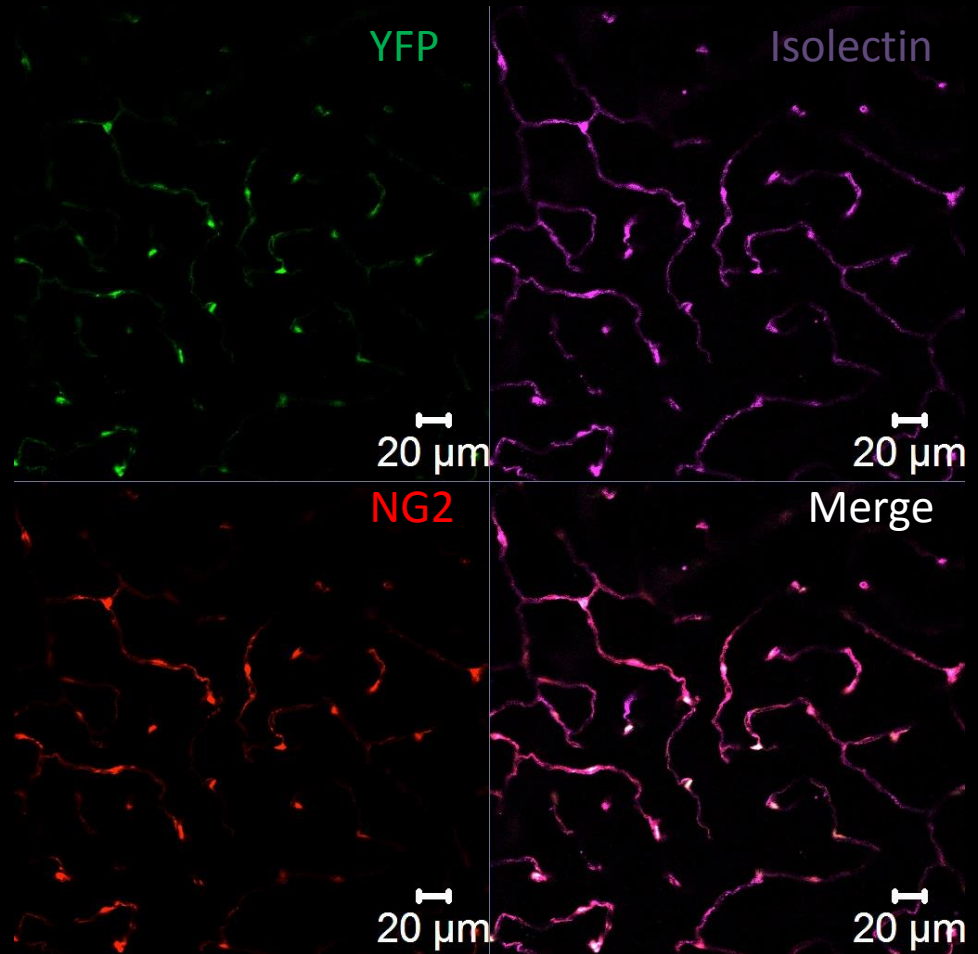
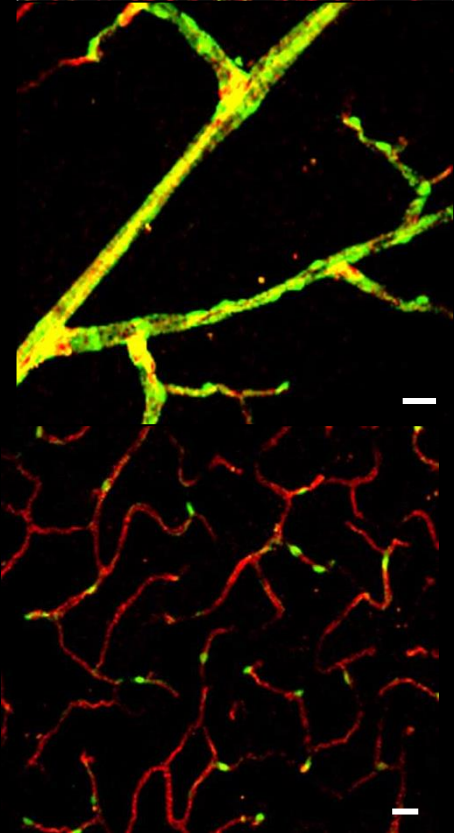


The Myh11-eYFP Mouse Also Provides Definitive Lineage Tracing of NG2+ Pericytes

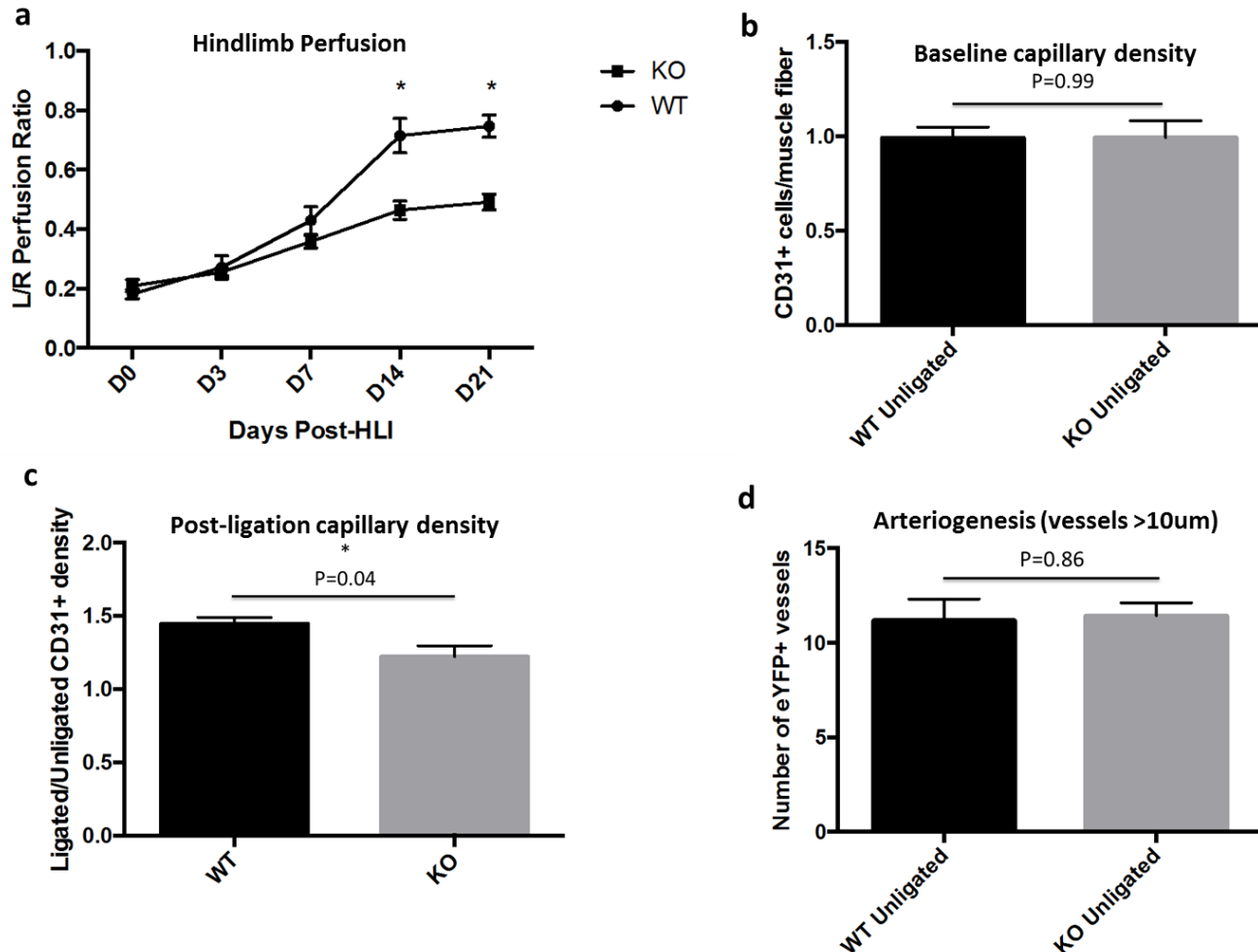
RETINA



YFP/Isolectin

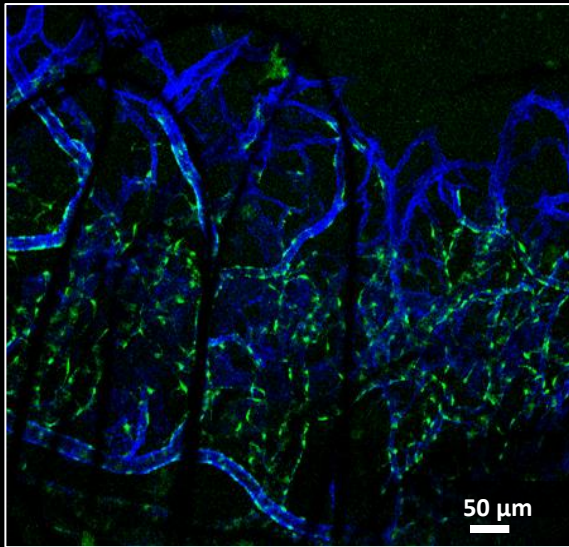


SMC-P specific KO of Oct4 impaired perfusion recovery following HLI due in part to impaired angiogenesis

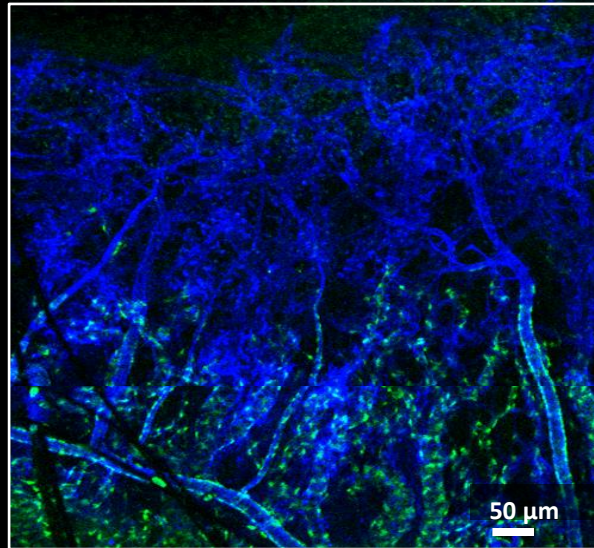


SMC-P Specific Conditional KO of Oct4 Resulted in Profound Impairment of Perivascular Cell Investment of Neovessels in a Corneal Burn Model (7 days)

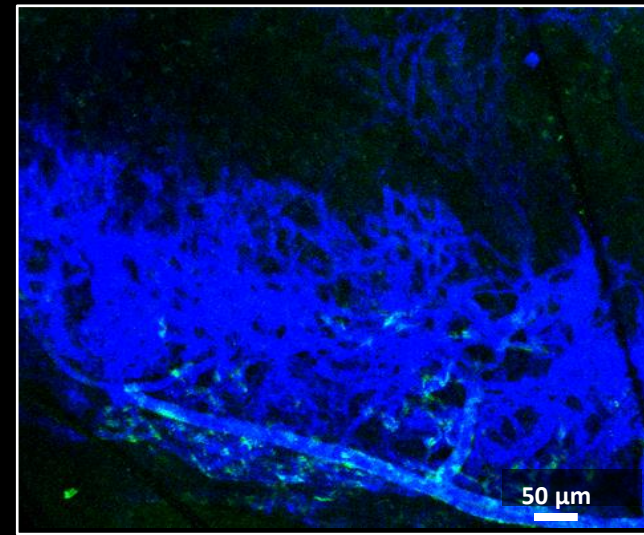
**Myh11-eYFP+
Wild Type**



**Myh11-eYFP+ Oct4 +/-
(Heterozygous KO)**



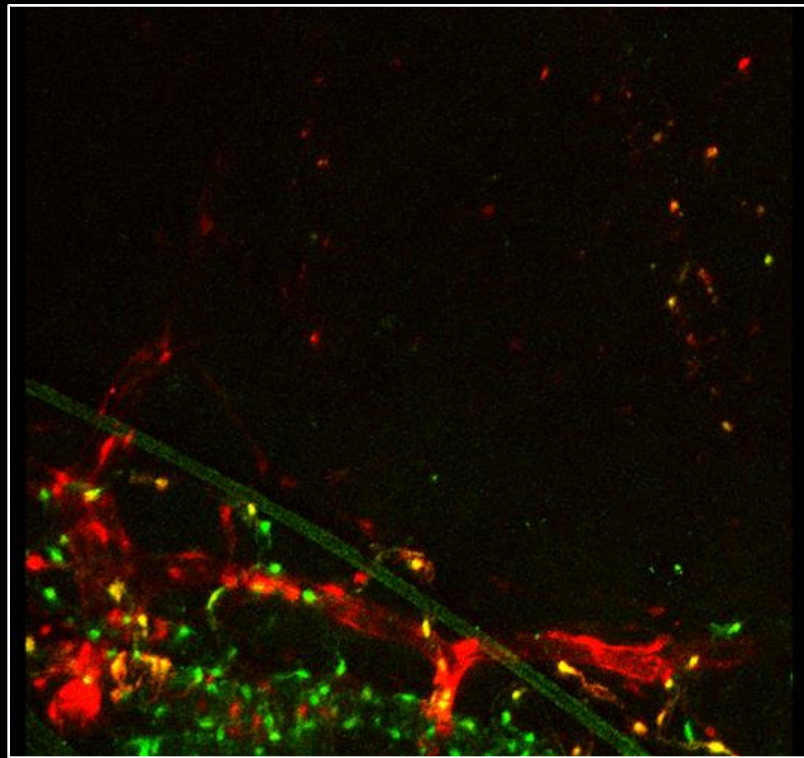
**Myh11-eYFP+ Oct4 -/-
(Homozygous KO)**



Myh11-eYFP (SMC-P derived cells)
Perfused Lectin

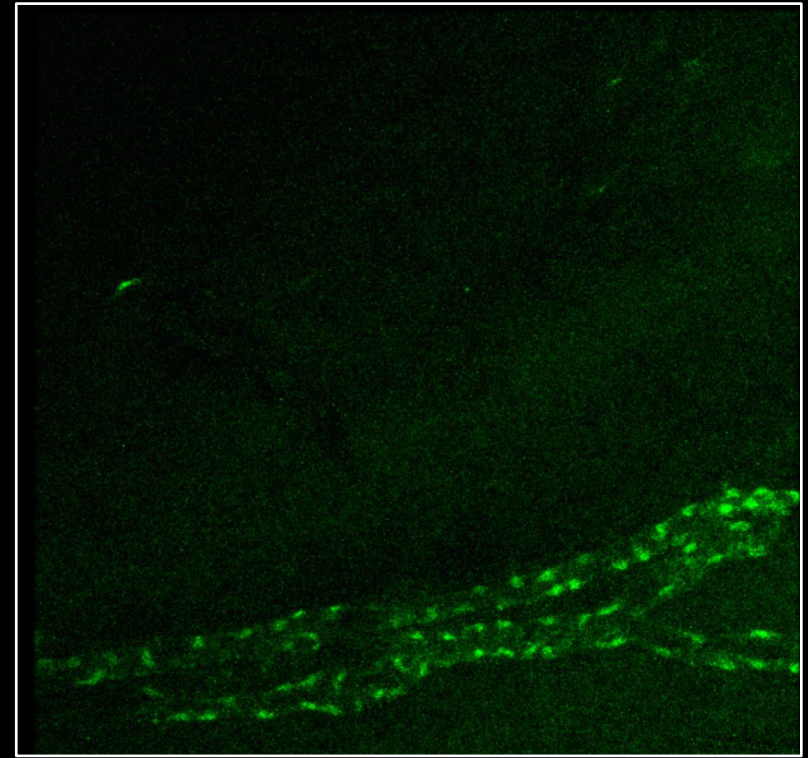
Intravital Microscopic Evaluations In Vivo Show Impaired Migration of eYFP+ Perivascular Cells from the Limbus in SMC-P Oct4 KO mice within 12 hours of the Corneal Burn

Myh11-eYFP



NG2-DsRed Myh11-YFP

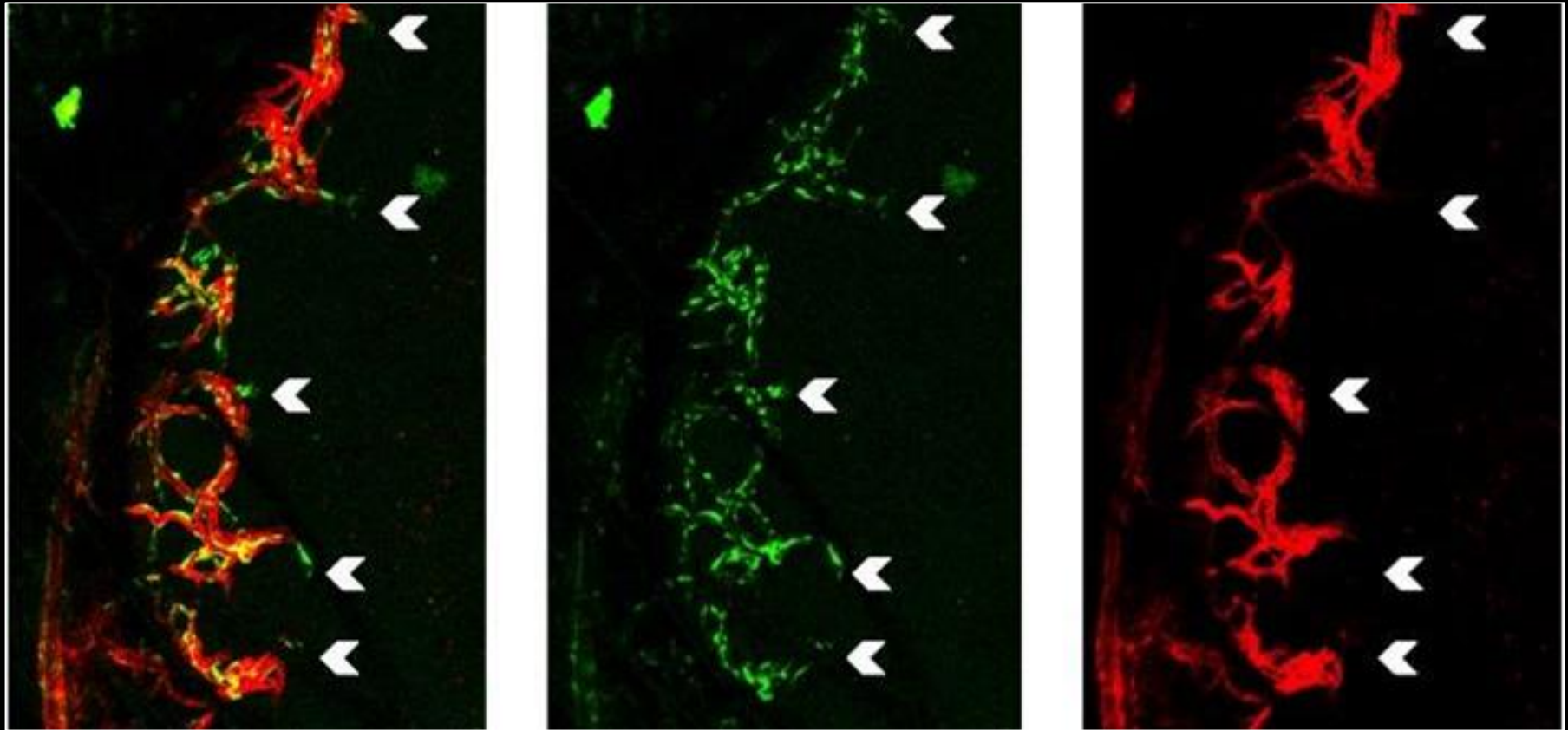
Oct4 ^{-/-} Myh11-eYFP
(Homozygous)



Myh11-YFP

50 μ m
—

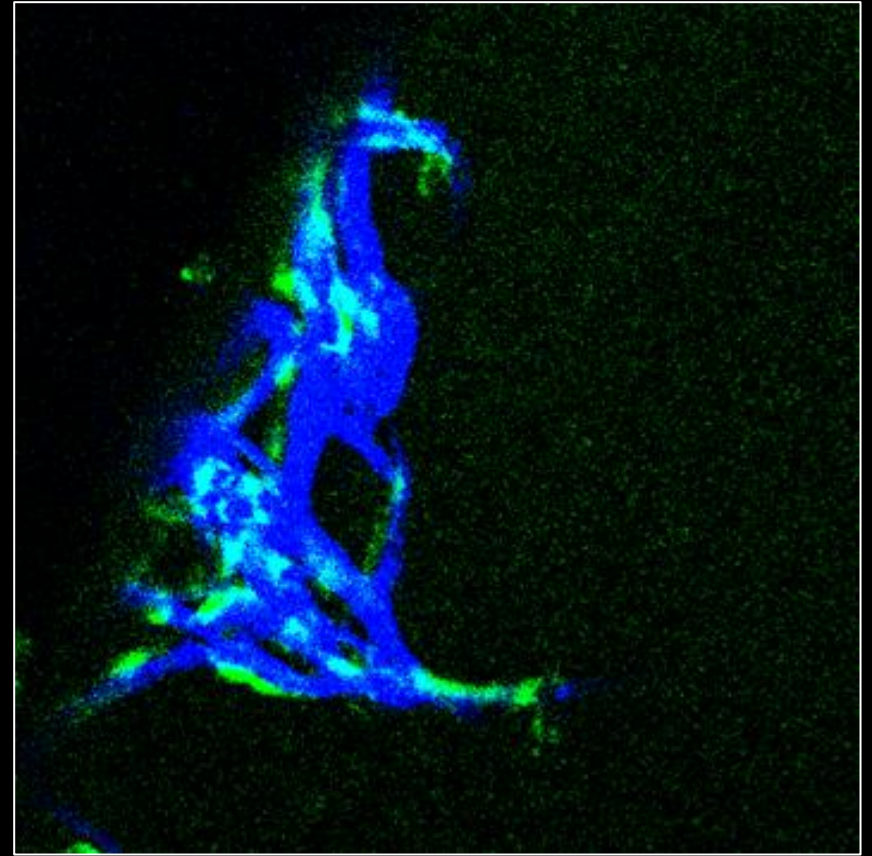
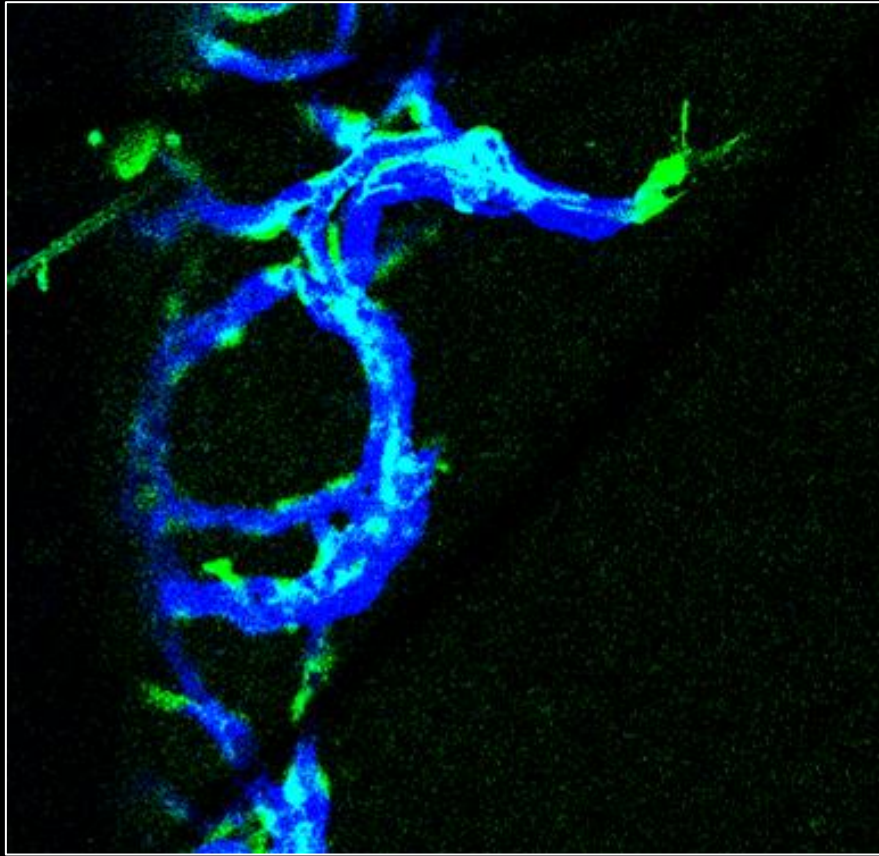
Pericytes of Wild Type Myh11+ cell-lineage extend past the perfused lumen of the vasculature



Myh11-YFP, Perfused Lectin

50 μm

Pericytes of Myh11+ cell-lineage extend past the perfused lumen of the vasculature



Oct4-GFP, Perfused Lectin

50 μ m

20X